

#SOMOSUA

Some aspects of Neutrino Physics and Experiments

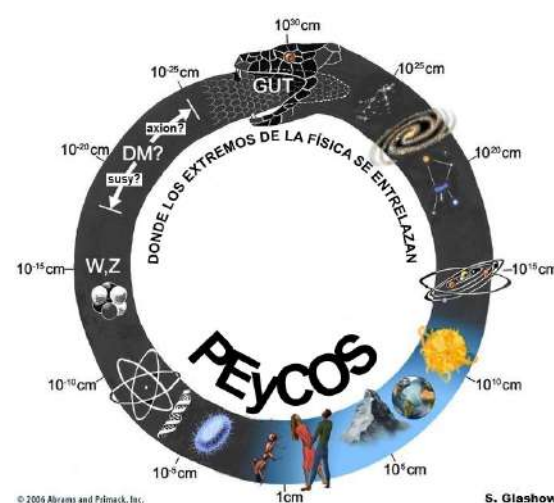
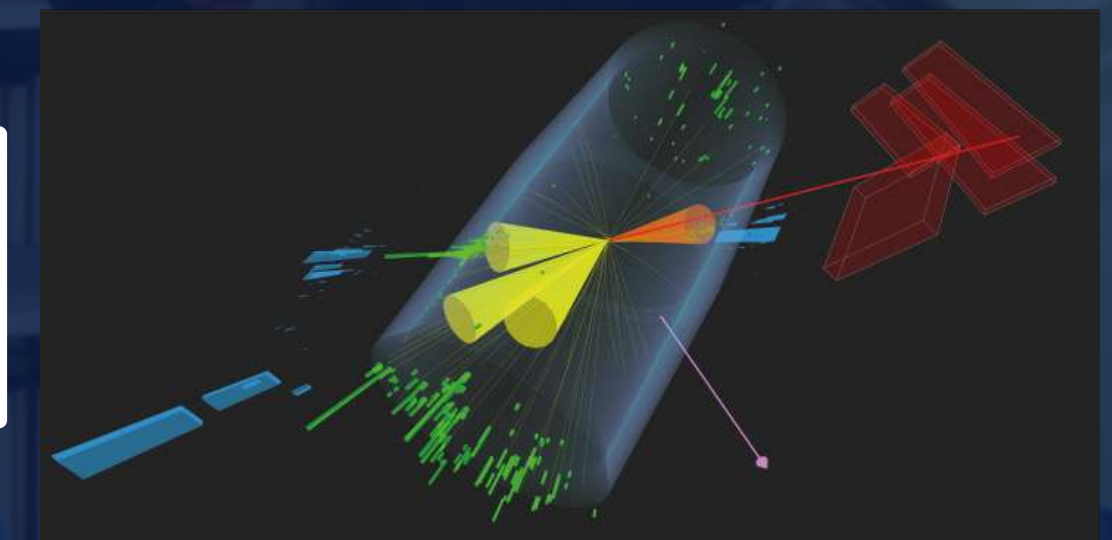
Mario A. Acero Ortega

Grupo de Física de Partículas Elementales y Cosmología

VII UniAndes Particle Physics School

Universidad de Los Andes, Bogotá, Colombia

December 7, 2022



CO-SC7289-1

WHY...

In order to understand the universe that we live in,
it looks like we'll need to understand the neutrino



[CTEQ Summer School 2011]

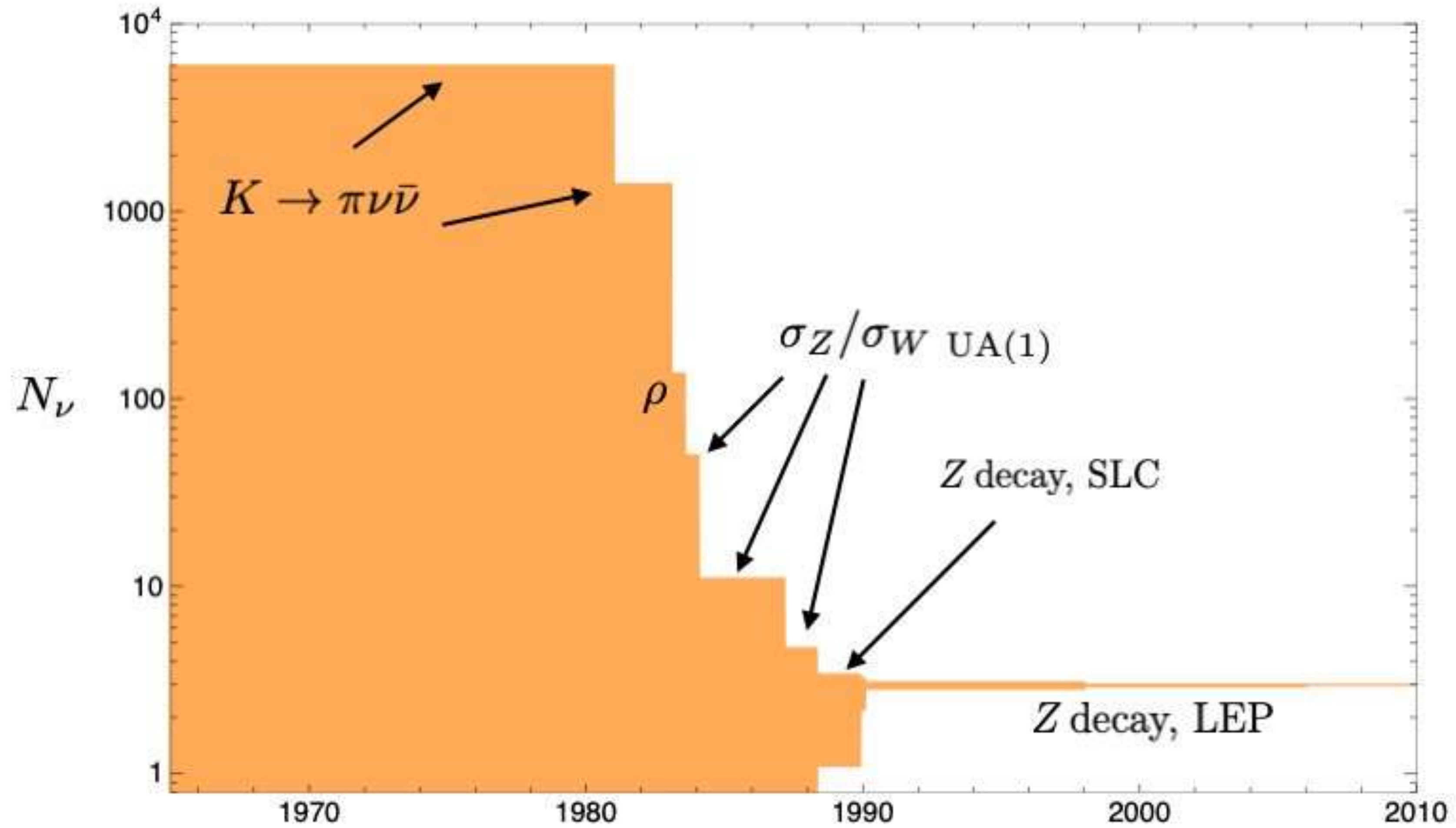
Kimy Agudelo's Motivation!



YEARS OF ASKING...



[M. Bauer, @martinbauer (2022)]



Universidad del Atlántico
VIGILADA MINEDUCACIÓN



WE KNOW WE DON'T KNOW...

Is there maximal mixing?
Is CP violated?
How many neutrinos are there?



Answers may come
from Neutrino
Oscillations

Which neutrino is the heaviest?

How light is the lightest neutrino?

Are neutrinos and antineutrinos different particles?



[Symmetry Magazine
Artwork by Sandbox Studio,
Chicago with Corinne Mucha]



Outline

We'll be talking about...

- What is a neutrino
- The history
- Some neutrino sources (and experiments)
- Phenomenology
 - oscillations – 2 and 3 neutrinos
- Open questions
 - “Nature”
 - Mass (scale and ordering)
 - Mixture (octant -atmospheric sector-)
 - Number of neutrinos (sterile)
- Summary



What a Neutrino is

A “definition” and some basic facts





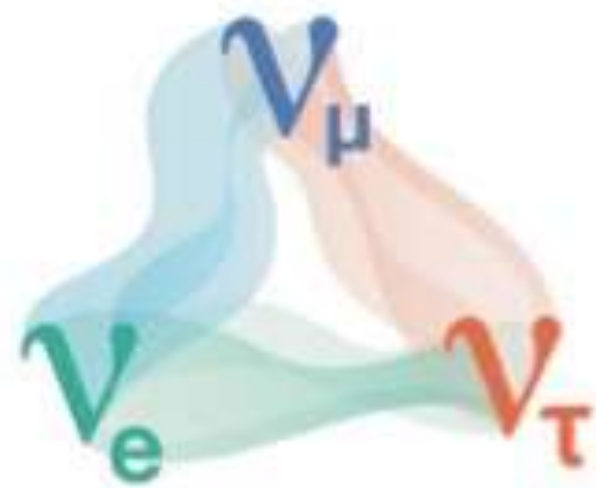
FUNDAMENTAL



ABUNDANT



ELUSIVE



OSCILLATING



LIGHTWEIGHT



DIVERSE



MYSTERIOUS



VERY MYSTERIOUS

A neutrino is... #SOMOSUA

Different ways to define it

Neutrino: Uncharged elementary particle with a very small mass, that has any of three forms and that interacts only rarely with other particles.

“Every particle and every wave in the Universe is simply an excitation of a quantum field that is defined over all space and time”

T. Lancaster, S.J. Blundell

Could be the reason why matter exists in the universe

A neutrino is...

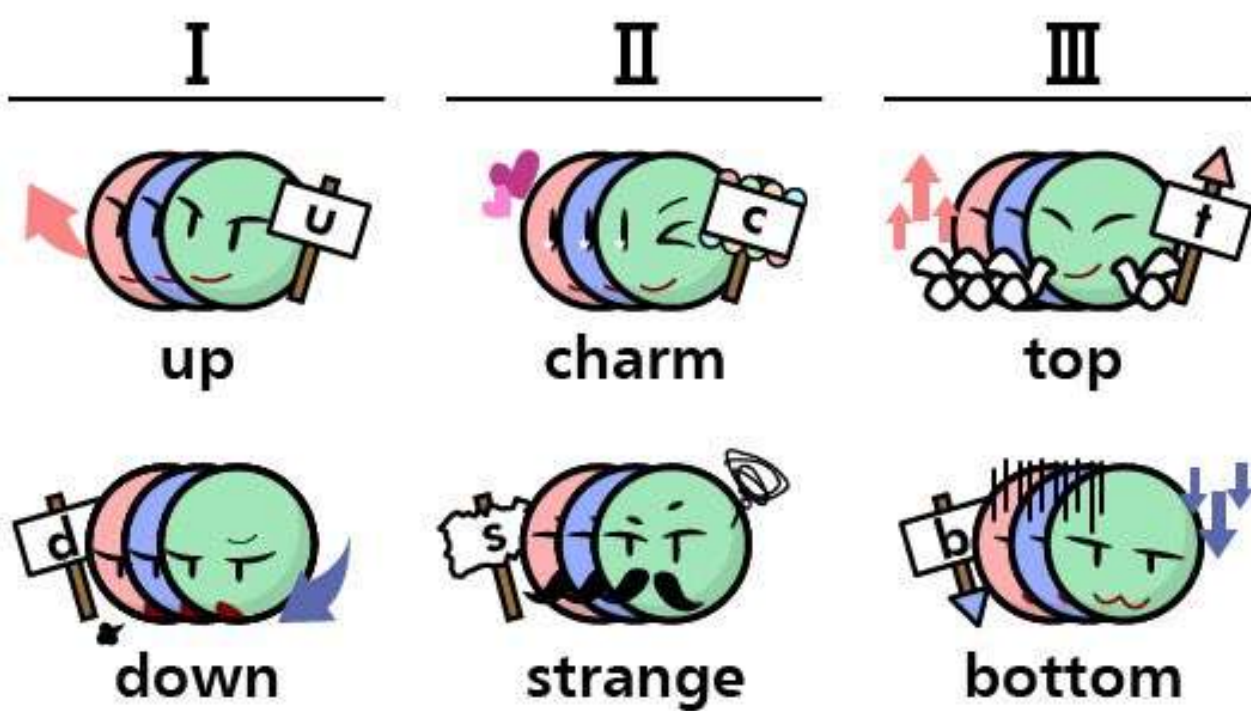
Some facts about neutrinos

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The Standard Model

物質粒子 matter (fermions)

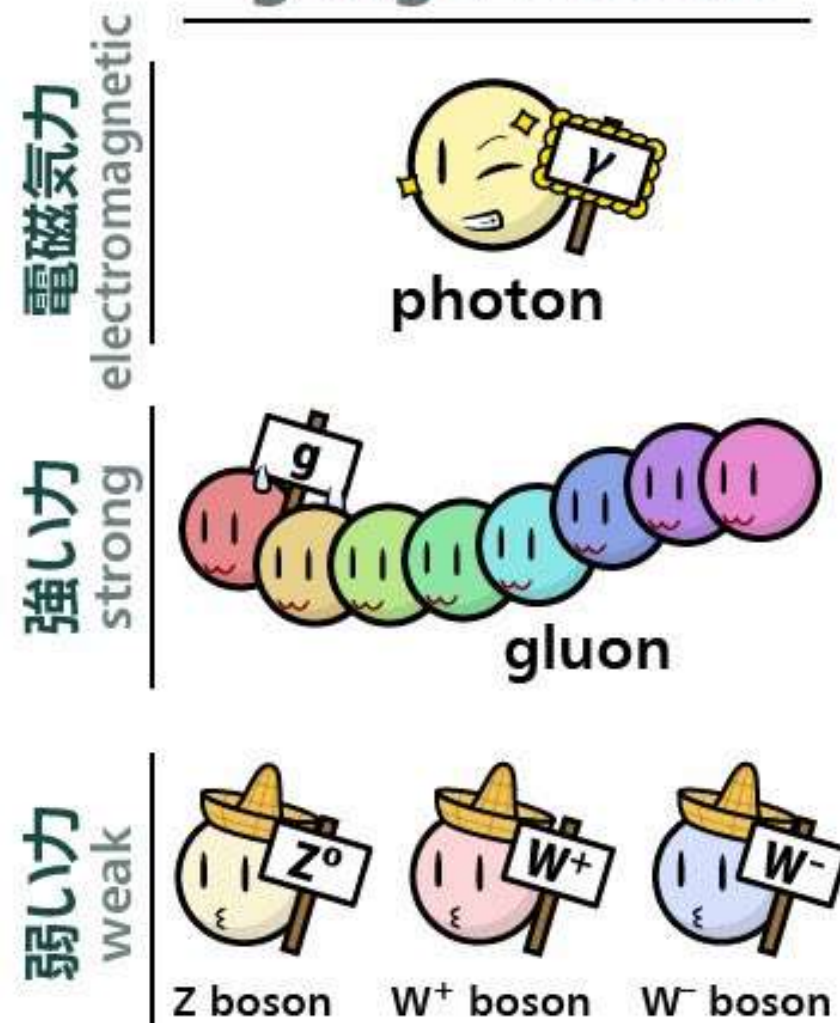
クォーク
quarks



レプトン
leptons



ゲージ粒子 gauge bosons



ヒッグス粒子 Higgs bosons



- Most abundant (massive) particle
- Some contribution to Dark Matter
- Effects on the structure formation of the universe
- Many sources

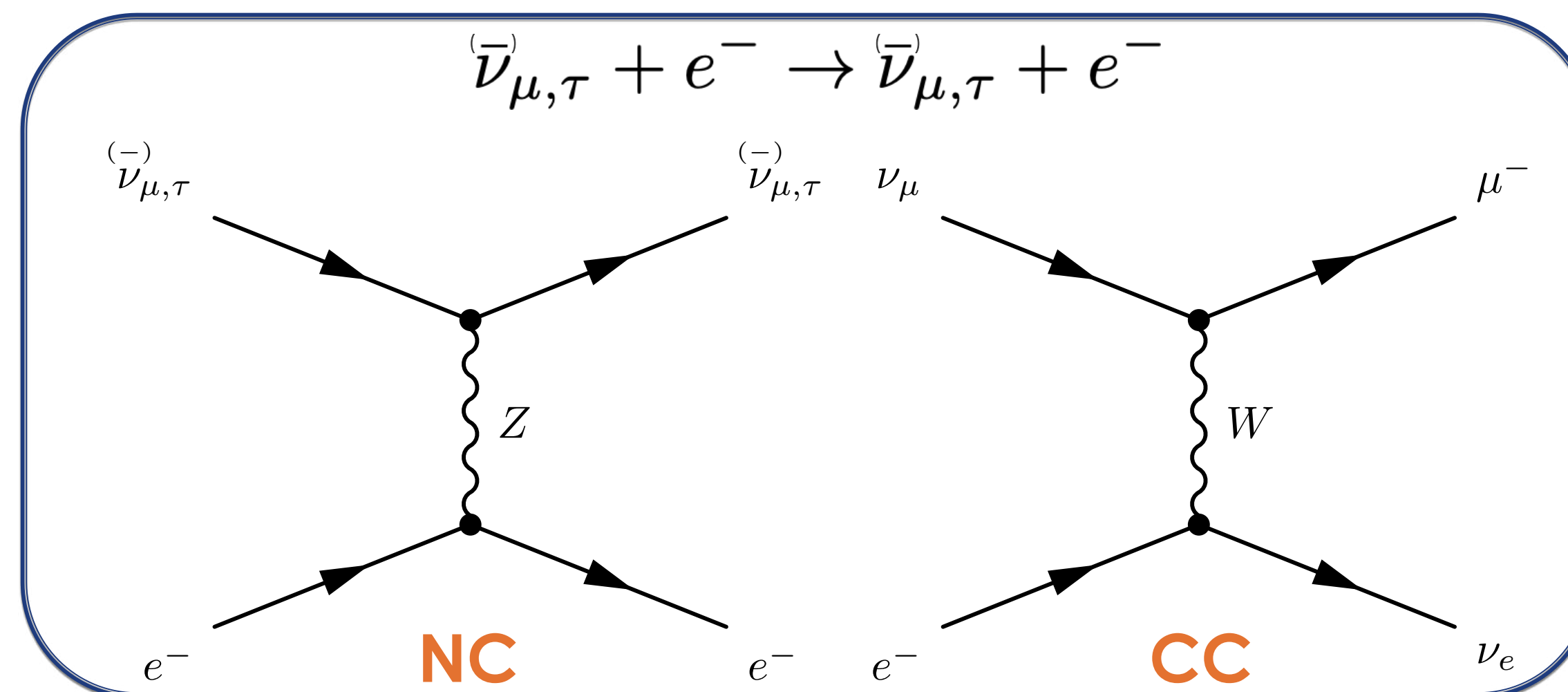
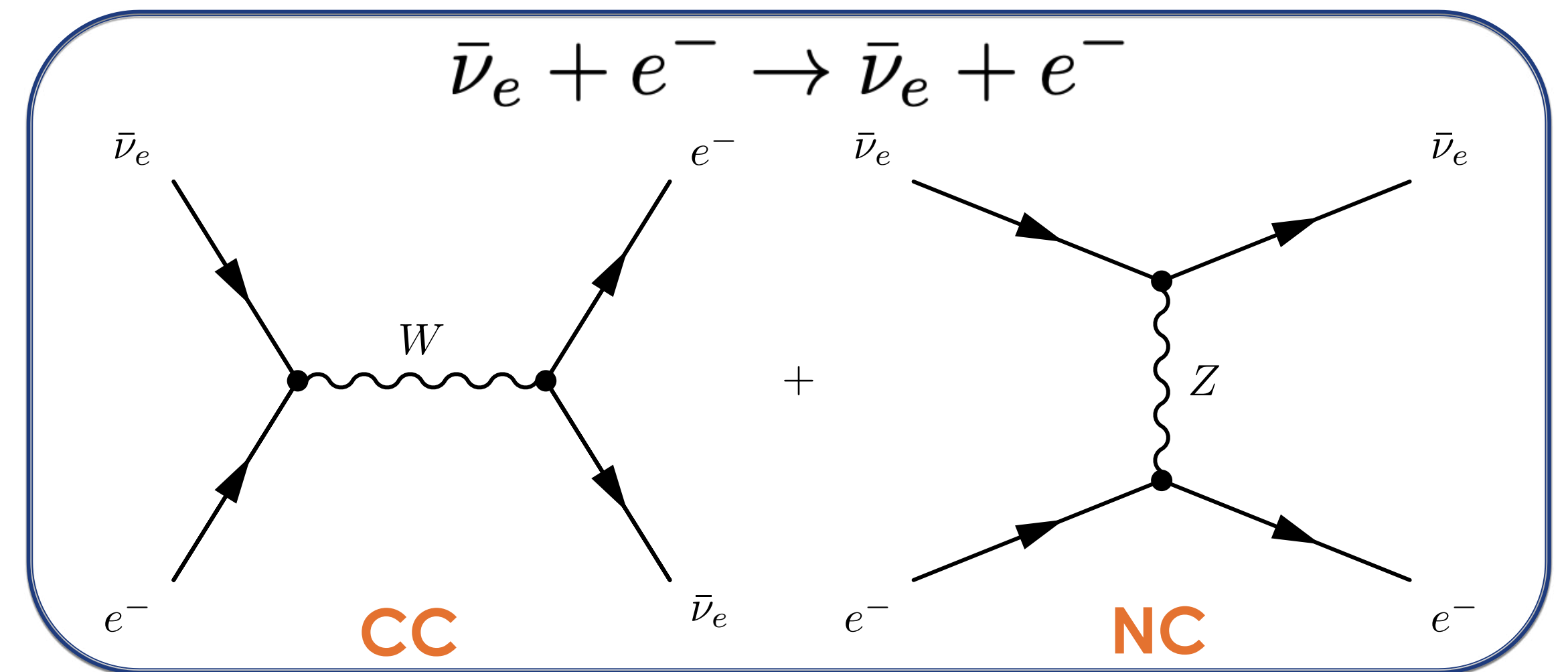
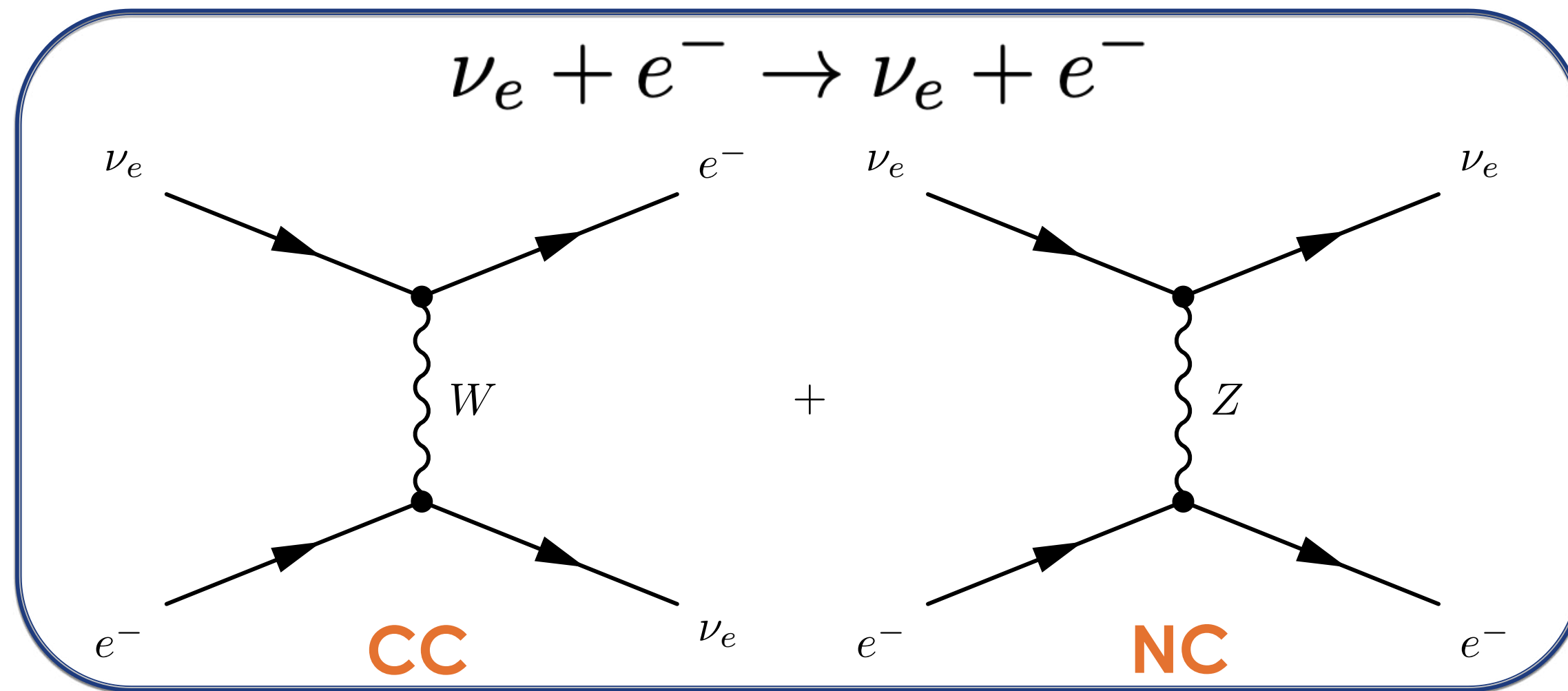


Neutrinos interact

Within the Standard Model

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The Interactions: neutrino – electron

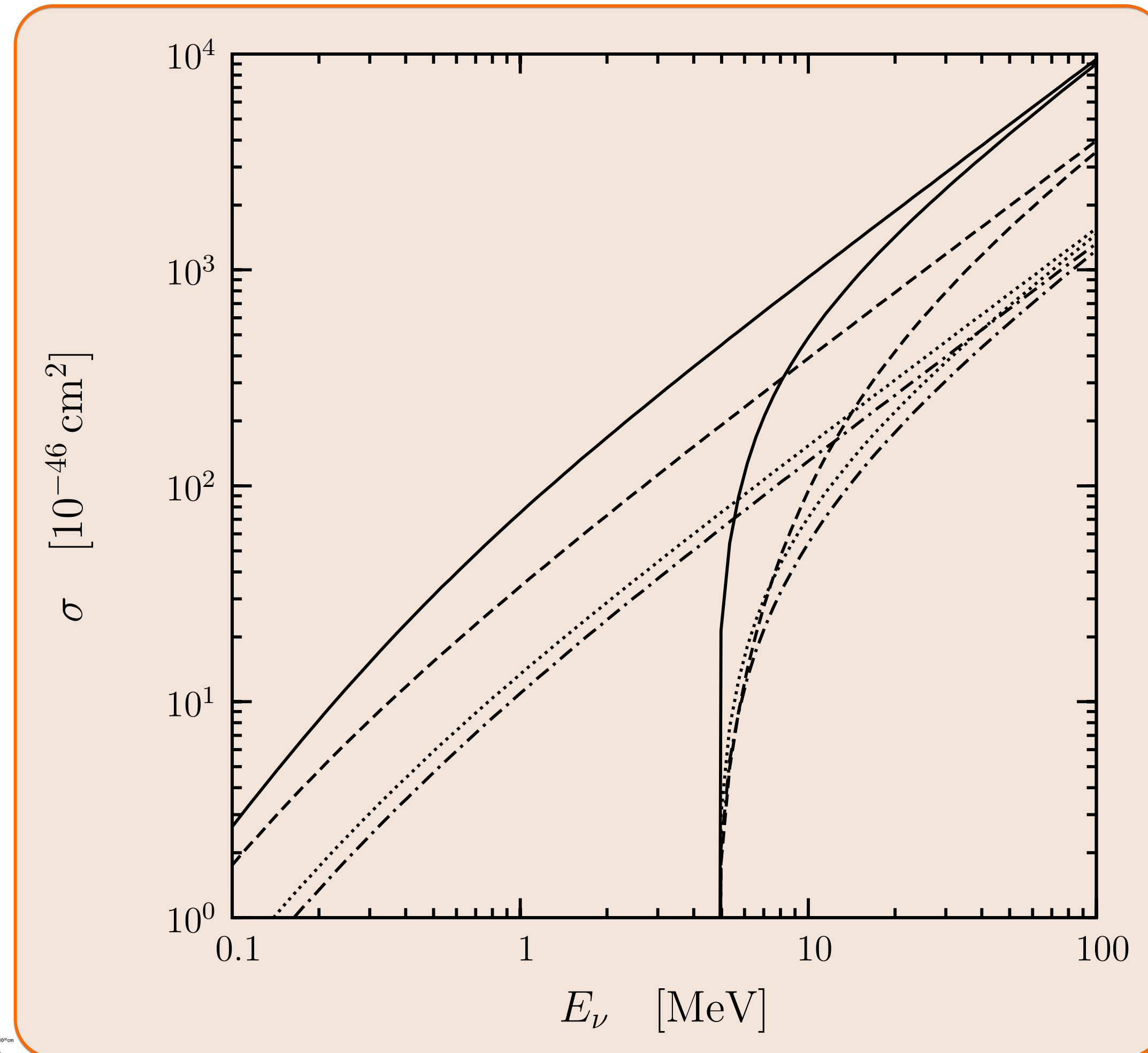


Neutrinos interact

Within the Standard Model

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The Cross Section: neutrino – electron



— $\nu_e + e^- \rightarrow \nu_e + e^-$

- - - $\bar{\nu}_e + e^- \rightarrow \bar{\nu}_e + e^-$

..... $\bar{\nu}_{\mu,\tau} + e^- \rightarrow \bar{\nu}_{\mu,\tau} + e^-$

- . - . $\bar{\nu}_{\mu,\tau} + e^- \rightarrow \bar{\nu}_{\mu,\tau} + e^-$

[C. Giunti & C.W. Kim, *Funds. of Neutrinos Physics and Astrophysics* (2007)]

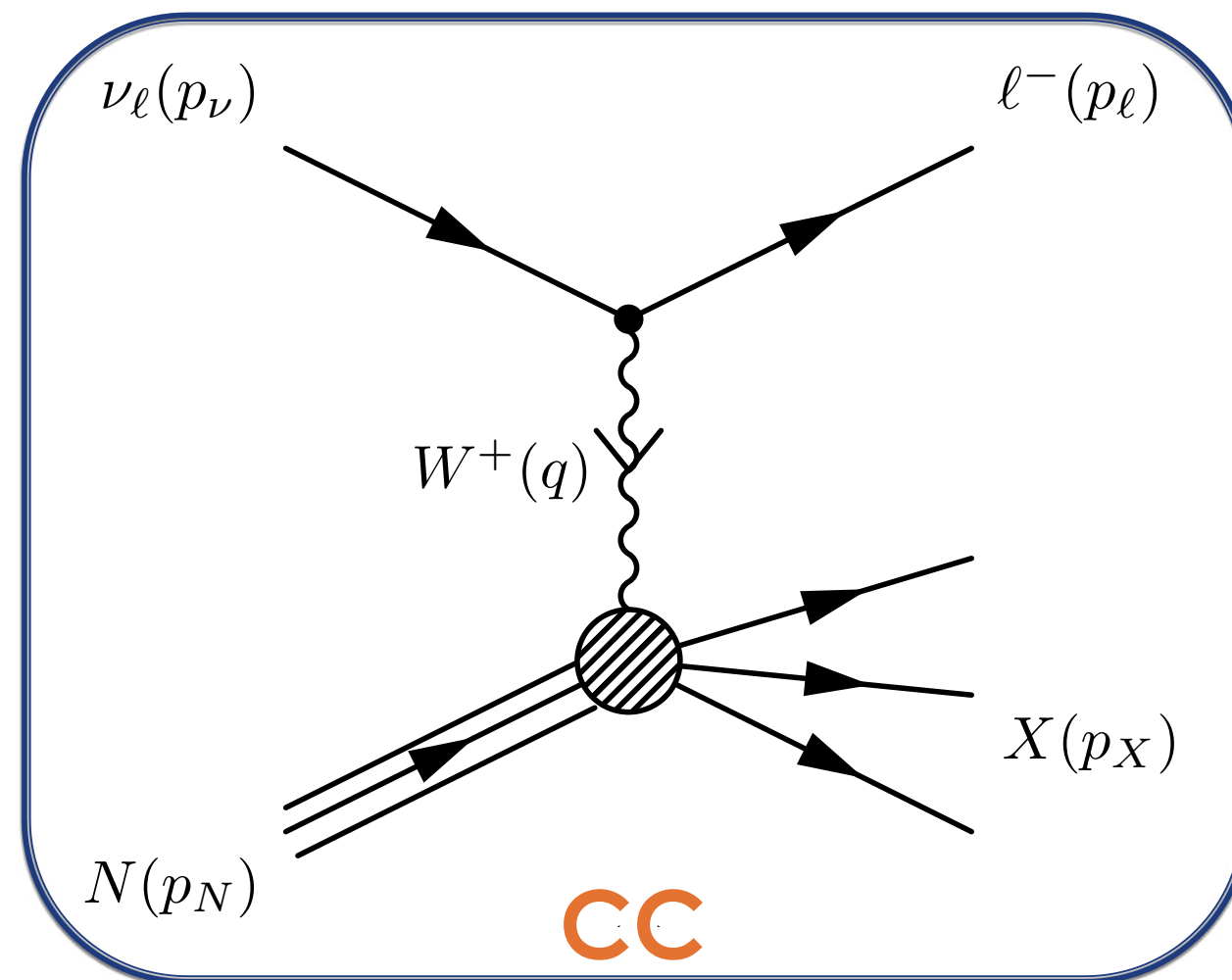


Neutrinos interact

Within the Standard Model

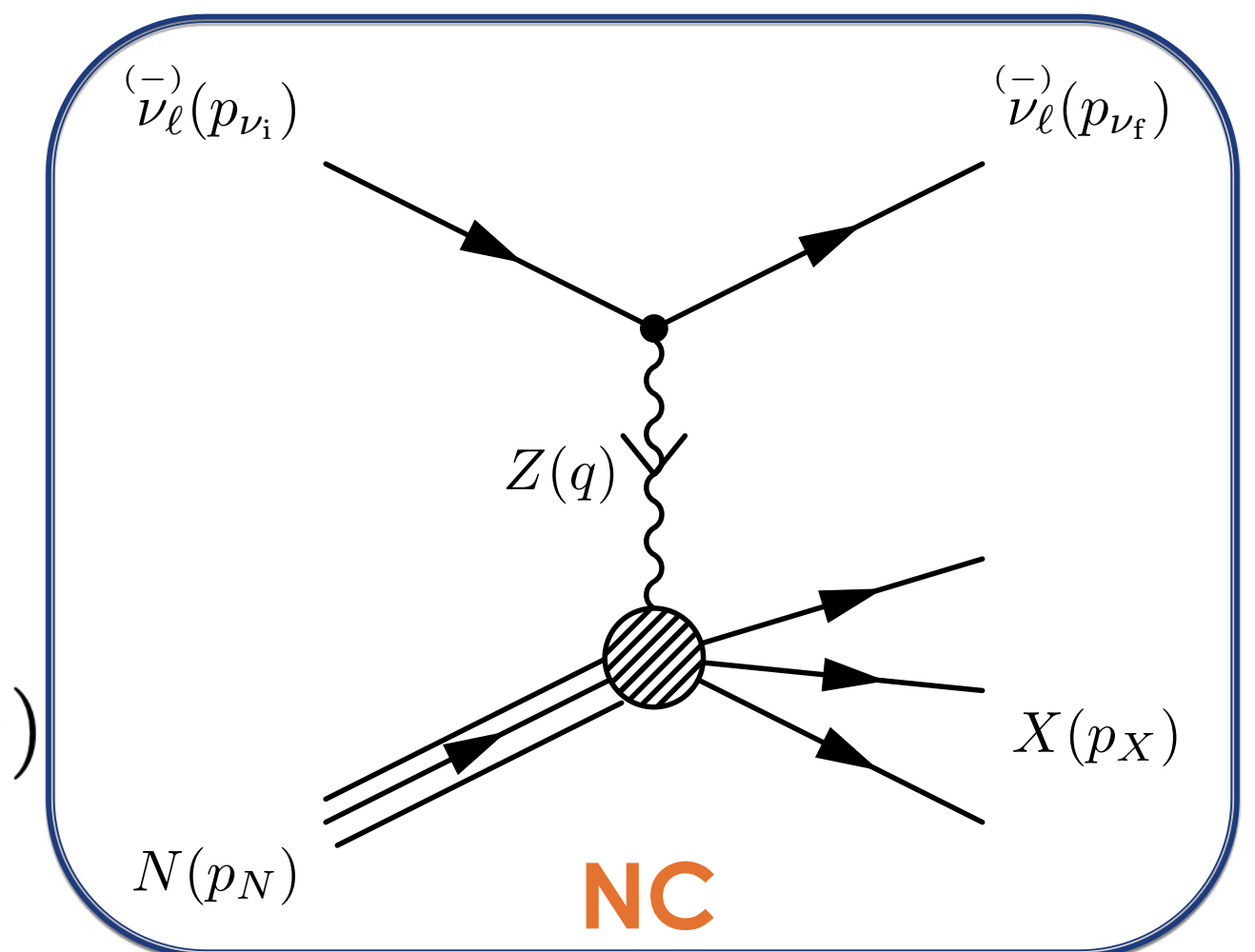
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The Interactions: neutrino – nucleon DIS



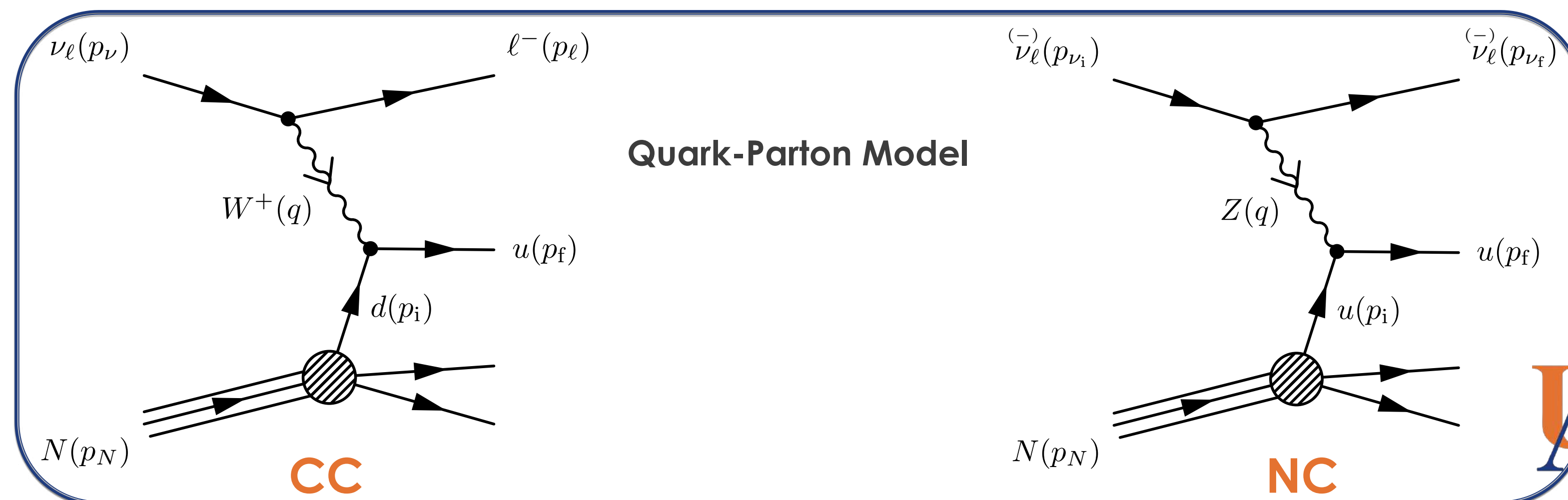
$$\nu_l(p_\nu) + N(p_N) \rightarrow l^-(p_l) + X(p_X)$$

$$N = p, n$$



$$\bar{\nu}_l(p_{\nu_i}) + N(p_N) \rightarrow \bar{\nu}_l(p_{\nu_f}) + X(p_X)$$

$$N = p, n$$

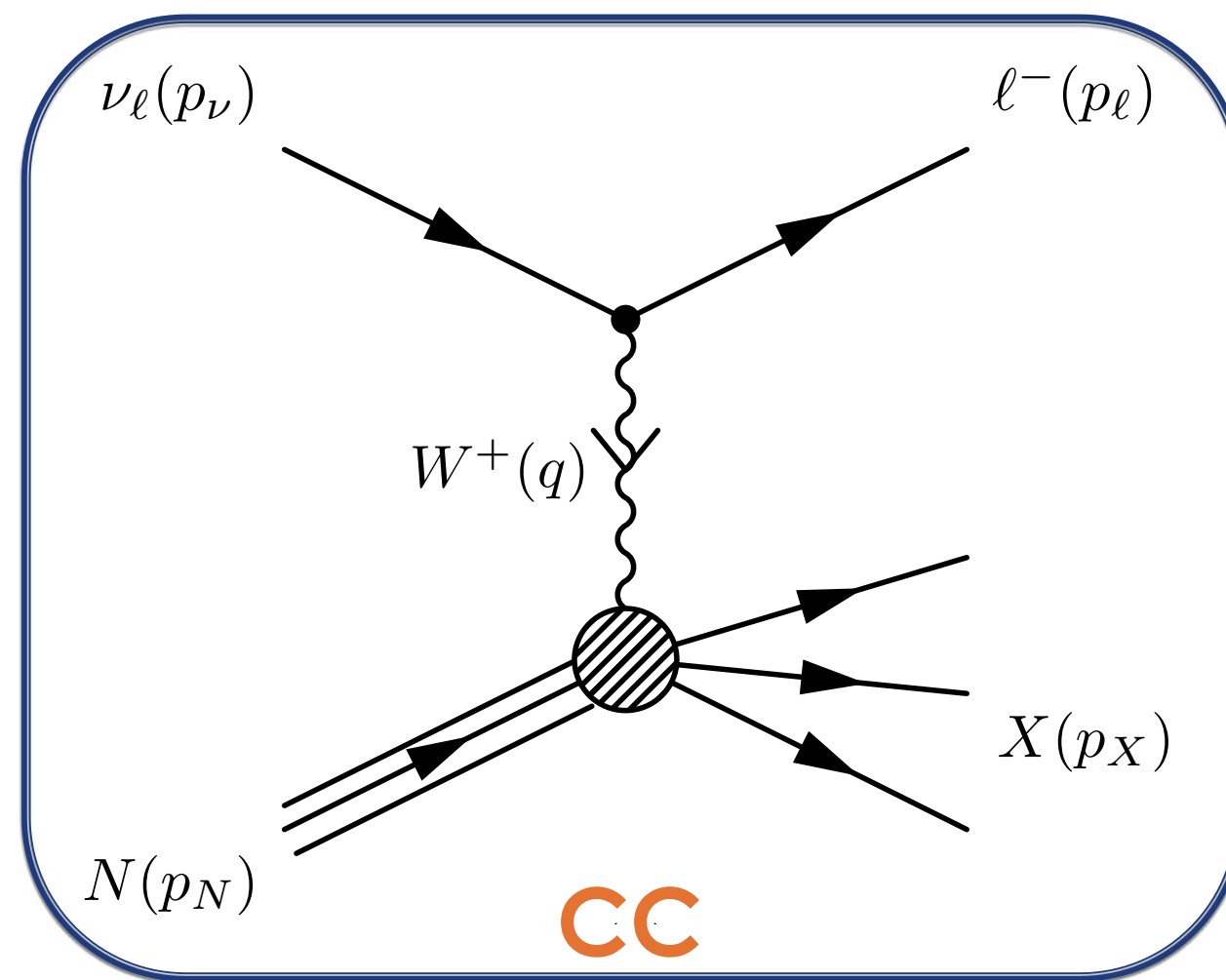


Neutrinos interact

Within the Standard Model

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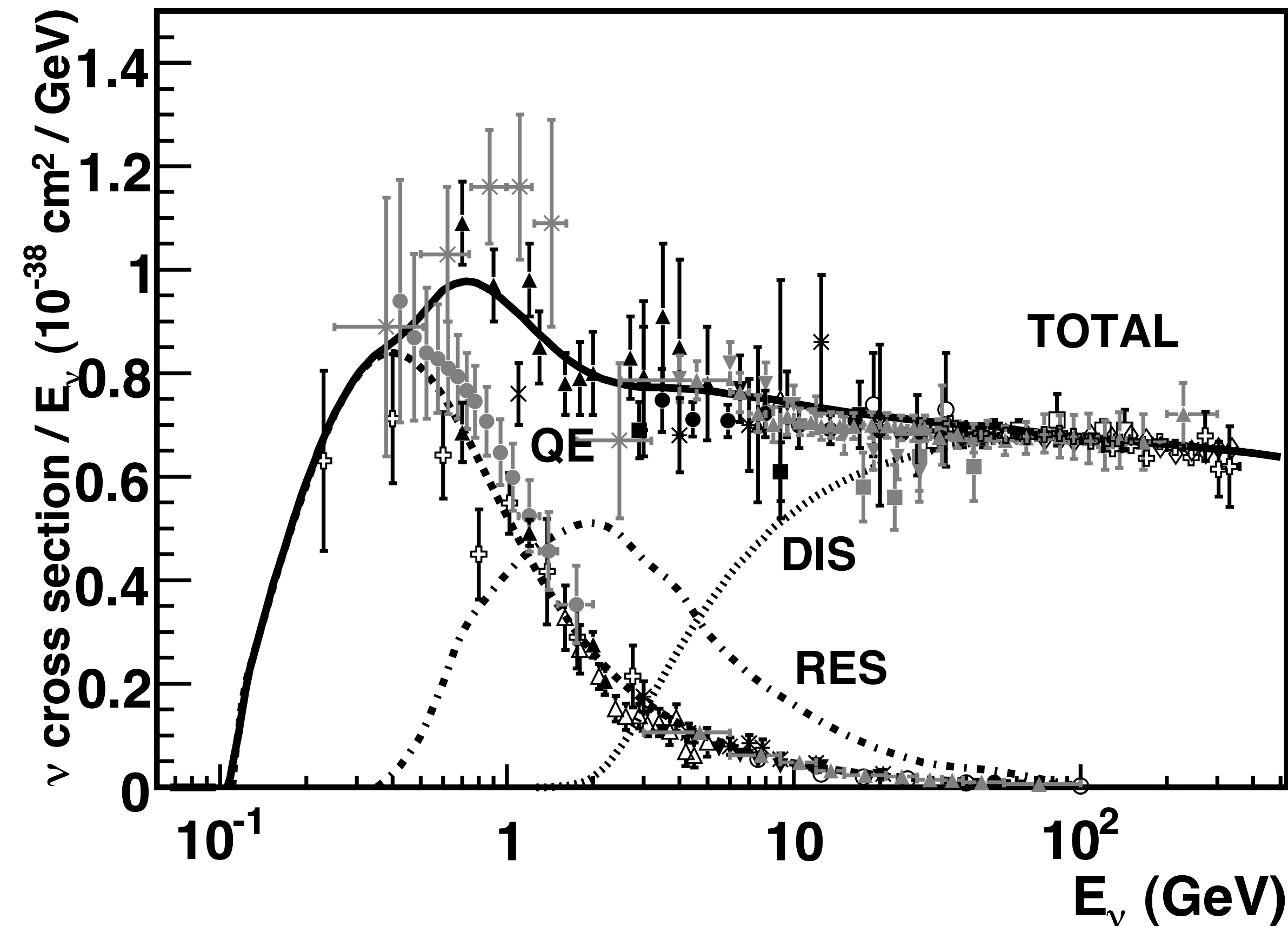
The Interactions: neutrino – nucleon DIS



$$\nu_l(p_\nu) + N(p_N) \rightarrow l^-(p_l) + X(p_X)$$

$$N = p, n$$

[J.A. Formaggio & G.P. Zeller, Rev.Mod.Phys. 84 (2012)]



Total CC cross section

- QE: Quasi-Elastic
- RES: Resonance production
- DIS: Deep Inelastic Scattering



Neutrino History

How we got here...

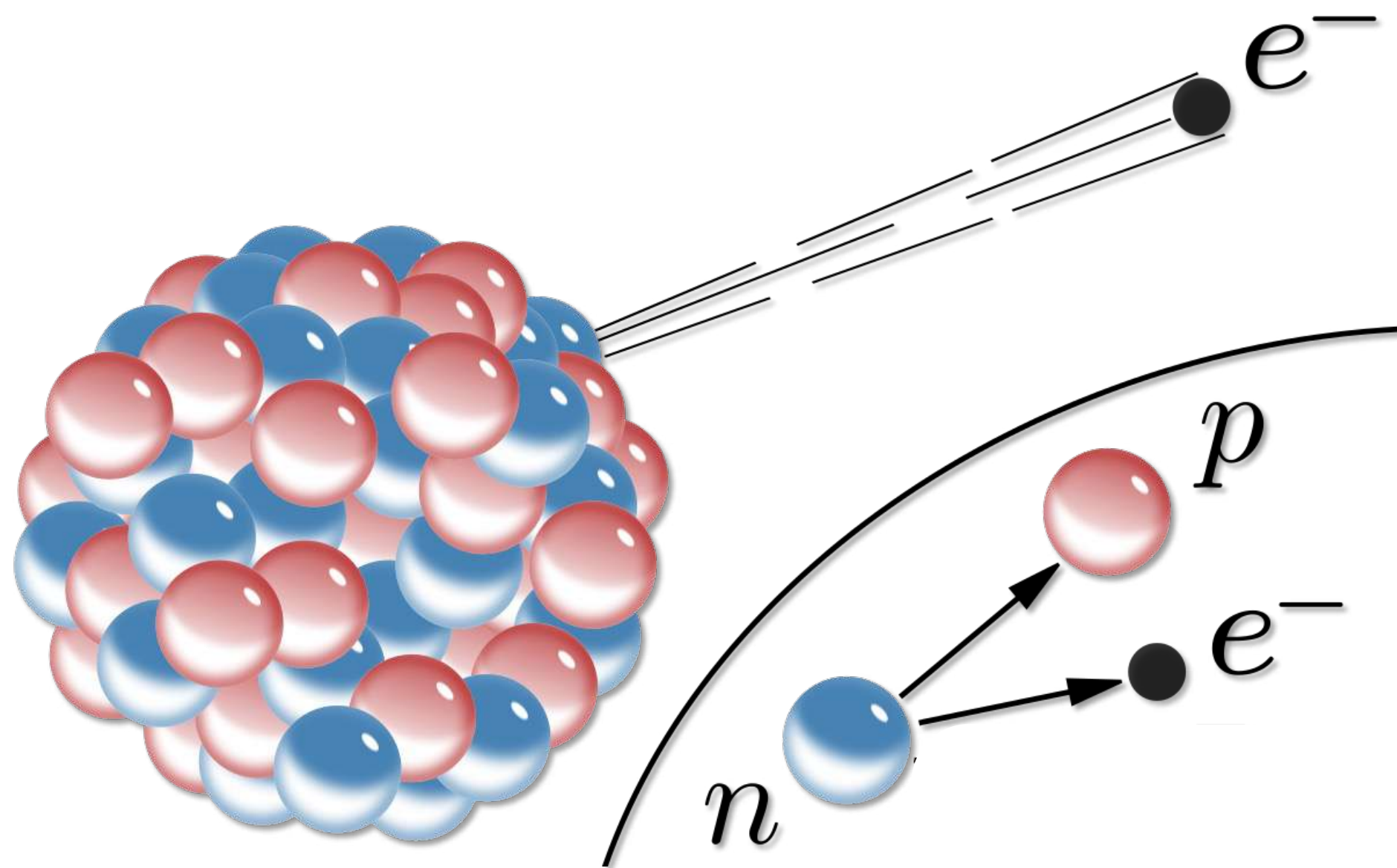


A historic review

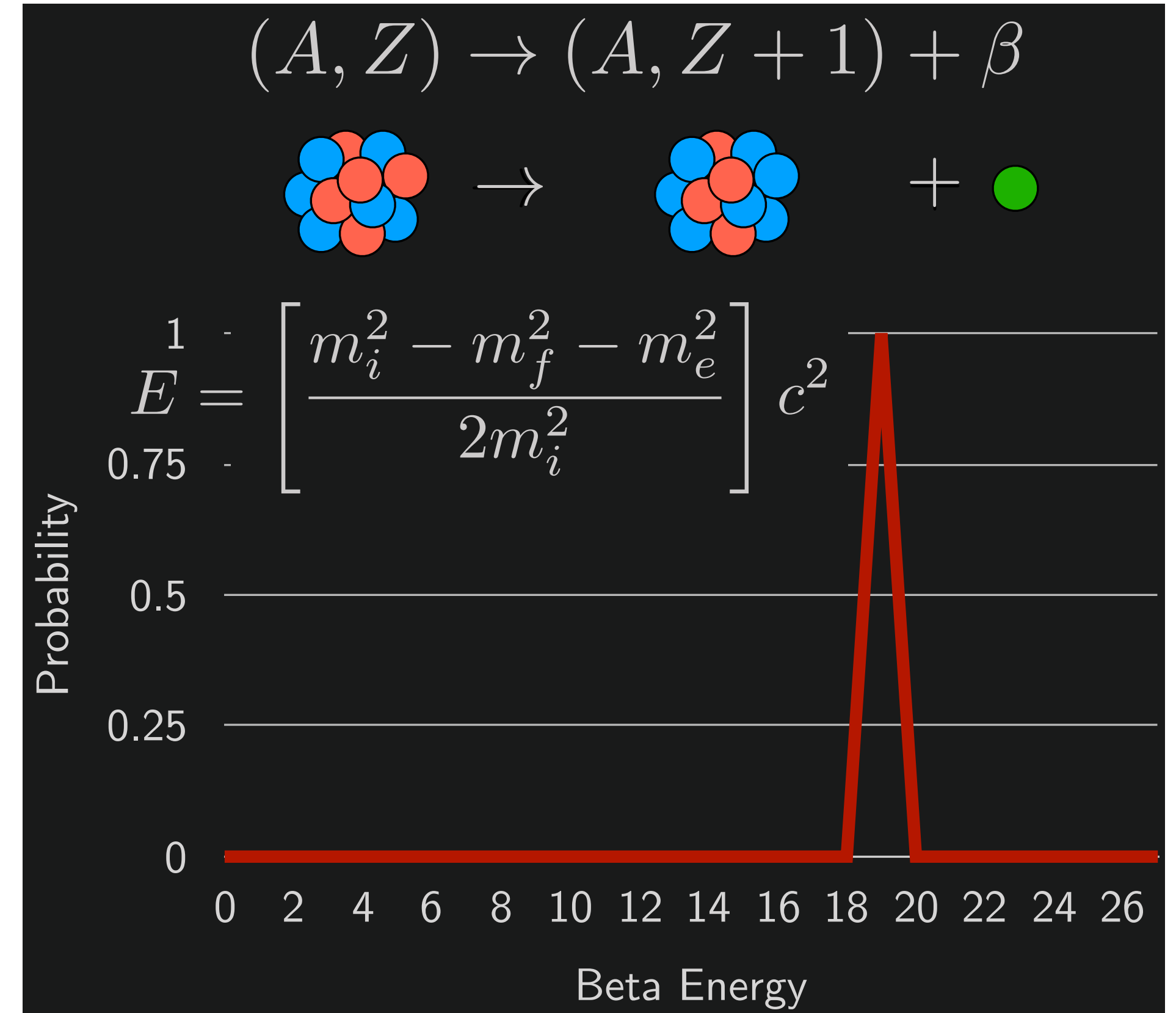
The initial problem

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Beta Decay



Expectation: *two-body decay energy spectrum*



[A.T. Mastbaum, 87th Arthur H. Compton Lecture Series (2018)]

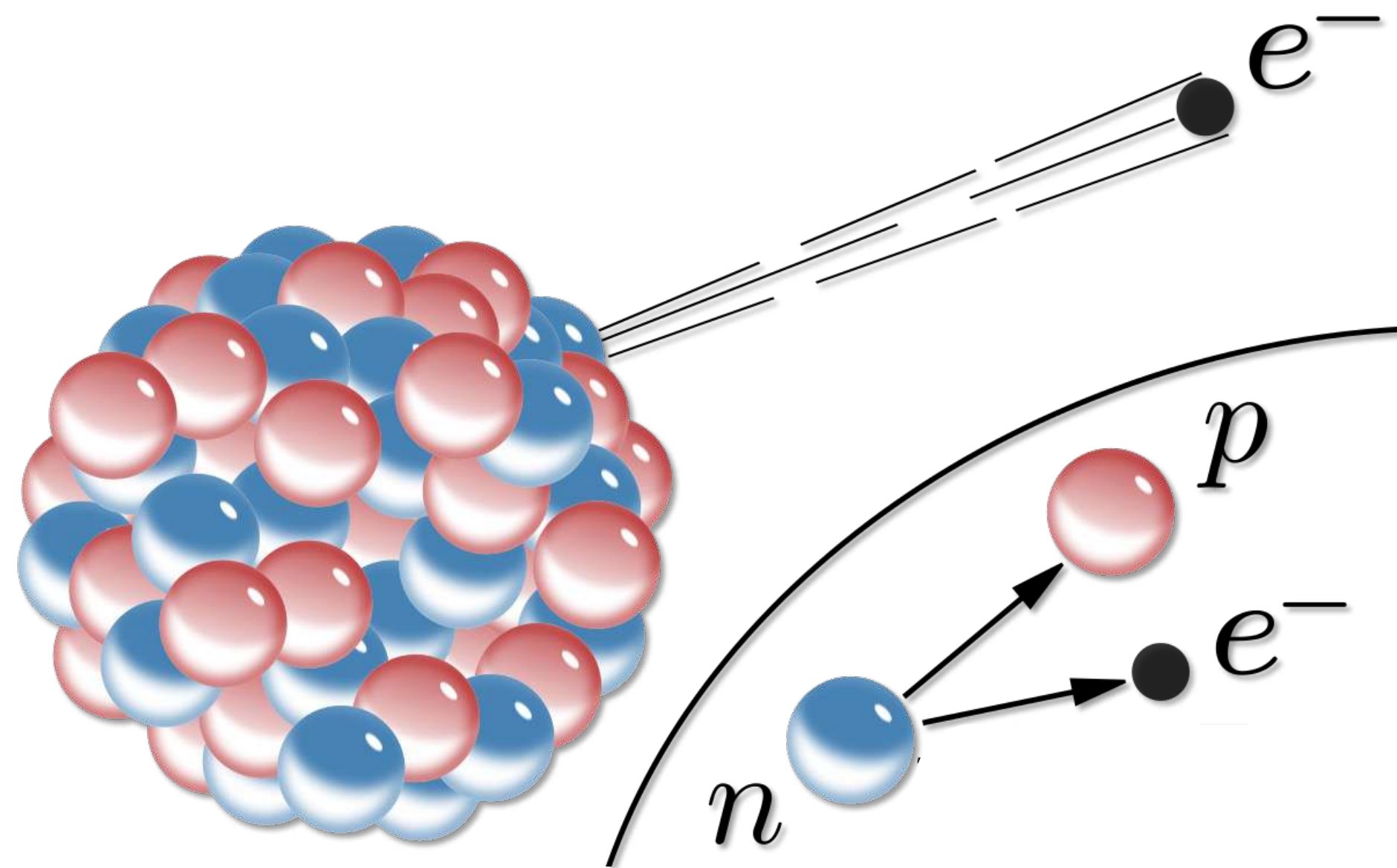


A historic review

The initial problem

#SOMOSUA

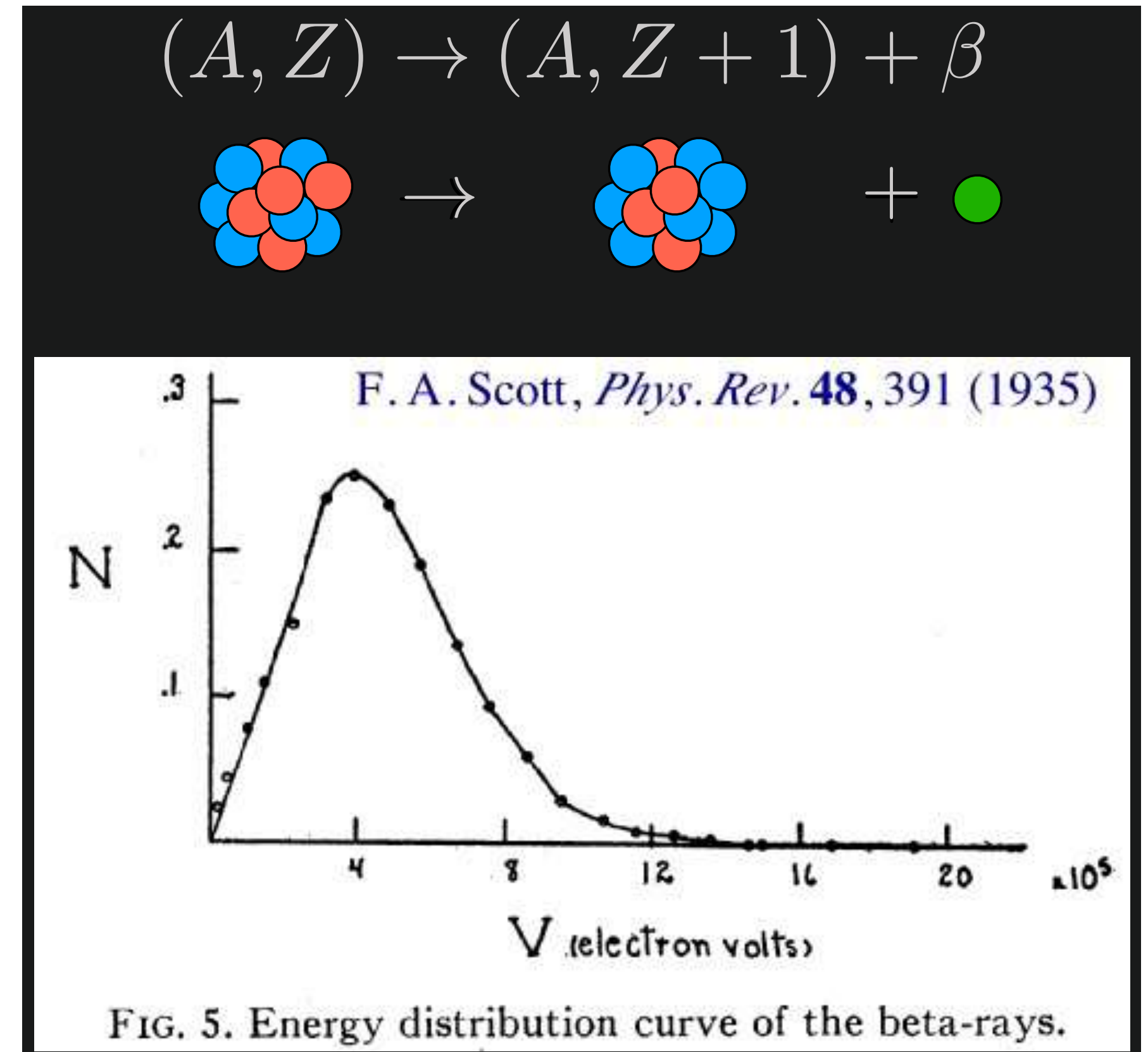
Beta Decay



“Energy is not conserved.”

N. Bohr

Measurement: *two-body decay energy spectrum?*



[A.T. Mastbaum, 87th Arthur H. Compton Lecture Series (2018)]



A historic review

#SOMOSUA

A proposal

"Dear Radioactive Ladies and Gentlemen"

Physikalisches Institut
der Eidg. Technischen Hochschule
Zürich

Zürich, 4. Dez. 1930
Cloriastrasse

Liebe Radioaktive Damen und Herren,

Wie der Ueberbringer dieser Zeilen, den ich herzlichst
anzuhören bitte, Ihnen das näher auseinandersetzen wird, bin ich
angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie
des kontinuierlichen beta-Spektrums auf einen verzweifelten Ausweg
verfallen um den "Wechselsatz" (1) der Statistik und den Energiesatz
zu retten. Nämlich die Möglichkeit, es könnten elektrisch neutrale
Teilchen, die ich Neutronen nennen will, in den Kernen existieren,
welche den Spin $1/2$ haben und das Ausschliessungsprinzip befolgen und
sich von Lichtquanten ausserdem noch dadurch unterscheiden, dass sie
nicht mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen
müsste von derselben Grössenordnung wie die Elektronenmasse sein und
jedenfalls nicht grösser als $0,01$ Protonenmasse.- Das kontinuierliche
beta-Spektrum wäre dann verständlich unter der Annahme, dass beim
beta-Zerfall mit dem Elektron jeweils noch ein Neutron emittiert
wird, derart, dass die Summe der Energien von Neutron und Elektron
konstant ist.



I have done a terrible thing, I have
postulated a particle that cannot be
detected.

— Wolfgang Pauli —

AZ QUOTES

ges. W. Pauli

"(...), the possibility that in the nuclei there could exist electrically neutral particles, which I will call neutrons, that have spin $1/2$ and obey the exclusion principle and that further differ from light quanta in that they do not travel with the velocity of light."

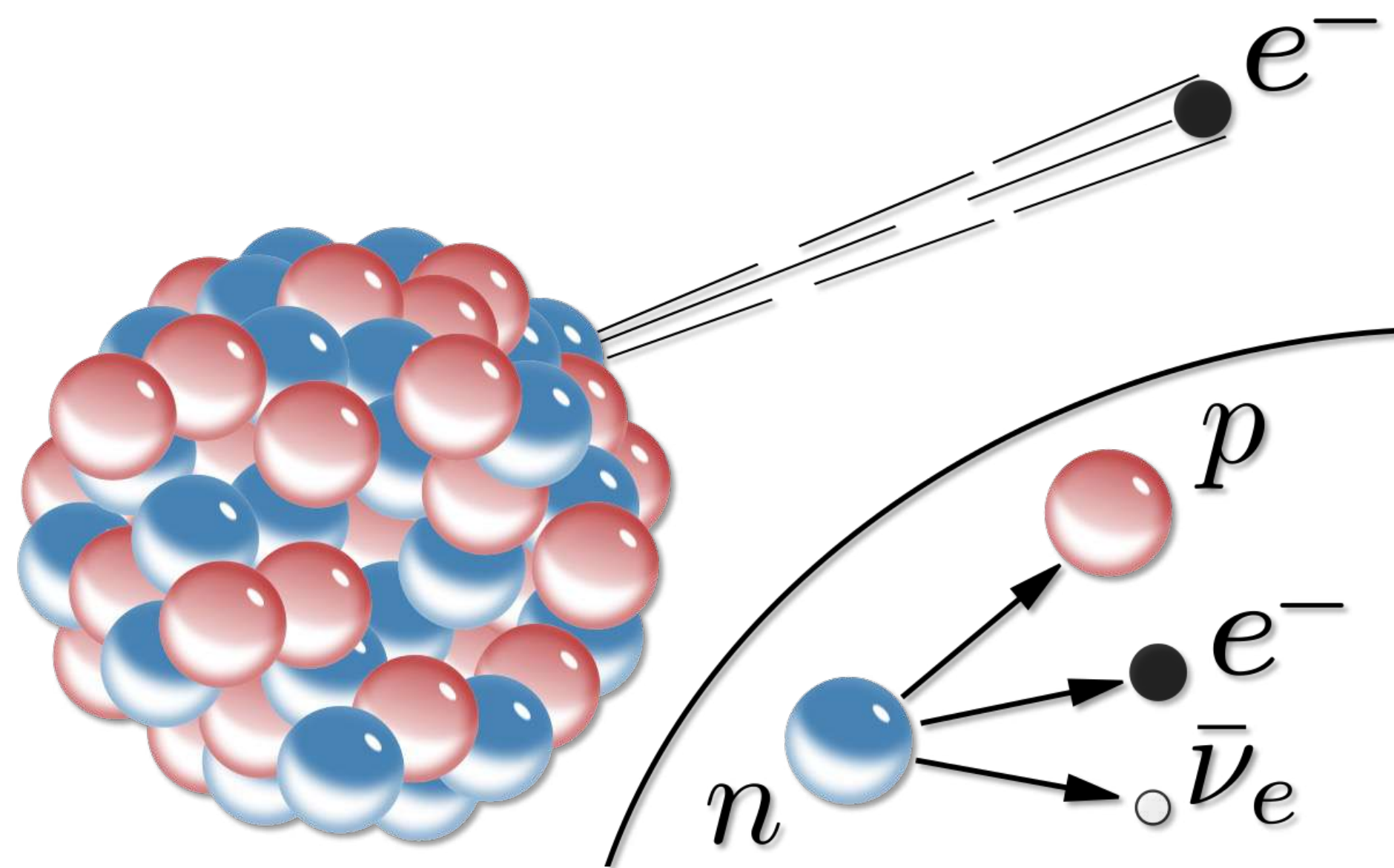


A historic review

The solution

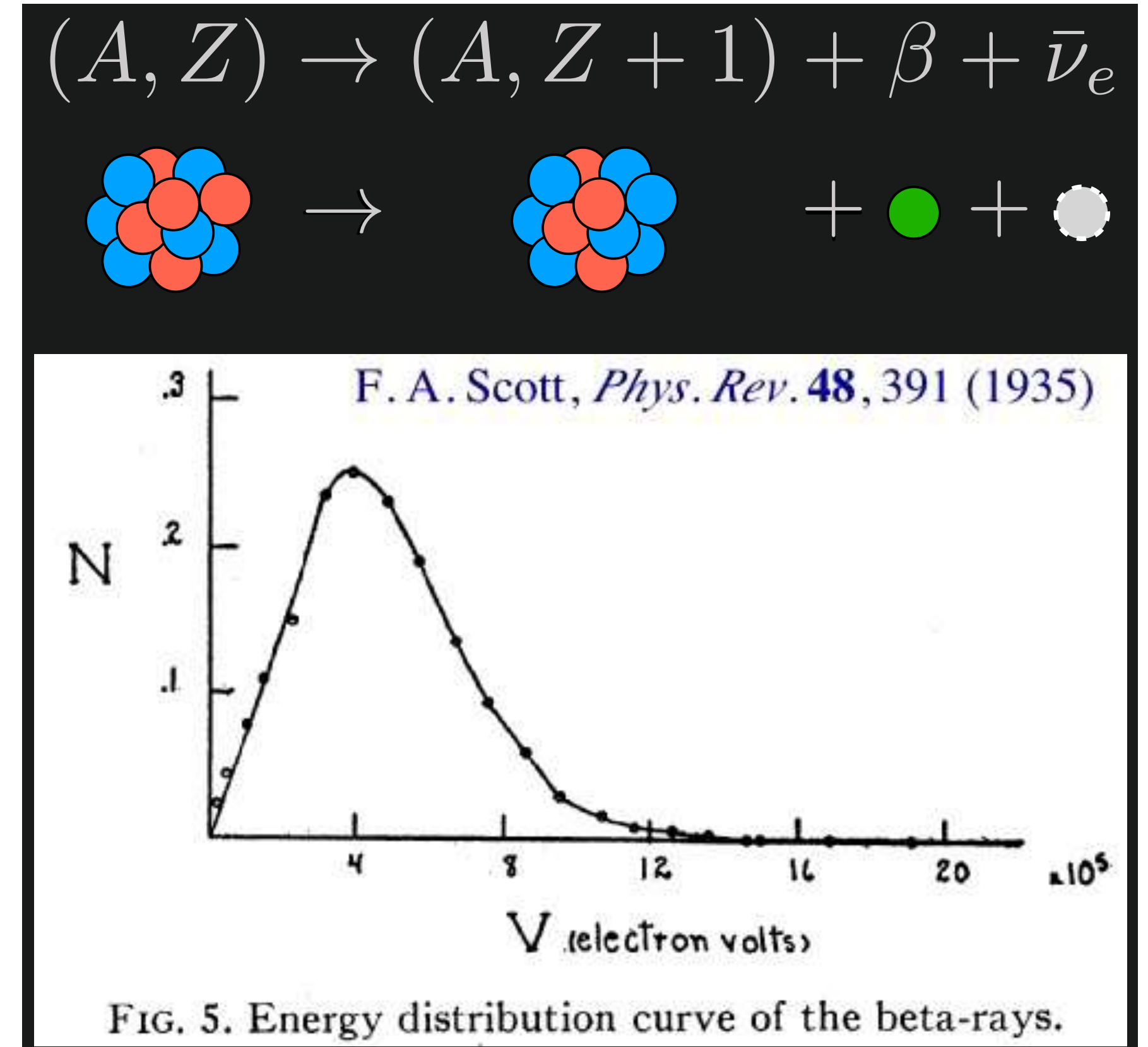
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Beta Decay



Energy is conserved!

Observation: *energy spectrum is continuous!*



[A.T. Mastbaum, 87th Arthur H. Compton Lecture Series (2018)]



A historic review

Theory and Experiment

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[All Things Neutrino, <http://neutrinos.fnal.gov/history/>]



Enrico Fermi

- Effective theory of weak interactions (1934)
- Neutrino (*little neutral one*) got his name!

Hans Bethe and Rudolf Peierls

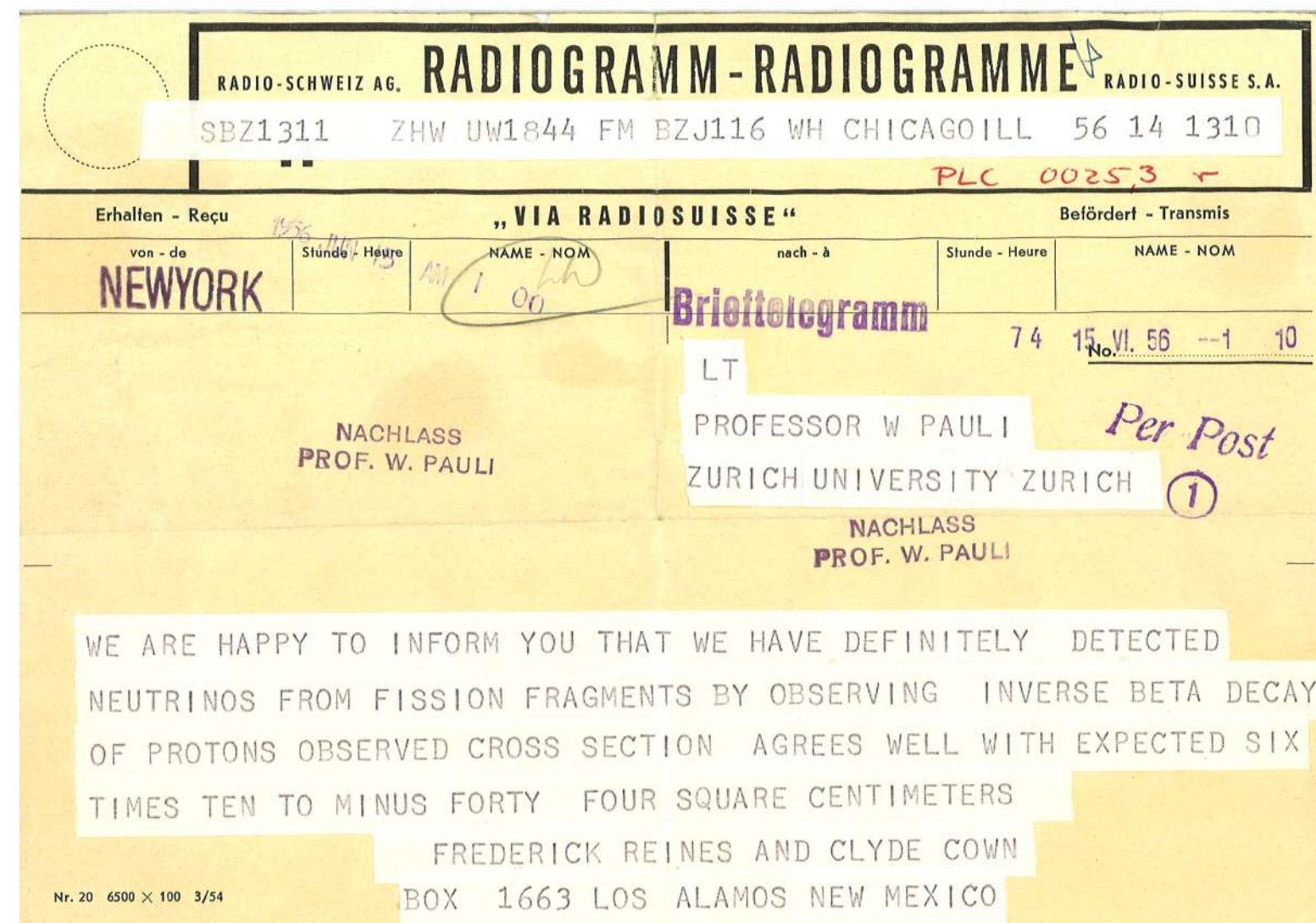
- Cross section calculations ($\sigma < 10^{-44} \text{ cm}^2$)

Ettore Majorana

- Neutrinos could be their own antiparticles (1937)

Frederick Reines and Clyde Cowan Jr.

- First evidence of neutrinos (1956) – Savannah River Nuclear Reactor Plant



A historic review

Theory and Experiment

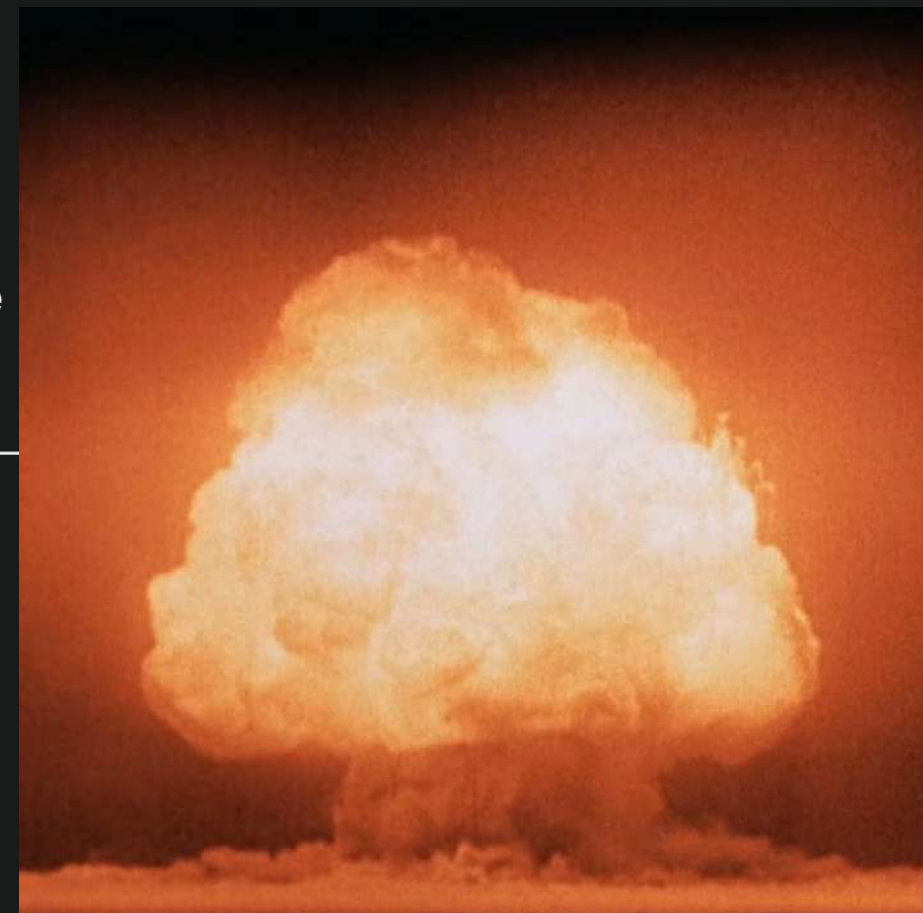
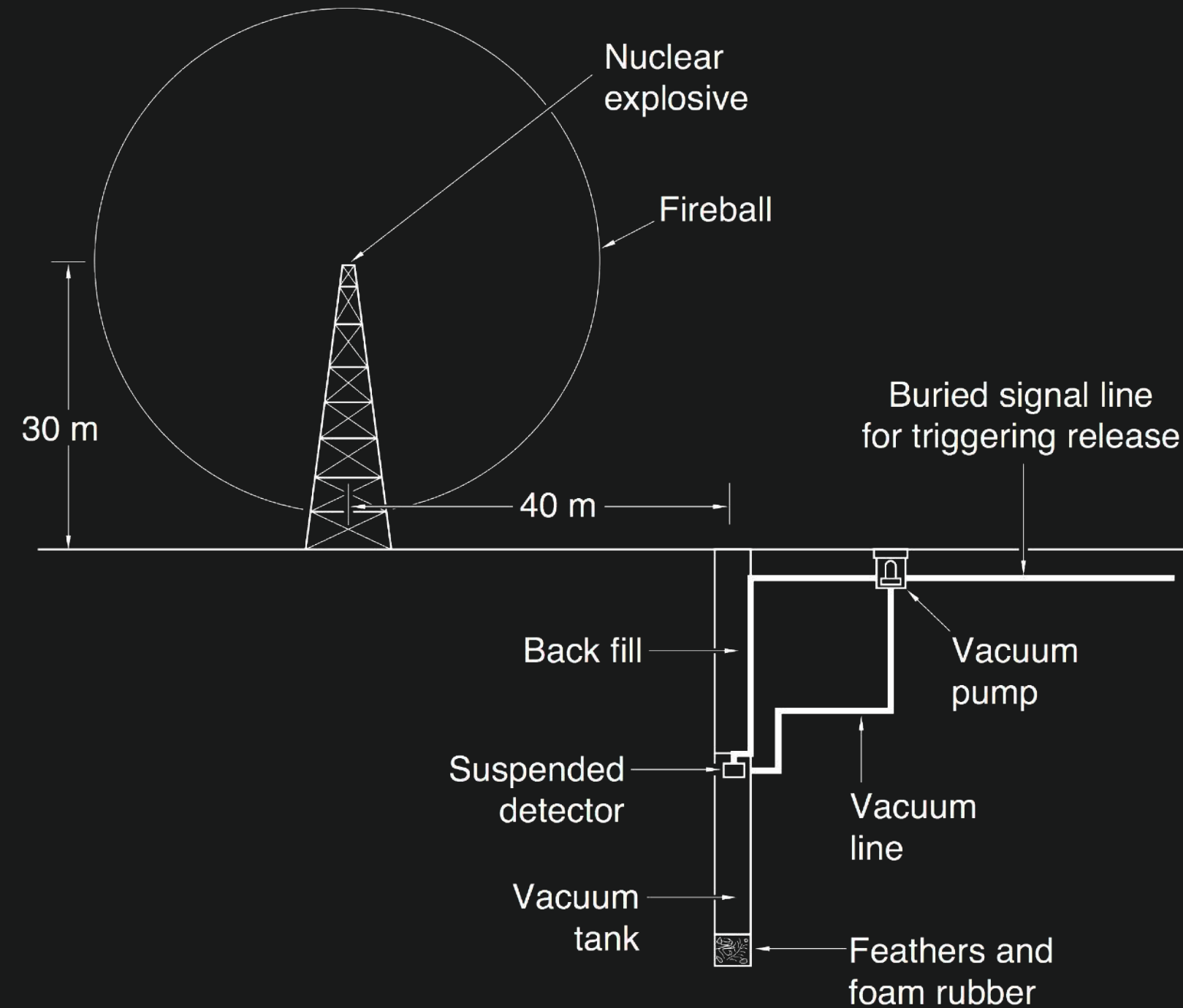
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[A.T. Mastbaum, 87th Arthur H. Compton Lecture Series (2018)]

Hunting the Neutrino Plan A: Project Poltergeist



Hanford Team 1953
Los Alamos Science 25 (1997)



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A historic review

Theory and Experiment

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[A.T. Mastbaum, 87th Arthur H. Compton Lecture Series (2018)]

Neutrino Signature

1. Flash of light from positron
2. Neutron bounces around
3. Nucleus captures neutron
4. Capturing nucleus emits gamma rays — second flash!

Fred Reines &
Clyde Cowan



Dept. of Physics, UC Irvine

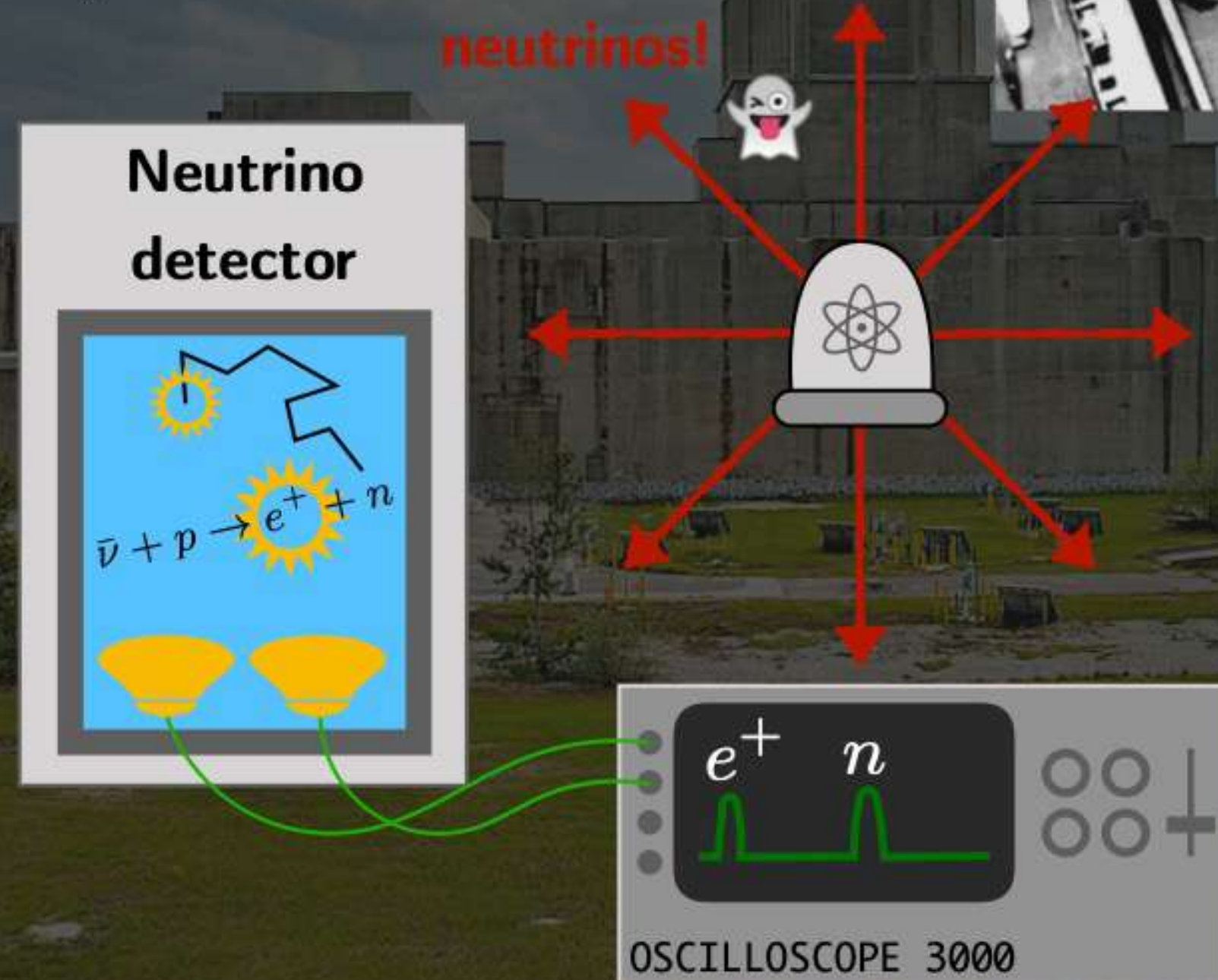


Photo from 2011
CC BY 2.0, DOE SRS

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A historic review

The recognitions



Photo from the Nobel Foundation archive.

Leon M. Lederman



Photo from the Nobel Foundation archive.

Melvin Schwartz

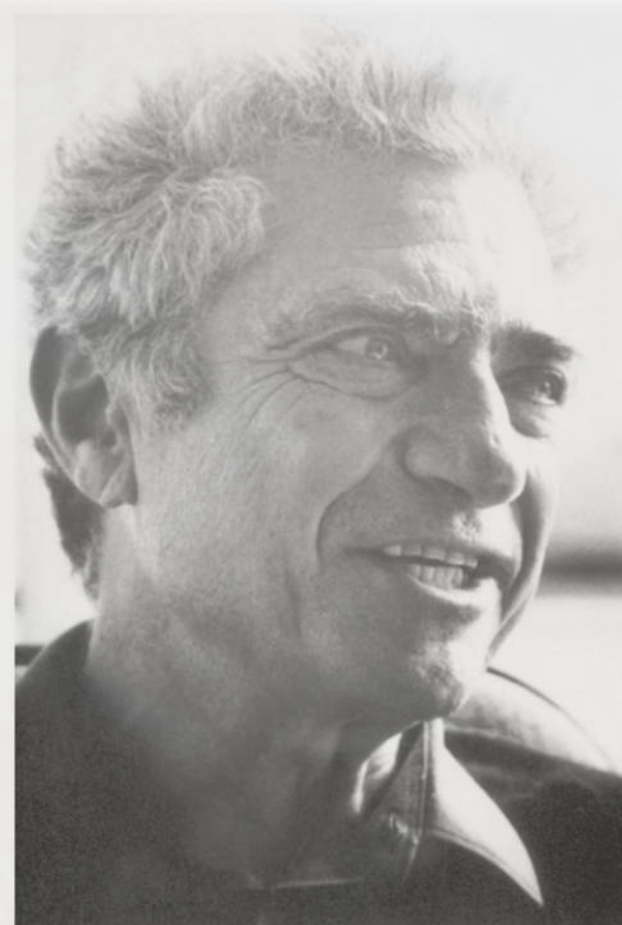
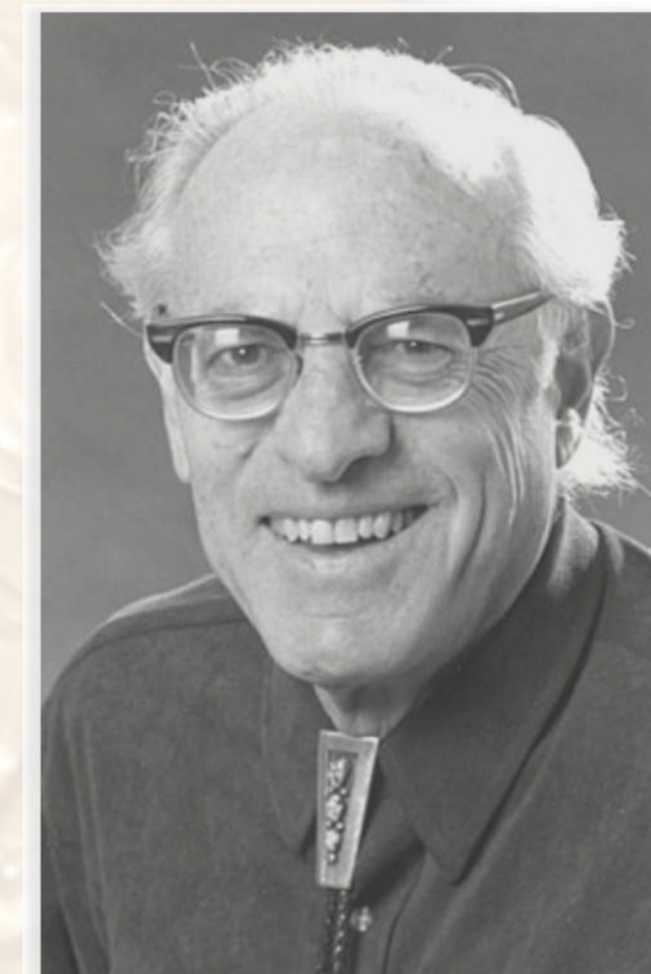


Photo from the Nobel Foundation archive.

Jack Steinberger

1988: "for the neutrino beam method and the demonstration of the doublet structure of the leptons through the discovery of the muon neutrino."



© University of California Regents

Frederick Reines

1995: "for the detection of the neutrino."

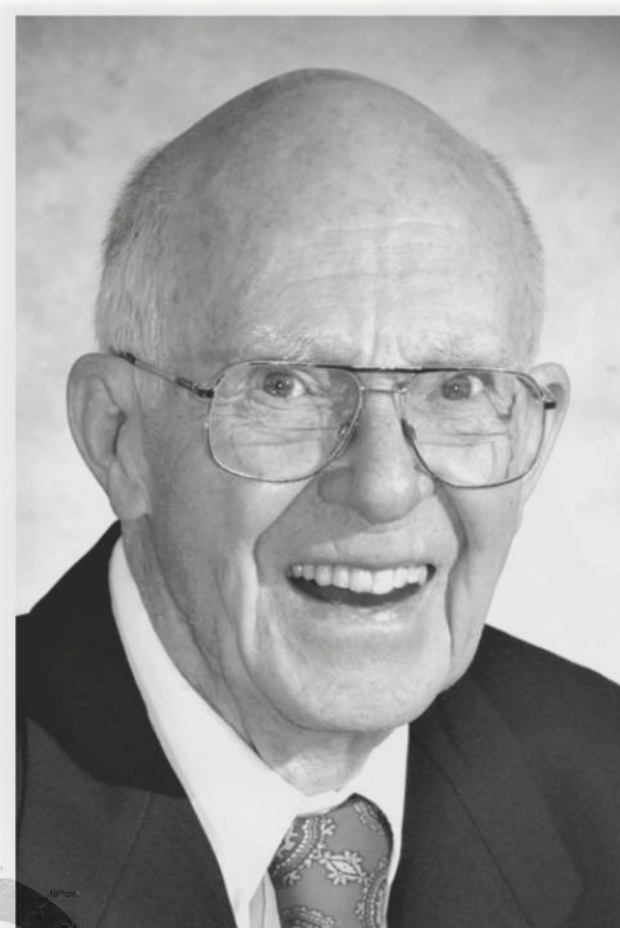


Photo from the Nobel Foundation archive.

Raymond Davis Jr.

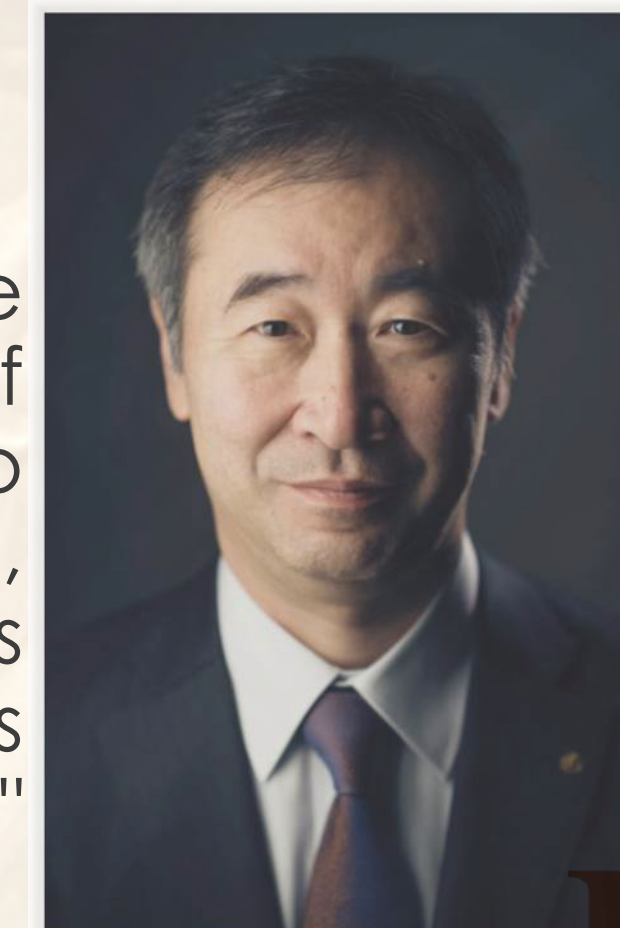


Photo from the Nobel Foundation archive.

Masatoshi Koshihara

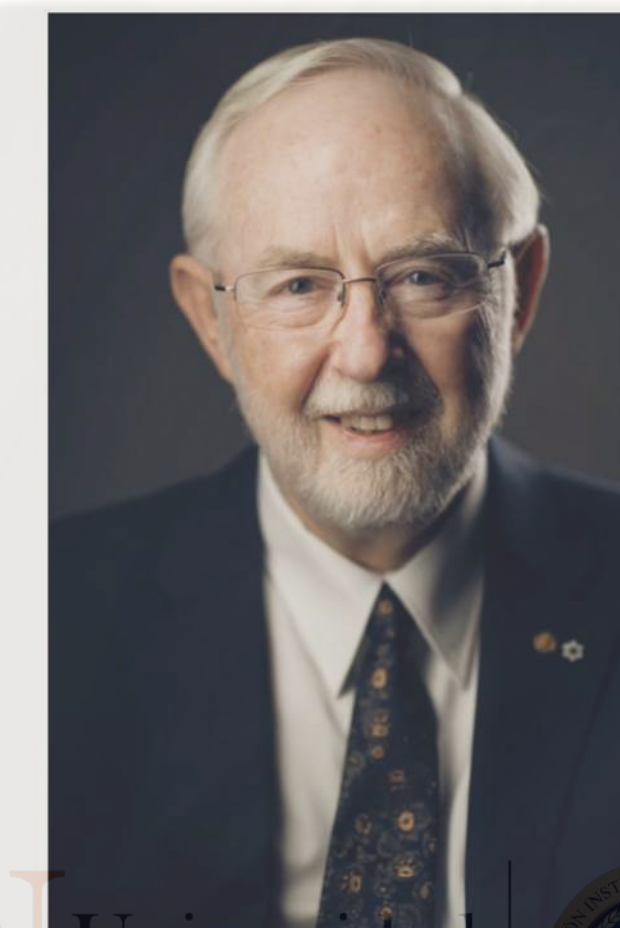
2002: "for pioneering contributions to astrophysics, in particular for the detection of cosmic neutrinos"

2015: "for the discovery of neutrino oscillations, which shows that neutrinos have mass."



© Nobel Media AB. Photo: A. Mahmoud

Takaaki Kajita



© Nobel Media AB. Photo: A. Mahmoud

Arthur B. McDonald

Neutrino Sources

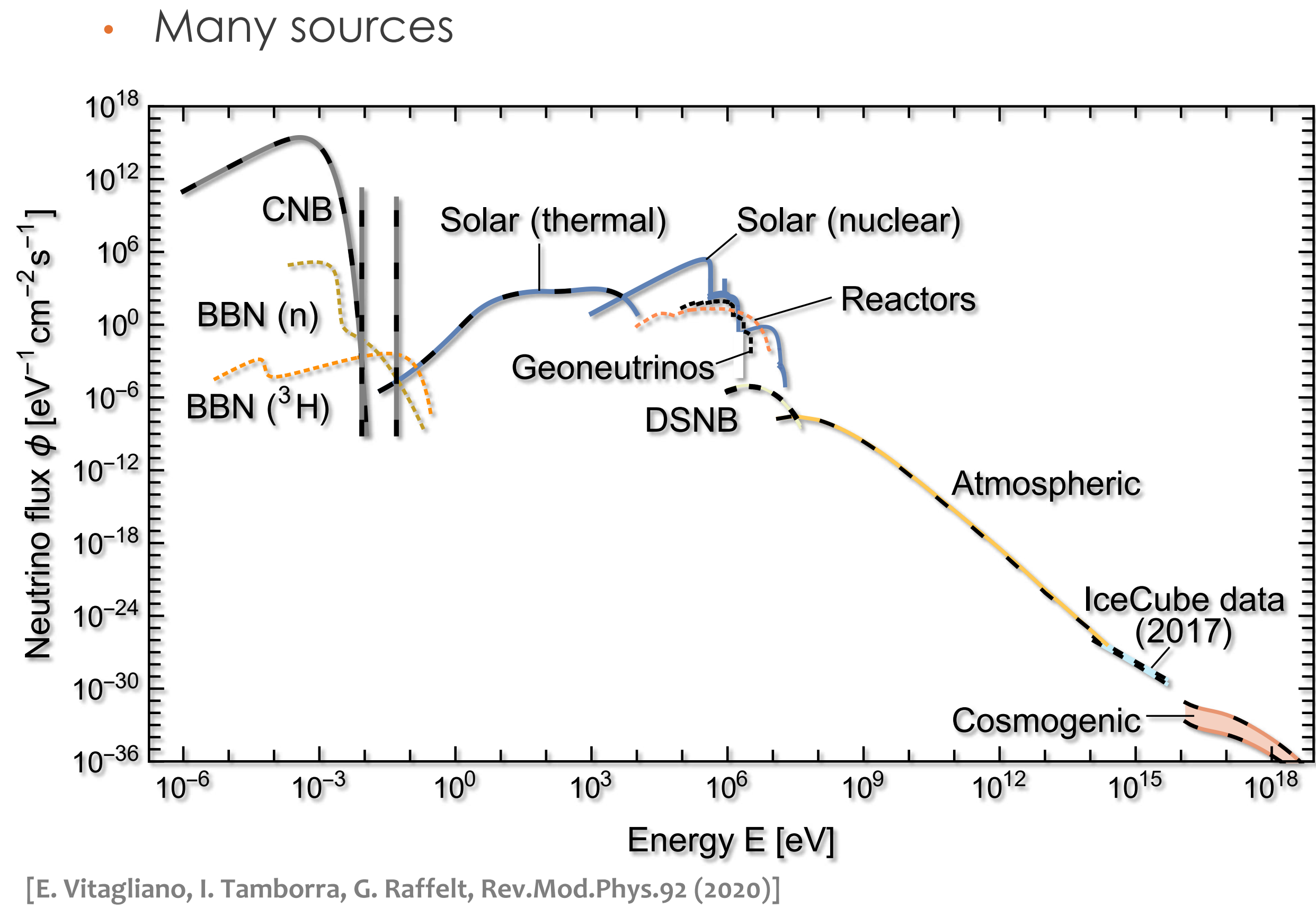
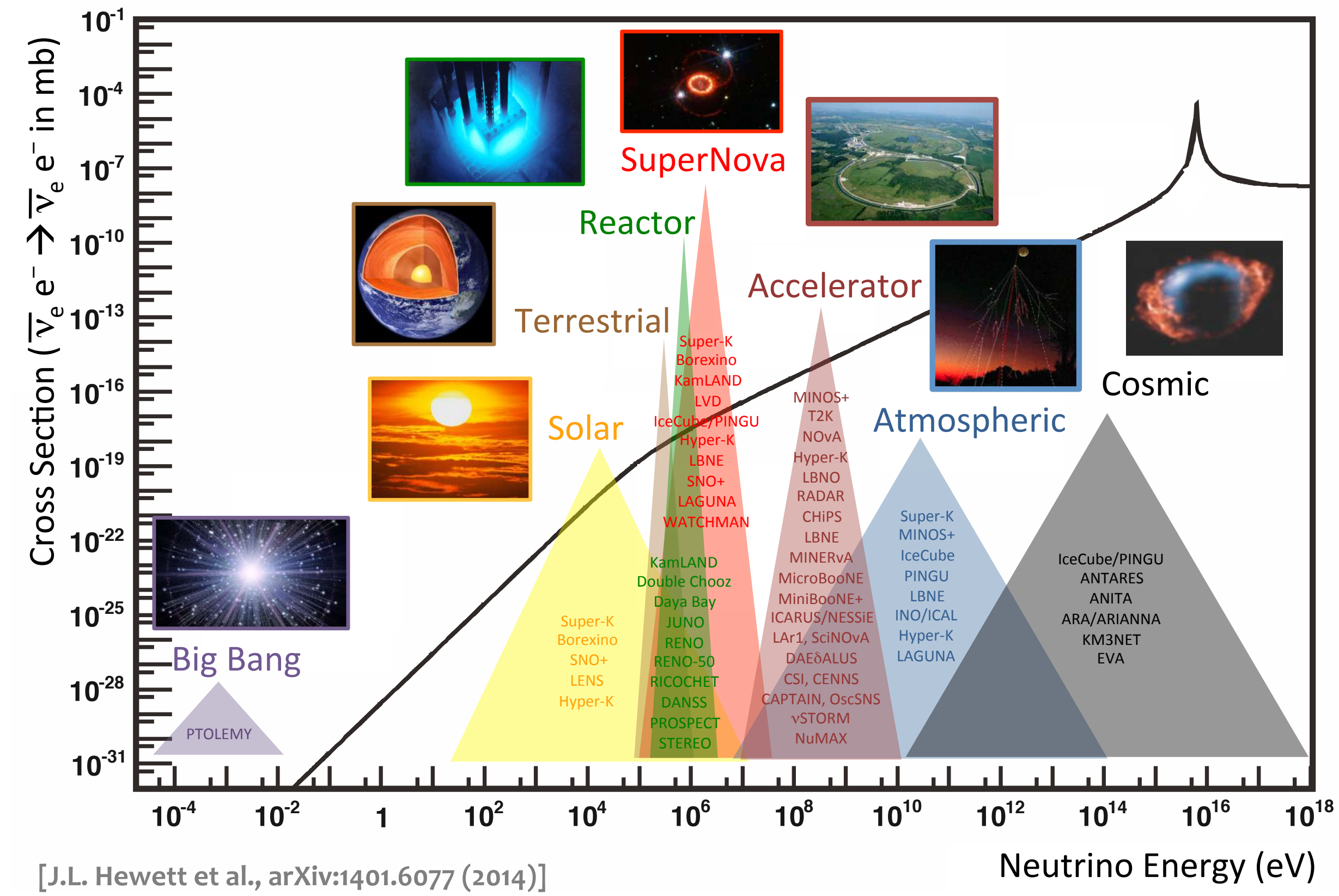
Where do they come from?



Where they come from

Sources

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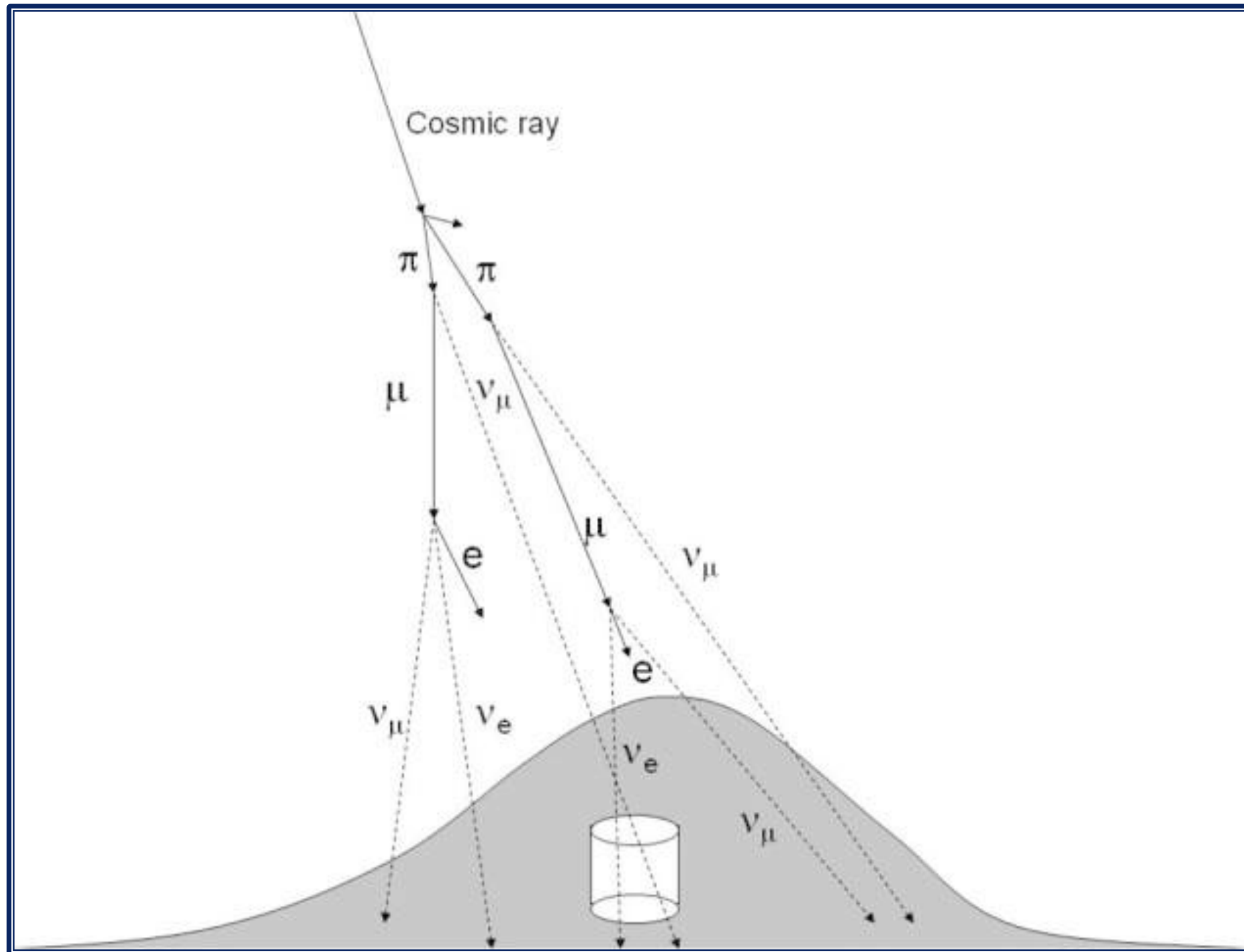


Sources

Where neutrinos are produced

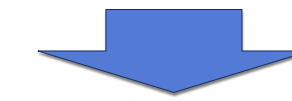
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Atmospheric Neutrinos

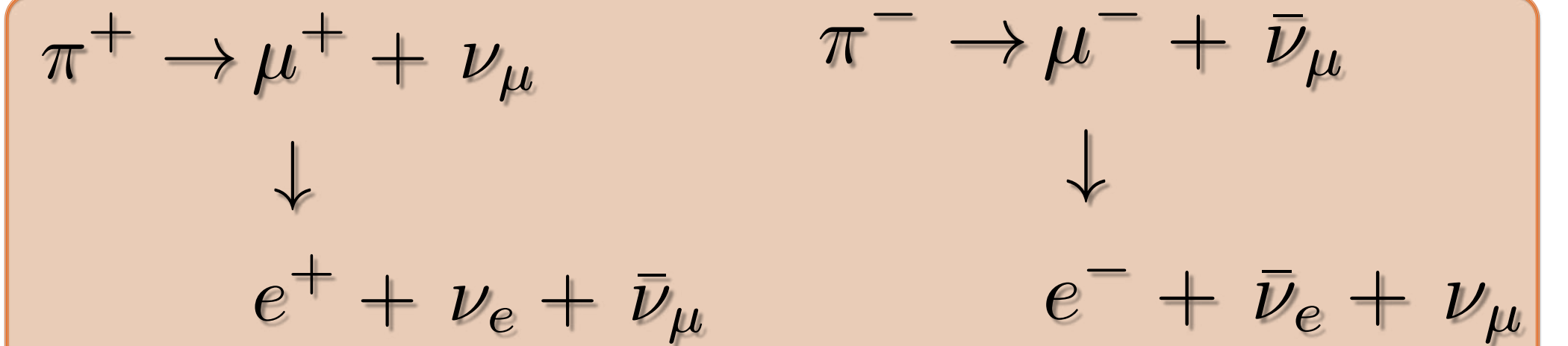
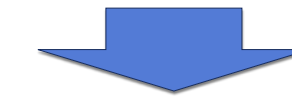


[T. Kajita, *Proc Jpn Acad Ser B Phys Biol Sci.* (2010)]

Cosmic rays: protons (other heavy nuclei)
Interactions with the nuclei in the atmosphere



Pions

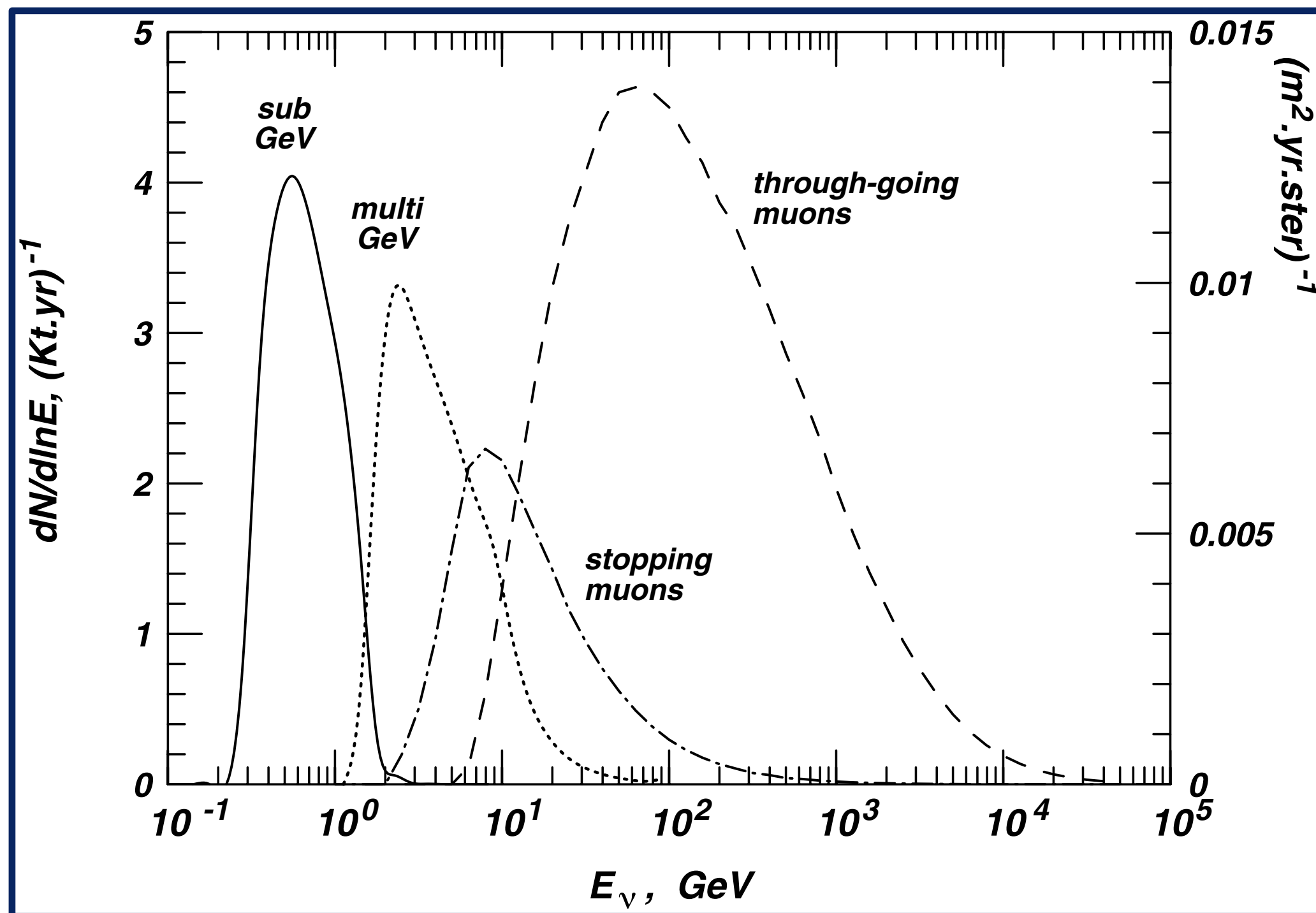


Sources

Where neutrinos are produced

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Atmospheric Neutrinos – Flux Measurement



Wide range energy spectrum

Flux is measured by detecting charged leptons



Contained Events: neutrinos inside the detector

Stopping Muons: tracks of muons stop in the detector from neutrinos in the rock outside the detector

Through-Going Muons: tracks of muons traverse the detector from neutrinos in the rock outside the detector

[C. Giunti & C.W. Kim, *Funds. of Neutrinos Physics and Astrophysics* (2007)]



Sources

Where neutrinos are produced

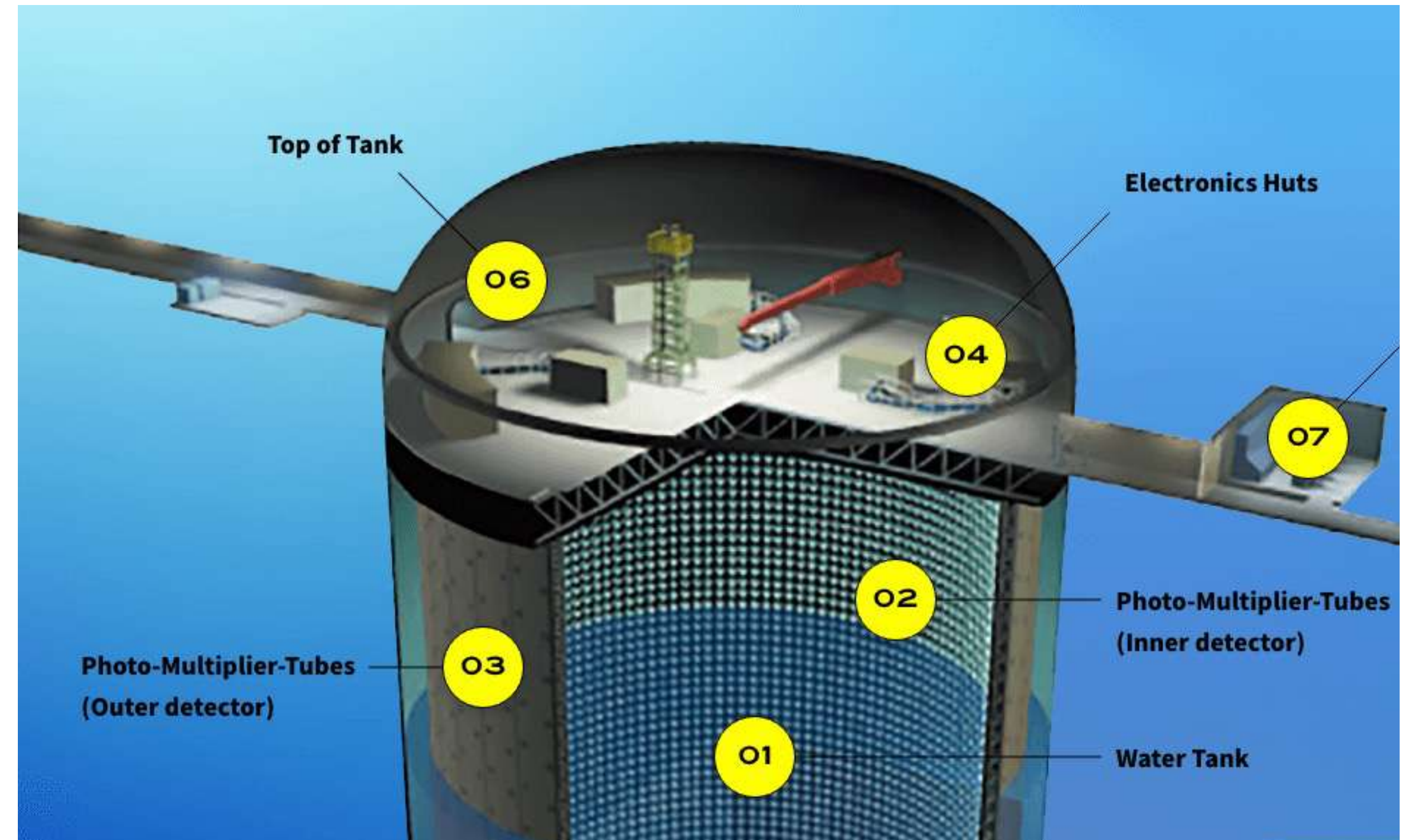
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Atmospheric Neutrinos

Kamiokande and Super-Kamiokande



[Super-Kamiokande [home page](#)]



[Super-Kamiokande [home page](#)]

Observes neutrinos using a huge water tank with ~13000 PMTs.

When a neutrino enters the detector and interacts with water, Cherenkov light is emitted.

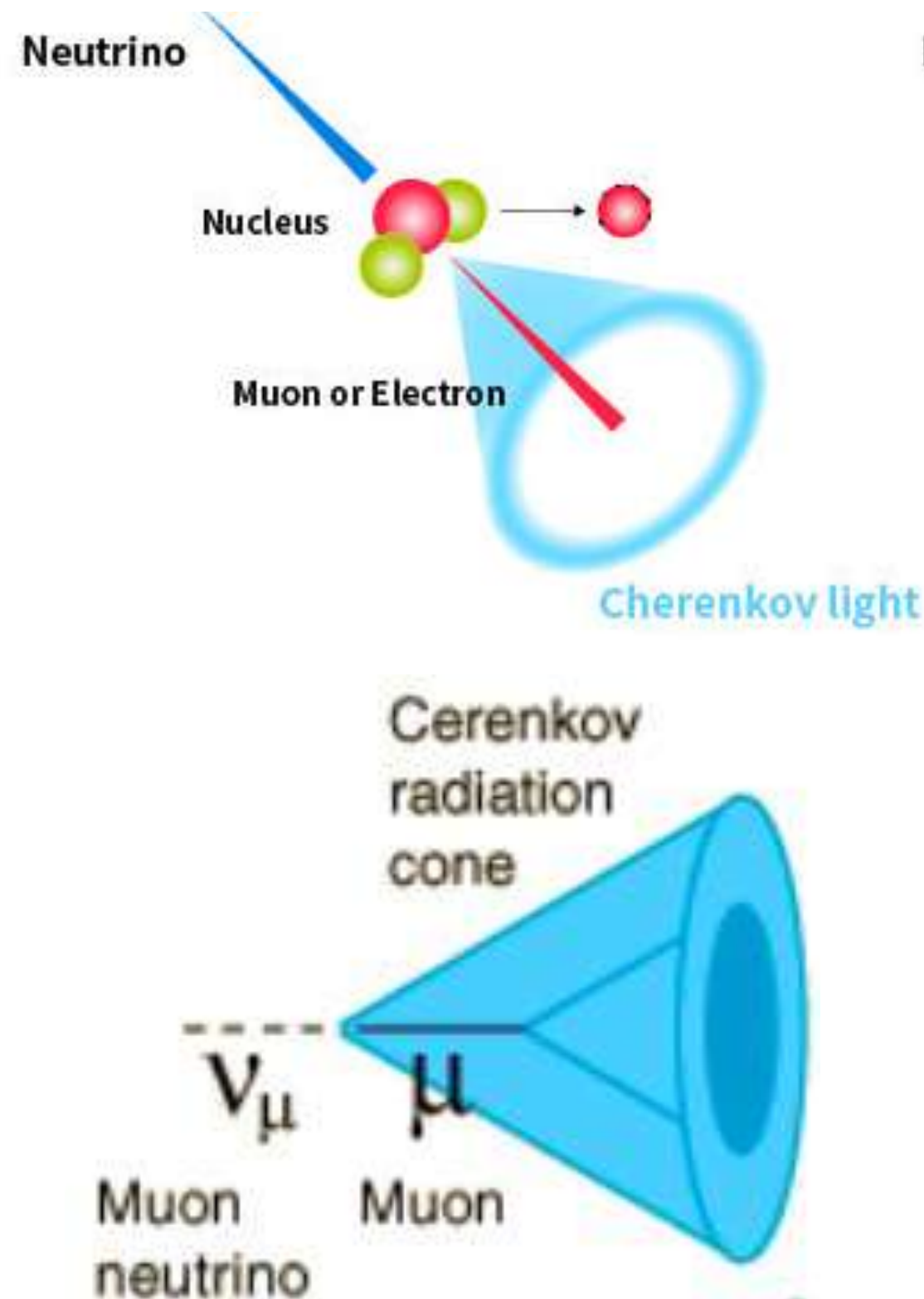


Sources

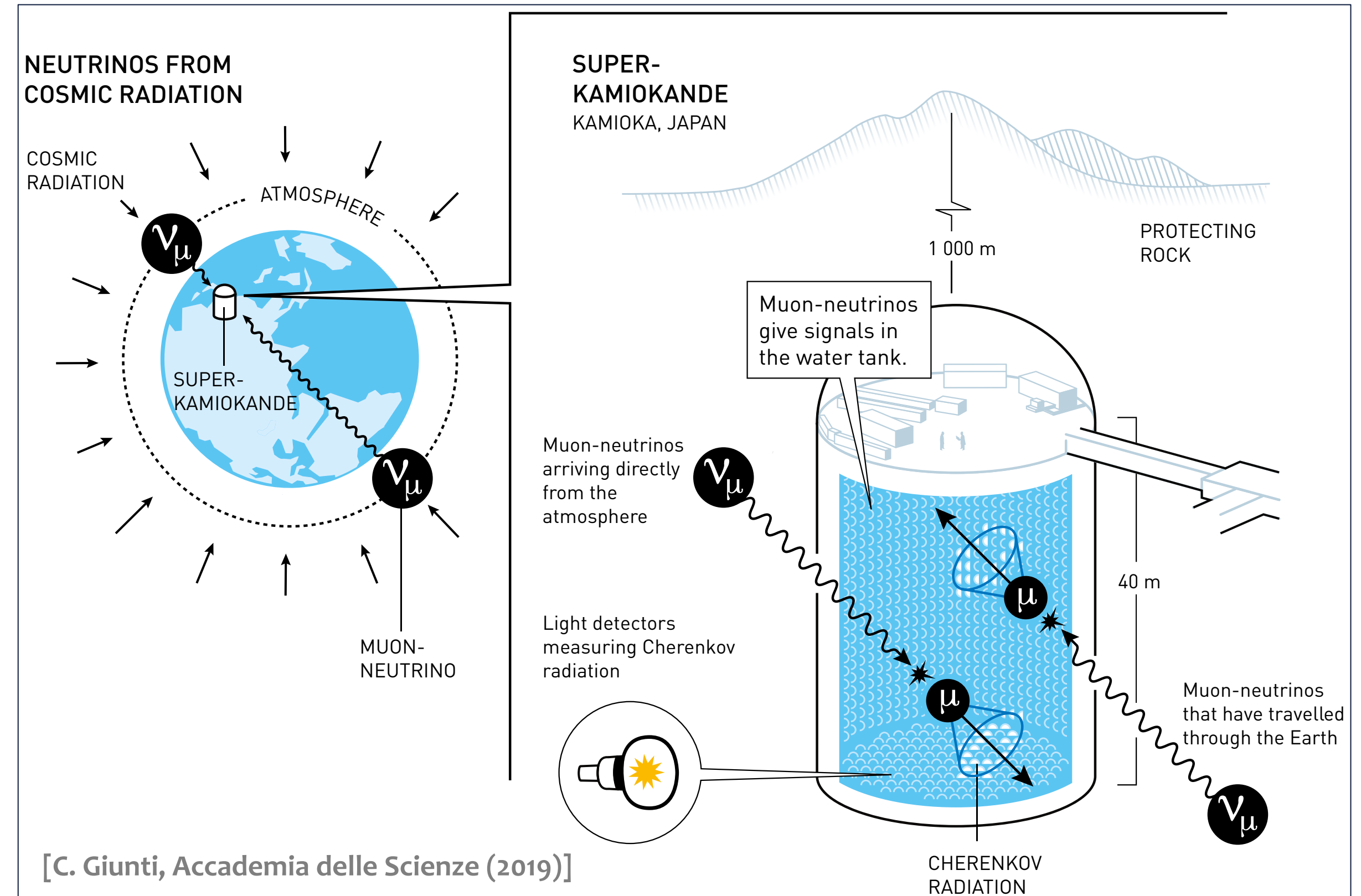
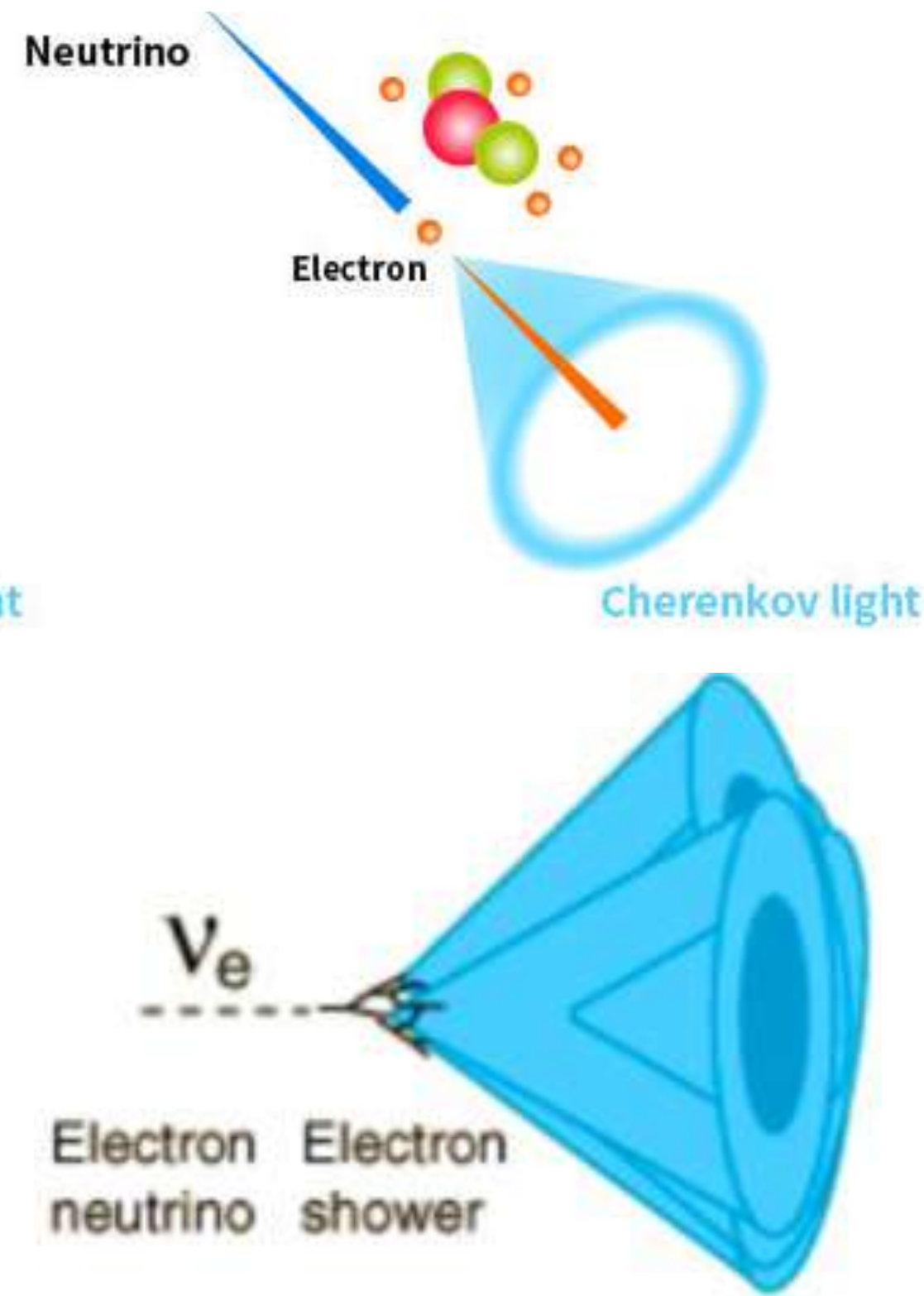
Where neutrinos are produced

#SOMOSUA

Atmospheric Neutrinos



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Sources

Where neutrinos are produced

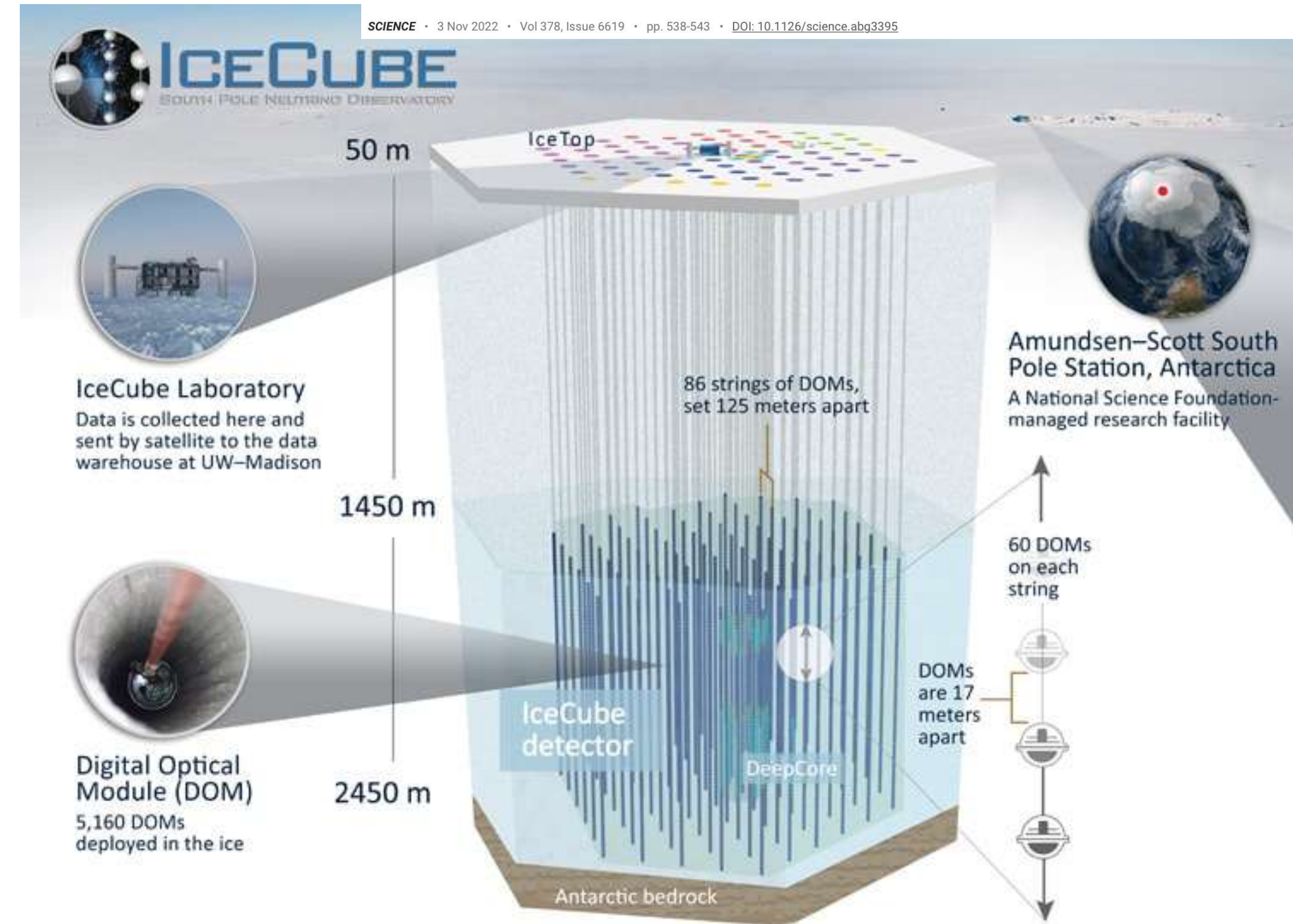
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Evidence for neutrino emission from the nearby active galaxy NGC 1068

ICECUBE COLLABORATION^{††}, R. ABBASI, M. ACKERMANN, J. ADAMS, J. A. AGUILAR, M. AHLERS, M. AHRENS, J. M. ALAMEDDINE, C. ALISPACH, [...] AND P. ZHELNIN

+376 authors [Authors Info & Affiliations](#)

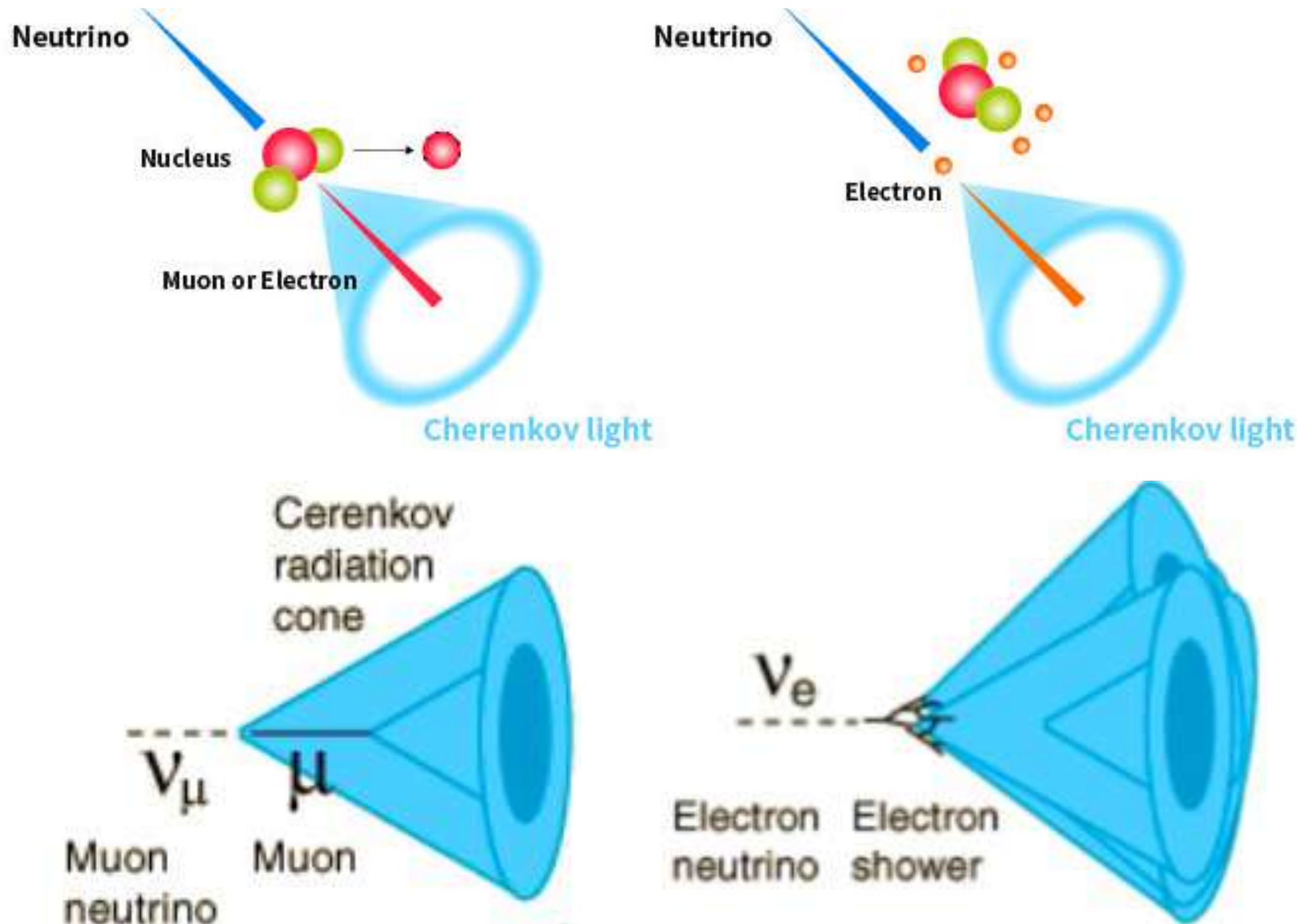
SCIENCE • 3 Nov 2022 • Vol 378, Issue 6619 • pp. 538-543 • DOI: 10.1126/science.abg3395



IceCube (Very) High energy neutrinos – Source searches
<https://icecube.wisc.edu/>

Atmospheric Neutrinos

IceCube



Sources

Where neutrinos are produced

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Atmospheric Neutrinos

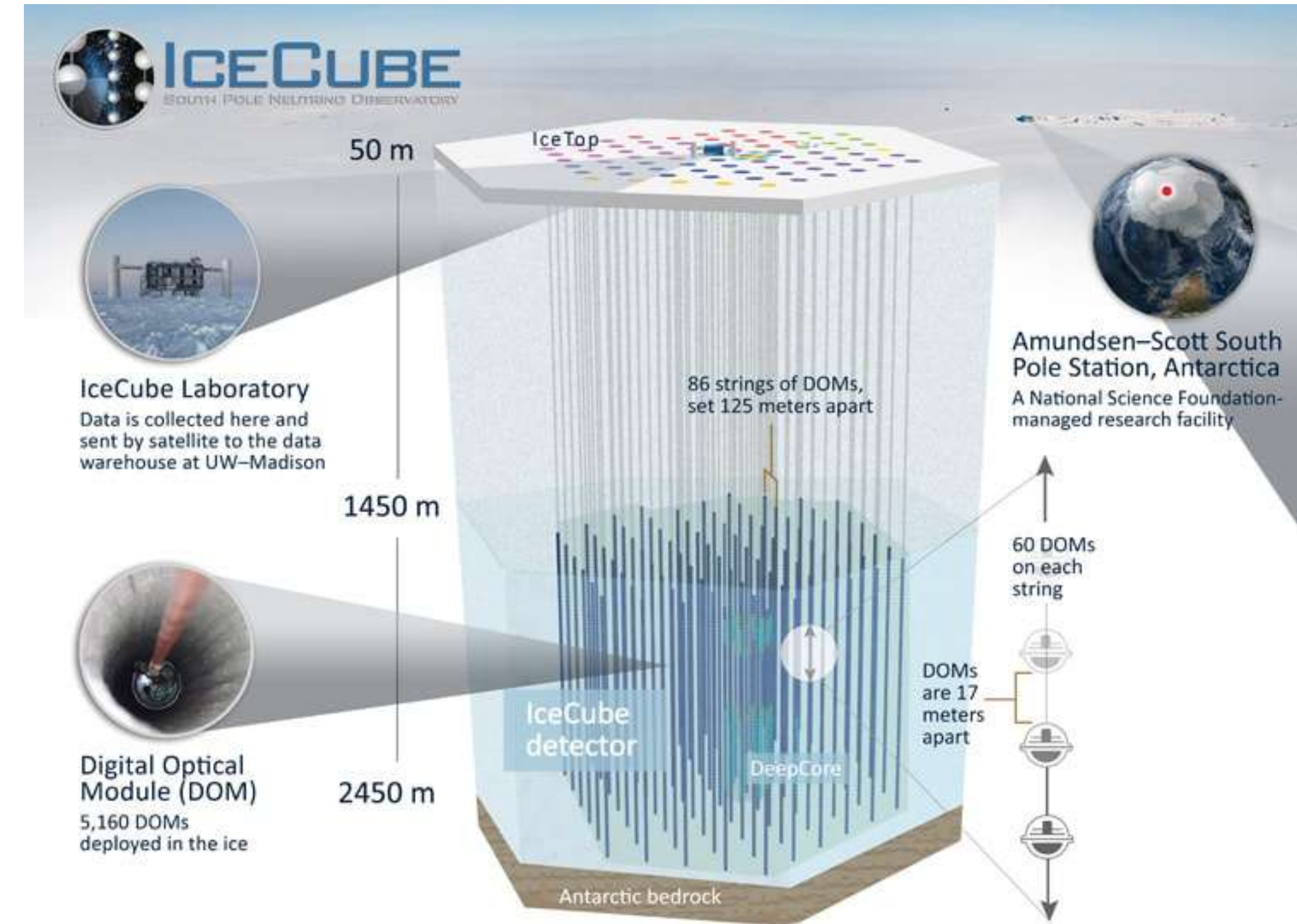
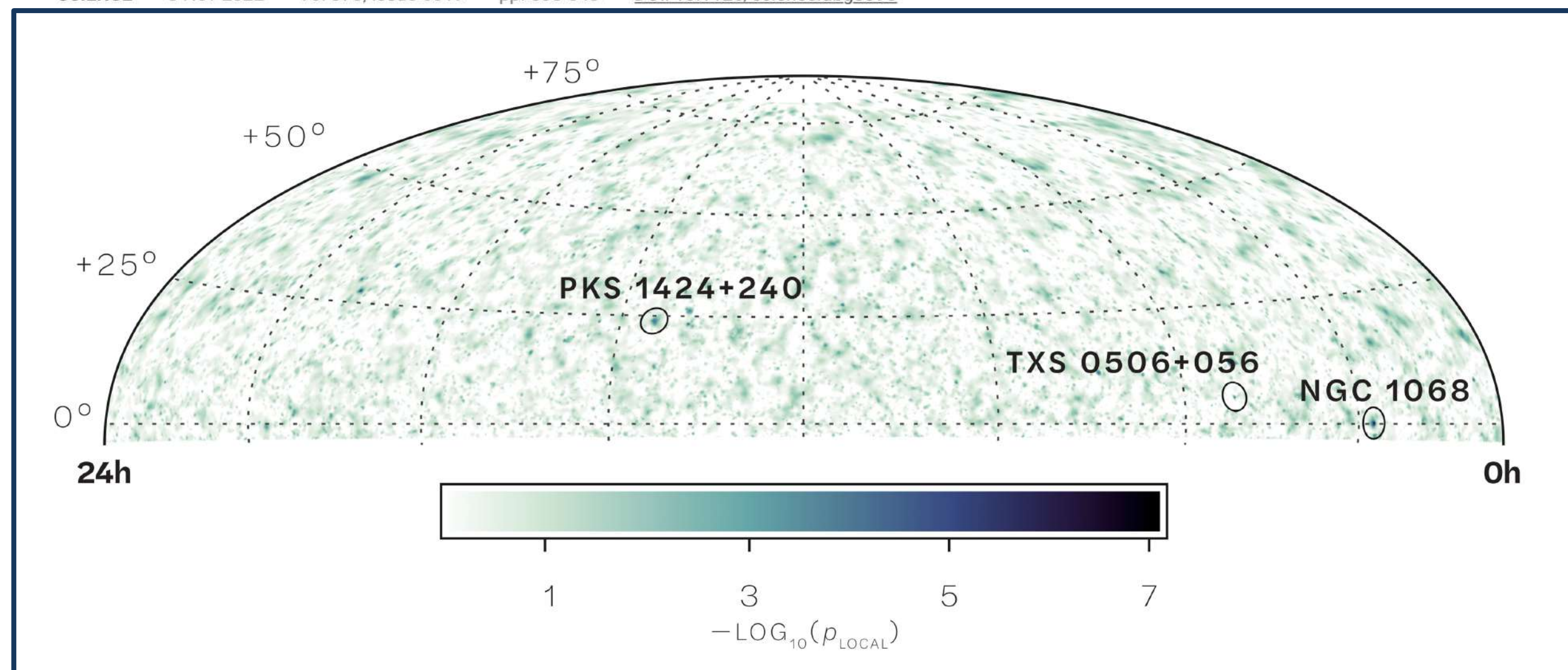
IceCube

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SCIENCE • 3 Nov 2022 • Vol 378, Issue 6619 • pp. 538-543 • DOI: 10.1126/science.abg3395



IceCube (Very) High energy neutrinos – Source searches
<https://icecube.wisc.edu/>



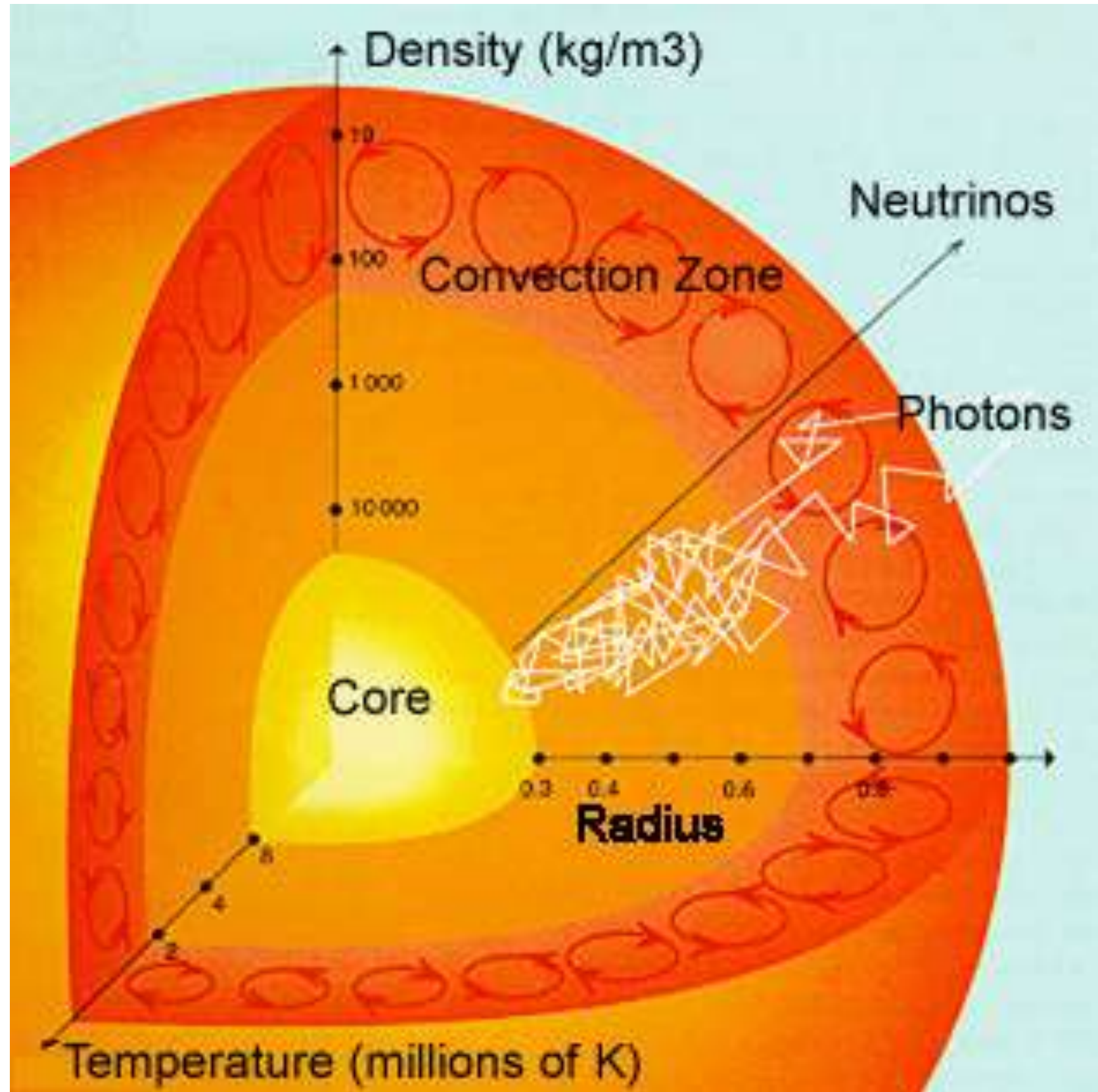
Sources

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Where neutrinos are produced

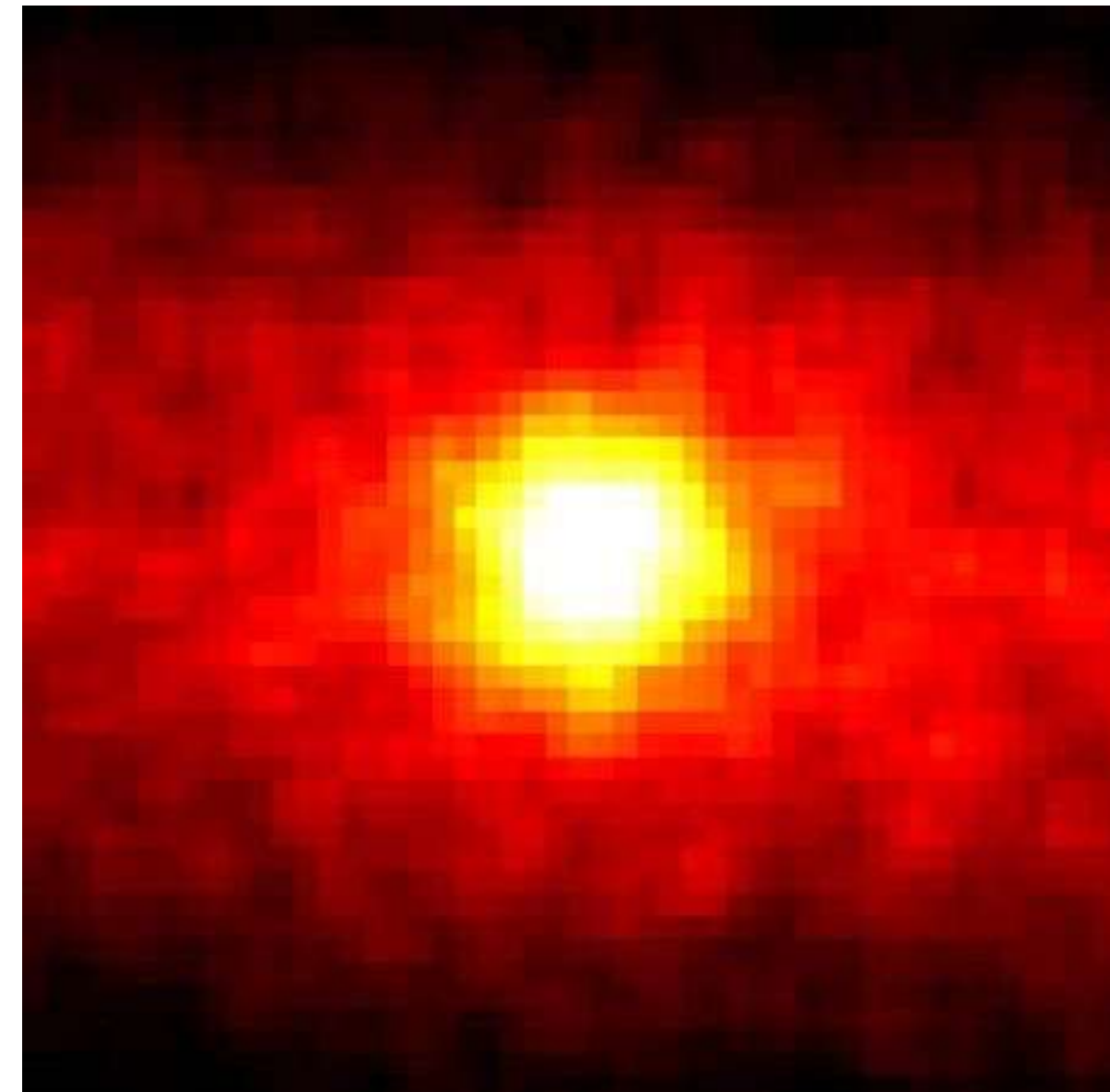
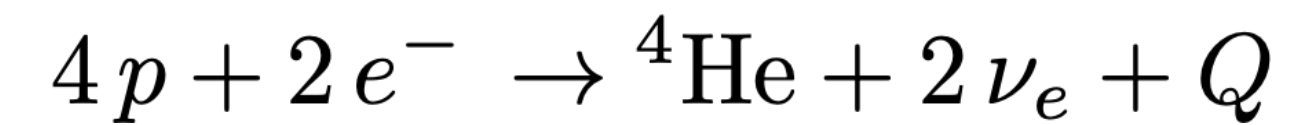
Solar Neutrinos

[Andromeda Geek (2015)]



Sun powered by thermonuclear reactions:

pp chain – **CNO** cycle



The Sun with
neutrinos

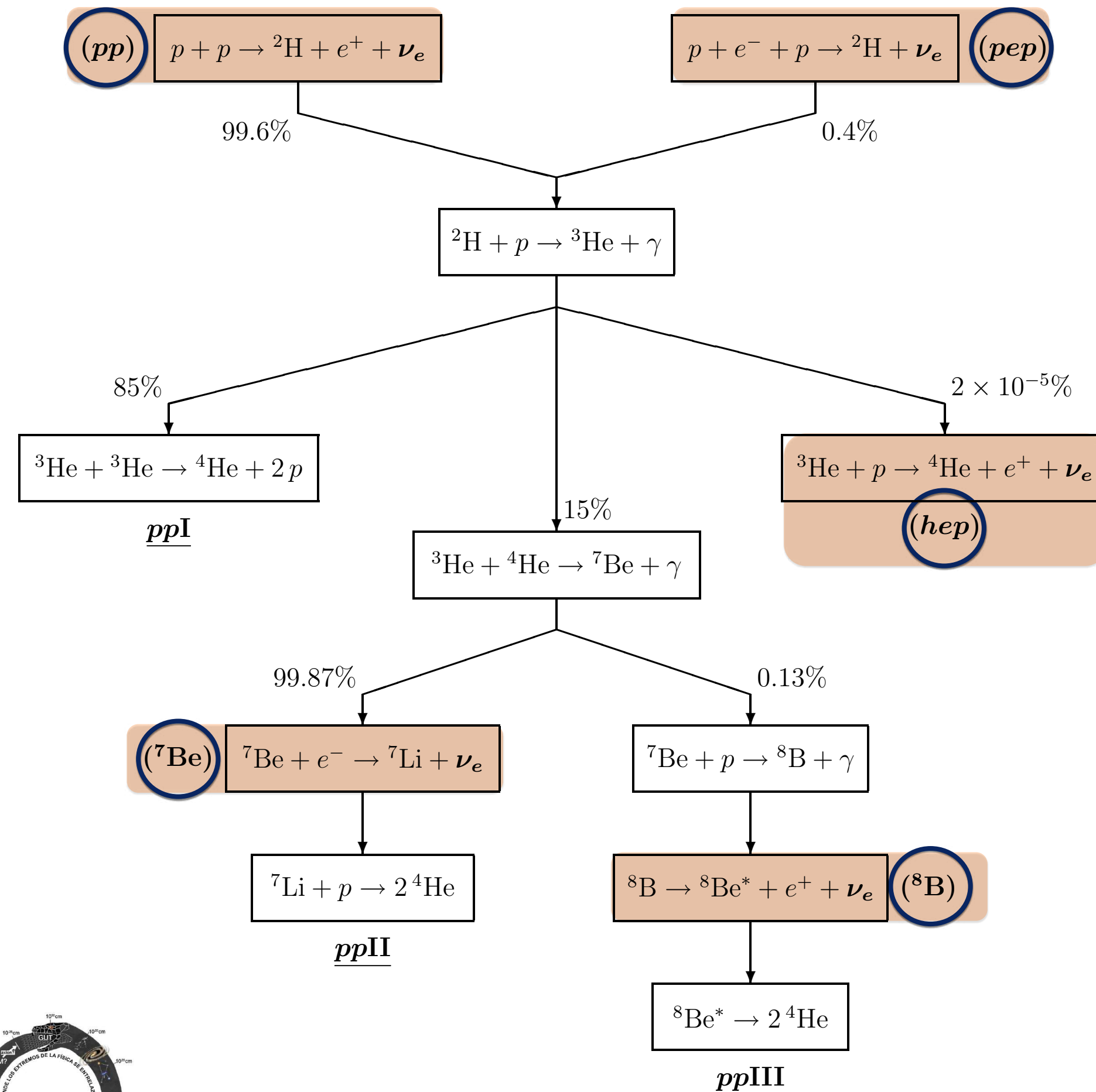


Sources

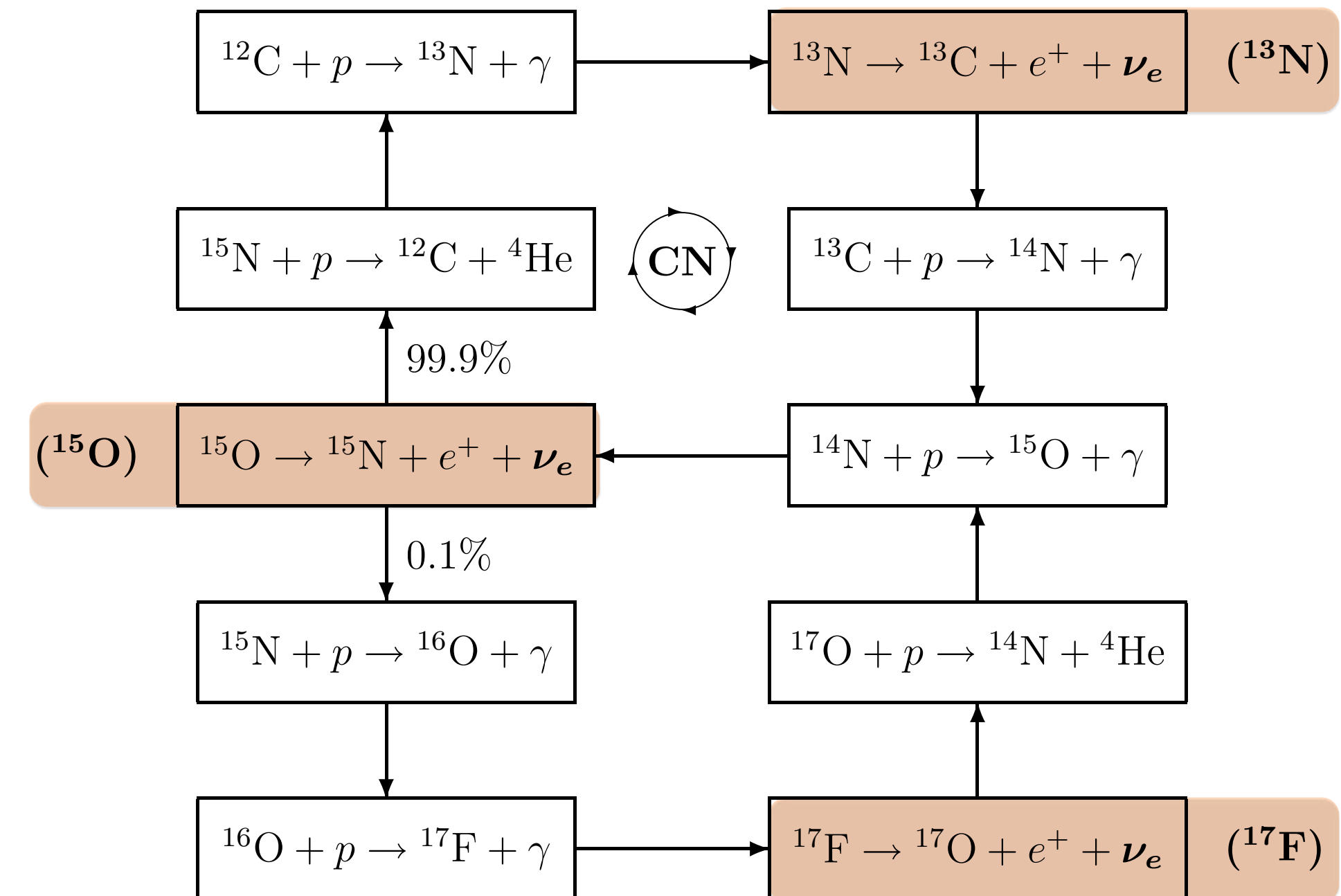
Where neutrinos are produced

Solar Neutrinos

pp chain



CNO cycle



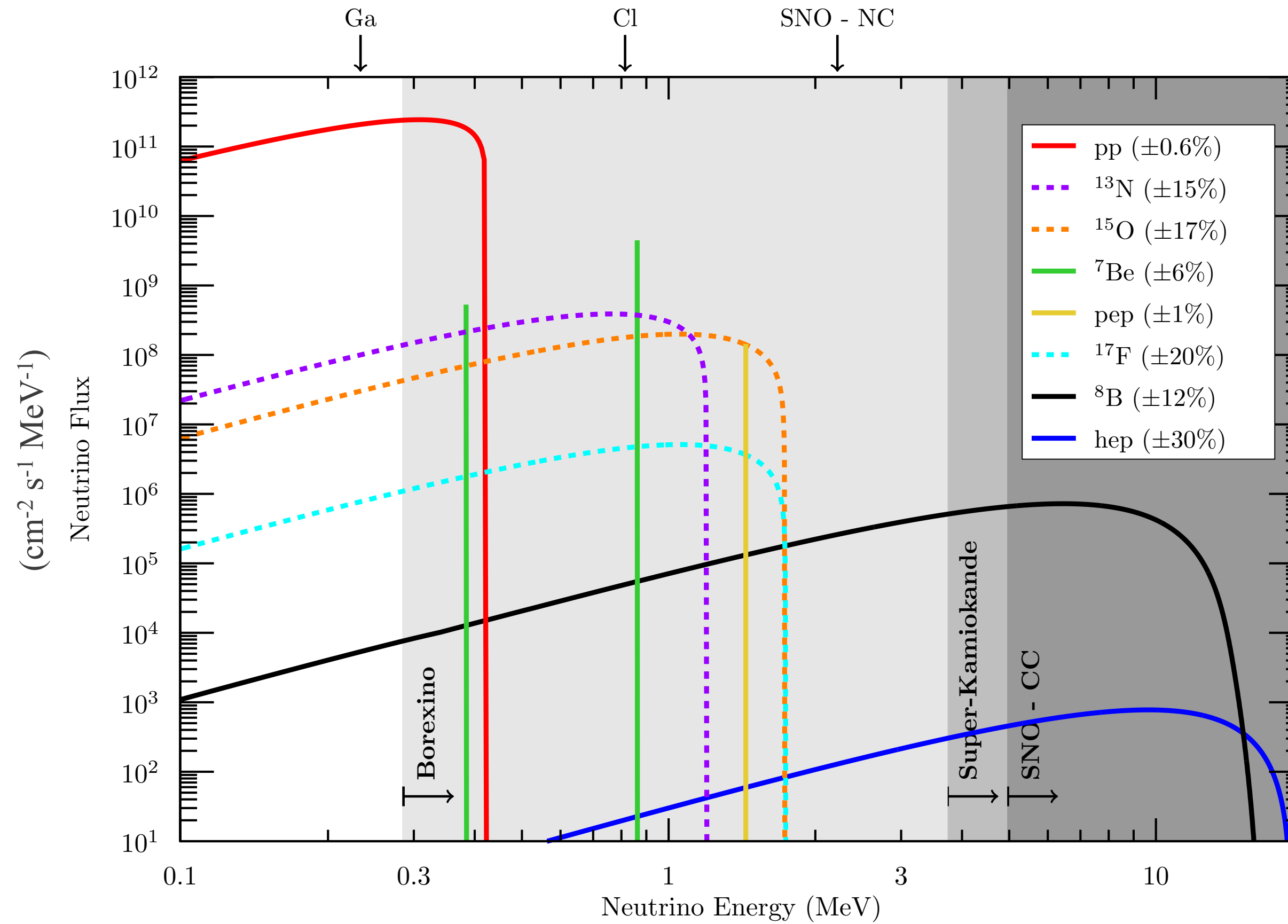
[C. Giunti & C.W. Kim, *Funds. of Neutrinos Physics and Astrophysics* (2007)]

Sources

Where neutrinos are produced

#SOMOSUA

Solar Neutrinos Fluxes according to the SSM



[A. Gallo Rosso et al., Eur.Phys.J. Plus 133 (2018)]

The experiments

Borexino Liquid Scintillator Detector

GALLEX
GNO
SAGE
Radioactive Experiment

Homestake
Kamiokande
Super-Kamiokande
SNO - SNO+
Water Cherenkov Detector

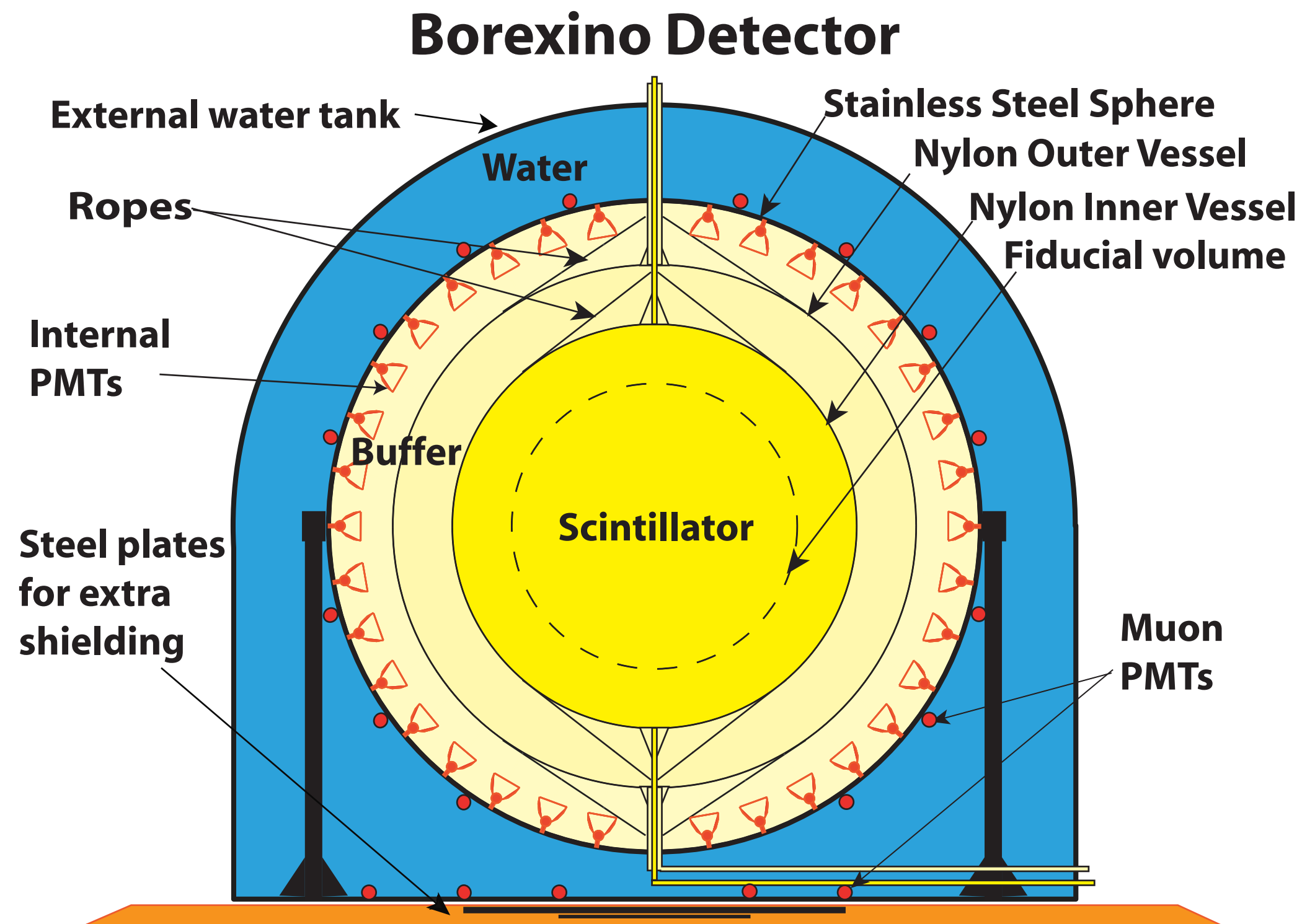


Sources

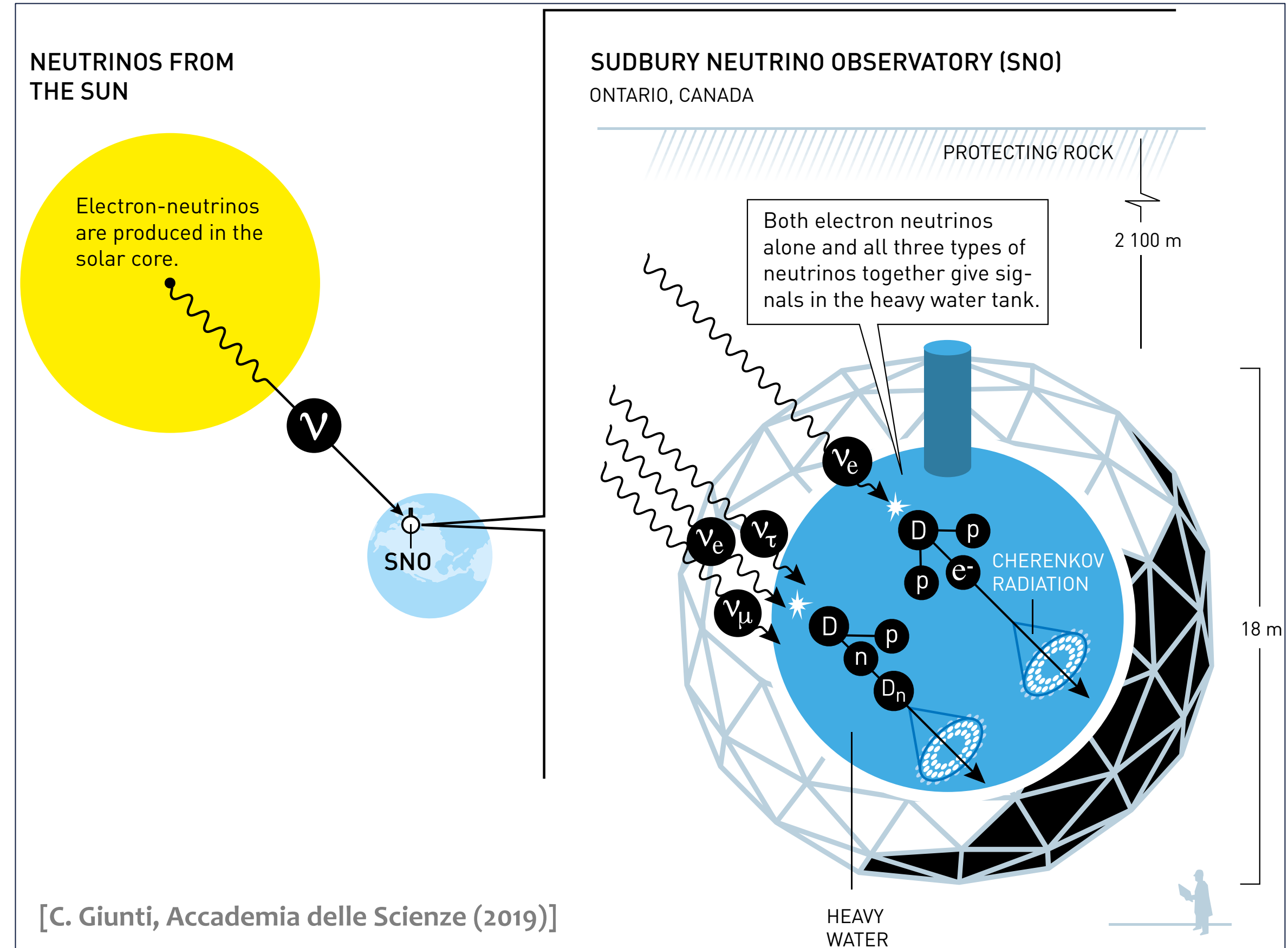
Where neutrinos are produced

#SOMOSUA

Solar Neutrinos Borexino



[The Borexino Coll., NIMA 600 (2009)]



[C. Giunti, Accademia delle Scienze (2019)]

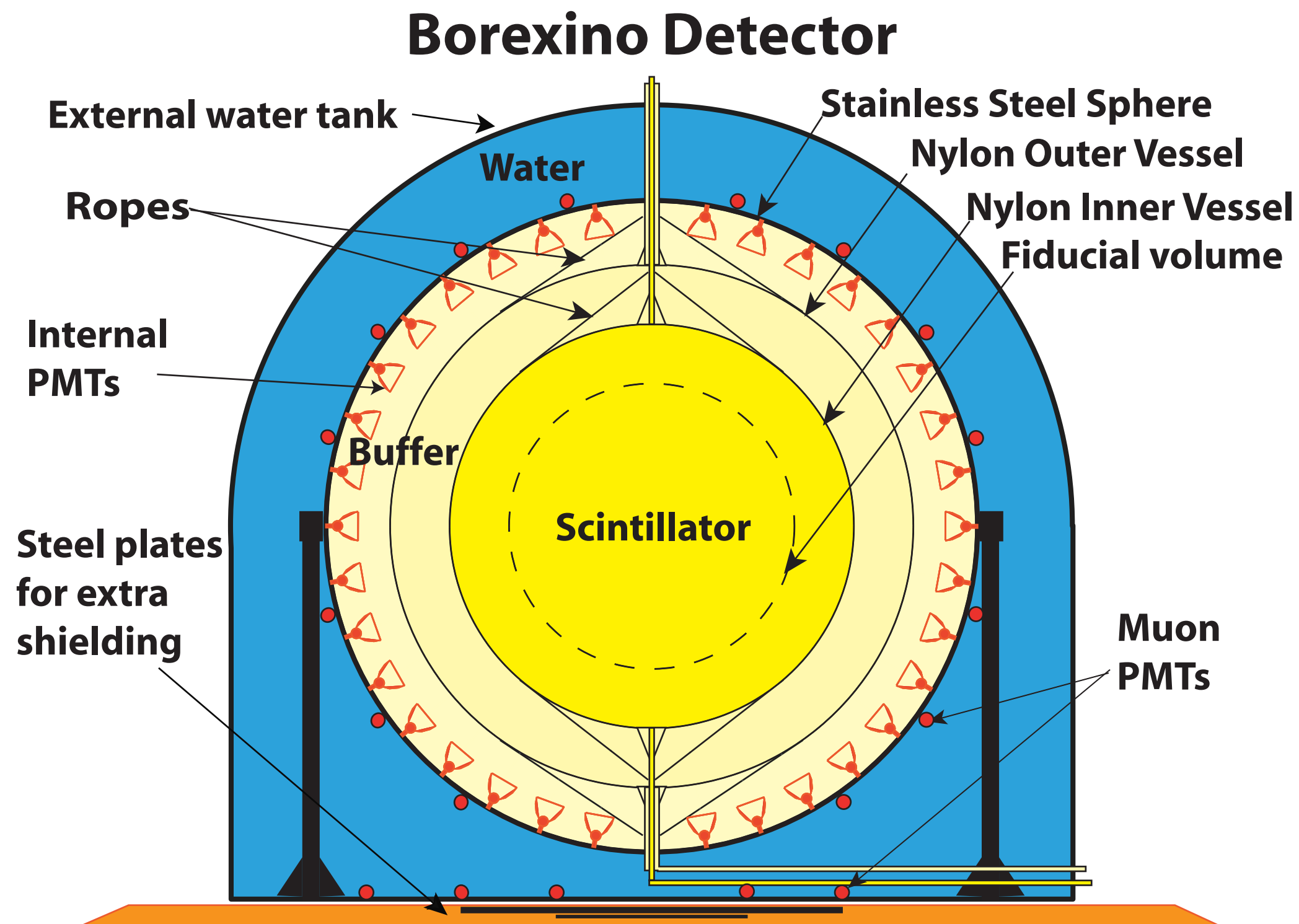


Sources

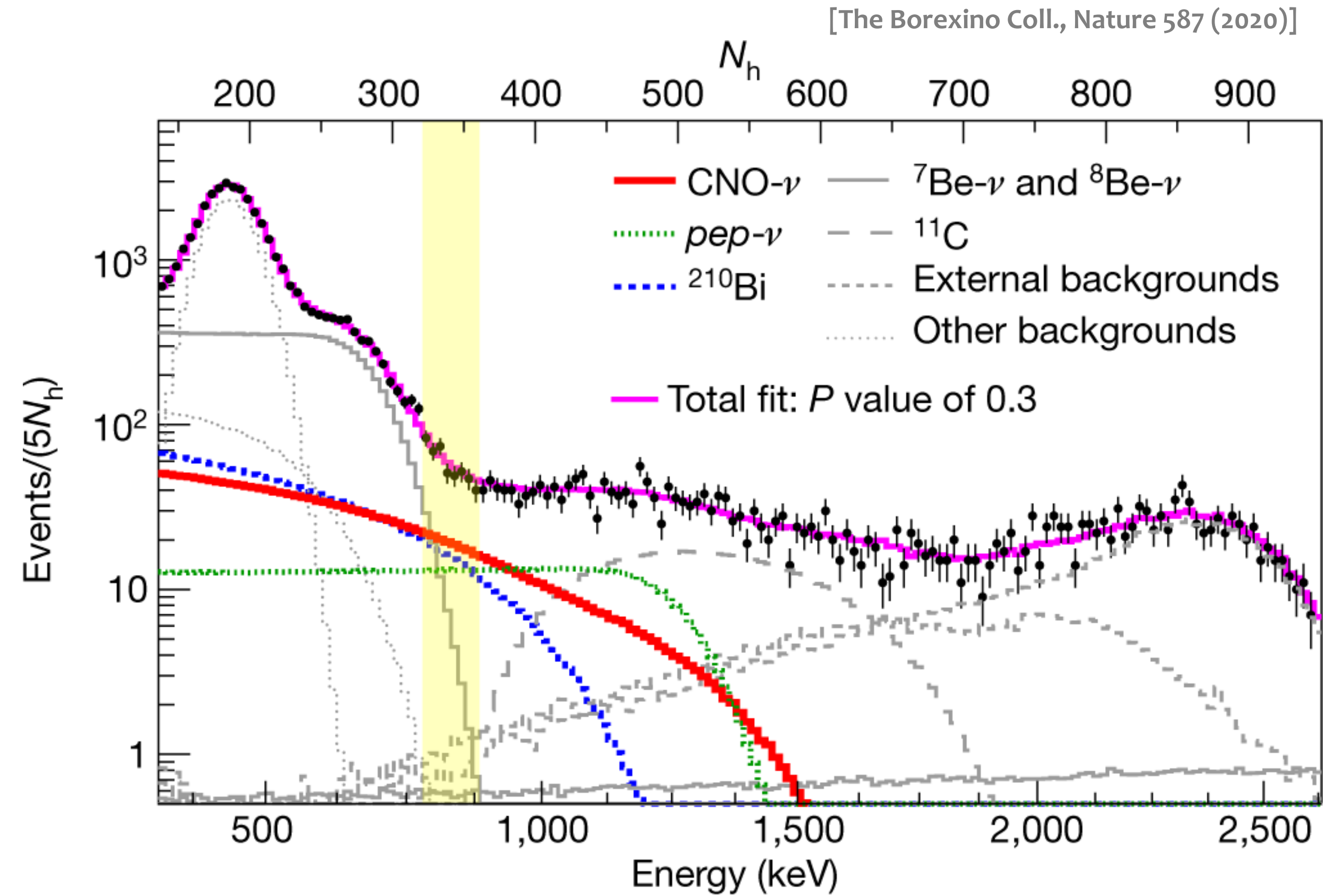
Where neutrinos are produced

#SOMOSUA

Solar Neutrinos Borexino



[The Borexino Coll., NIMA 600 (2009)]



[The Borexino Coll., Nature 587 (2020)]

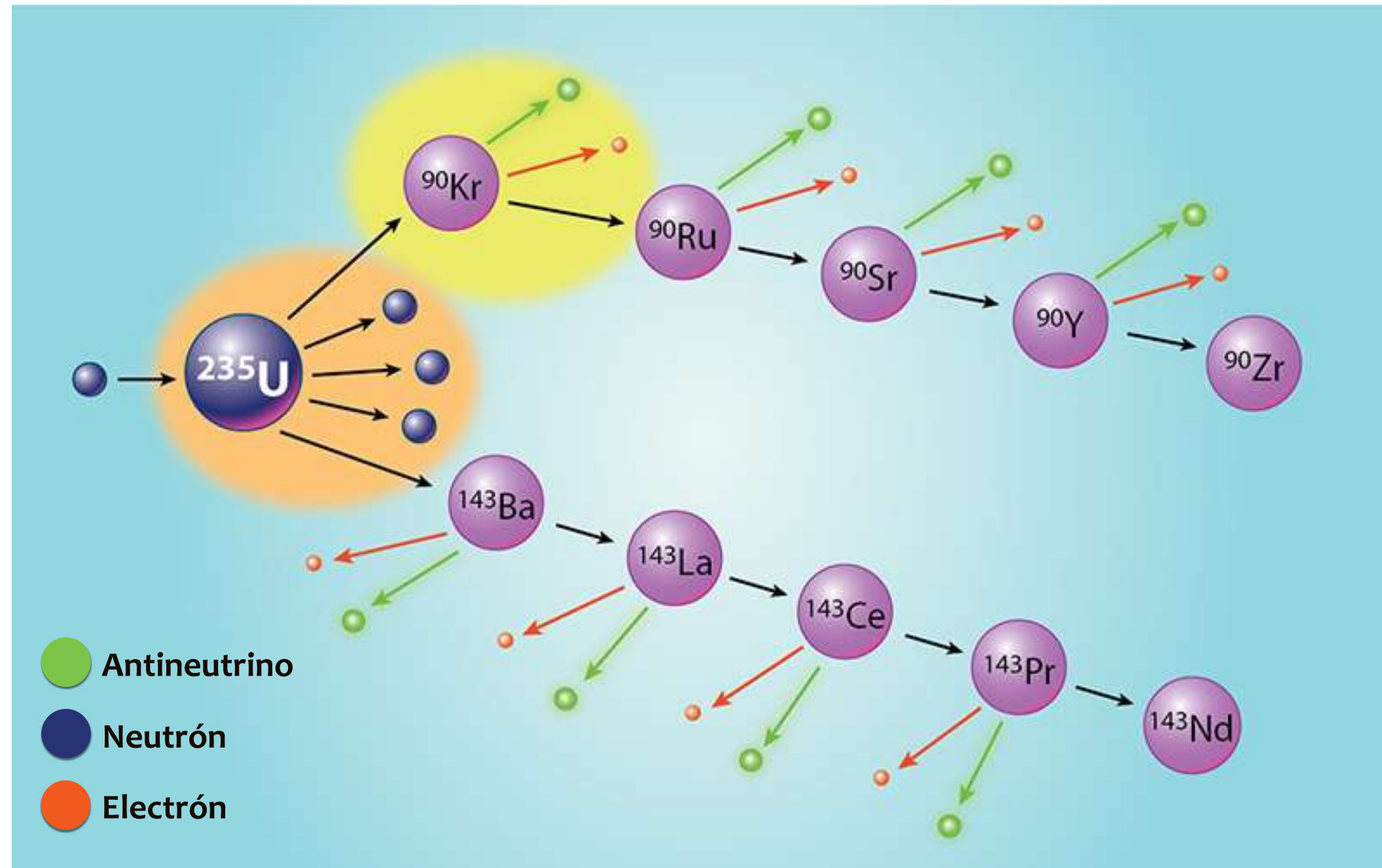


Sources

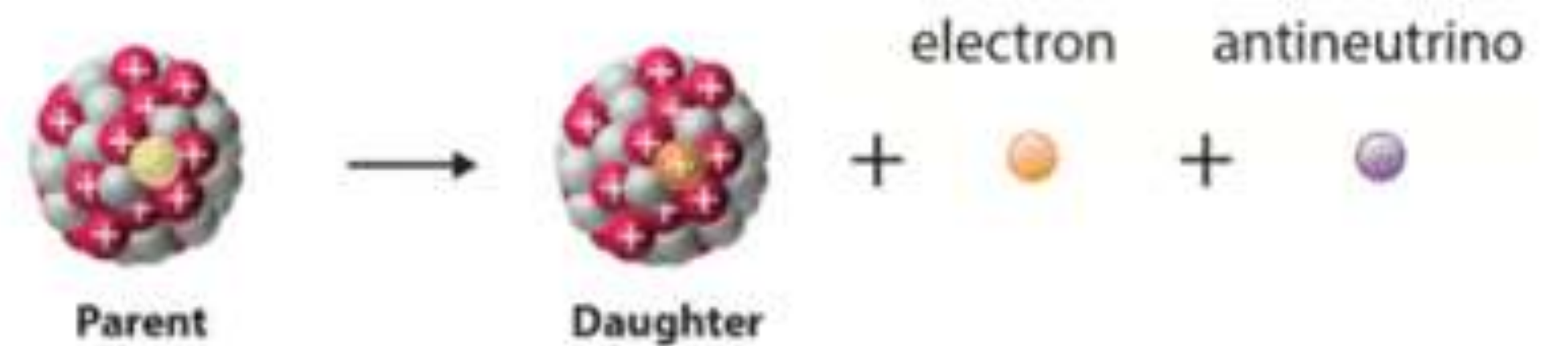
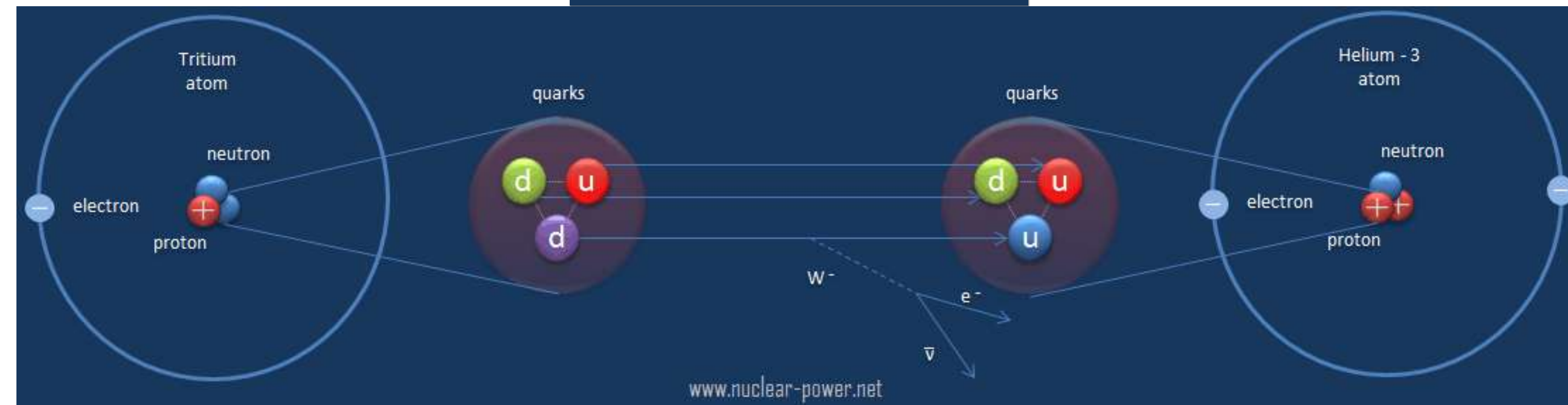
Where neutrinos are produced

Reactor Neutrinos

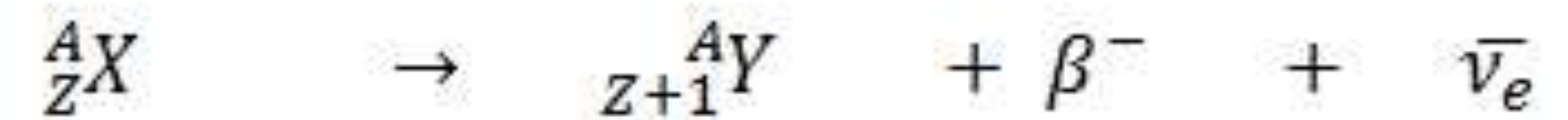
Electron antineutrinos: beta-decay chain of the fission products



$$n \rightarrow p + e^{-} + \bar{\nu}_e$$



^{235}U (56%), ^{238}U (8%), ^{239}Pu (30%), ^{241}Pu (6%)



Sources

Where neutrinos are produced

Reactor Neutrinos

Electron antineutrinos detection: inverse neutron decay

Visible Energy $E_e + m_e$, and the positron annihilates with a surrounding electron.

Events vs. Background: Coincidence

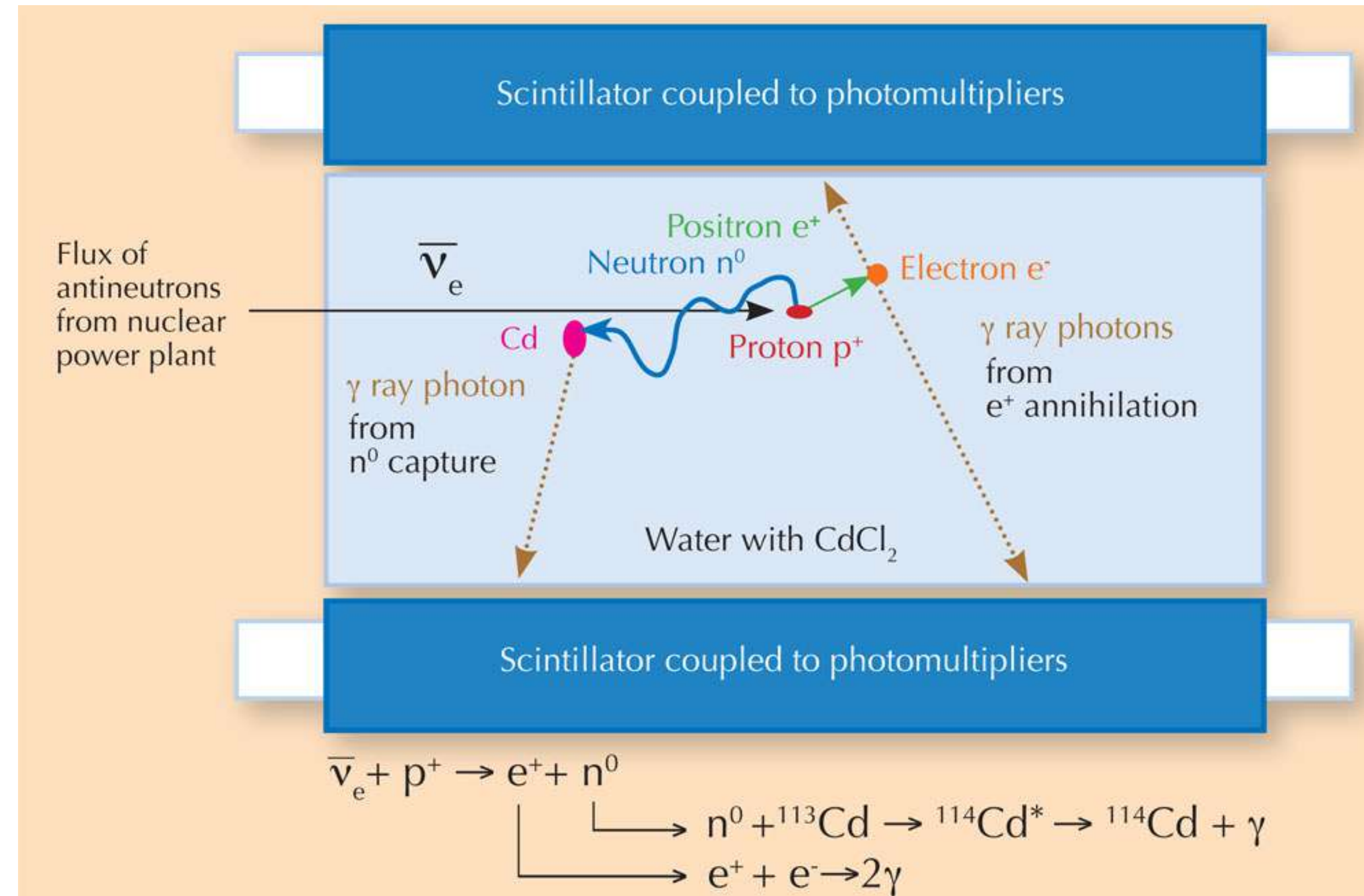
- Prompt positron signal
- Neutron nuclear capture (delayed)

Neutrino – Positron energy relation

$$E_\nu = E_e + T_n + m_n - m_p \simeq E_e + 1.293 \text{ MeV}$$

Threshold energy

$$E_\nu^{th} = \frac{(m_n + m_e)^2 - m_p^2}{2 m_p} \simeq 1.806 \text{ MeV}$$



[S. Cebrián, [Science in School 19](#) (2011)]

Sources

Where neutrinos are produced

#SOMOSUA

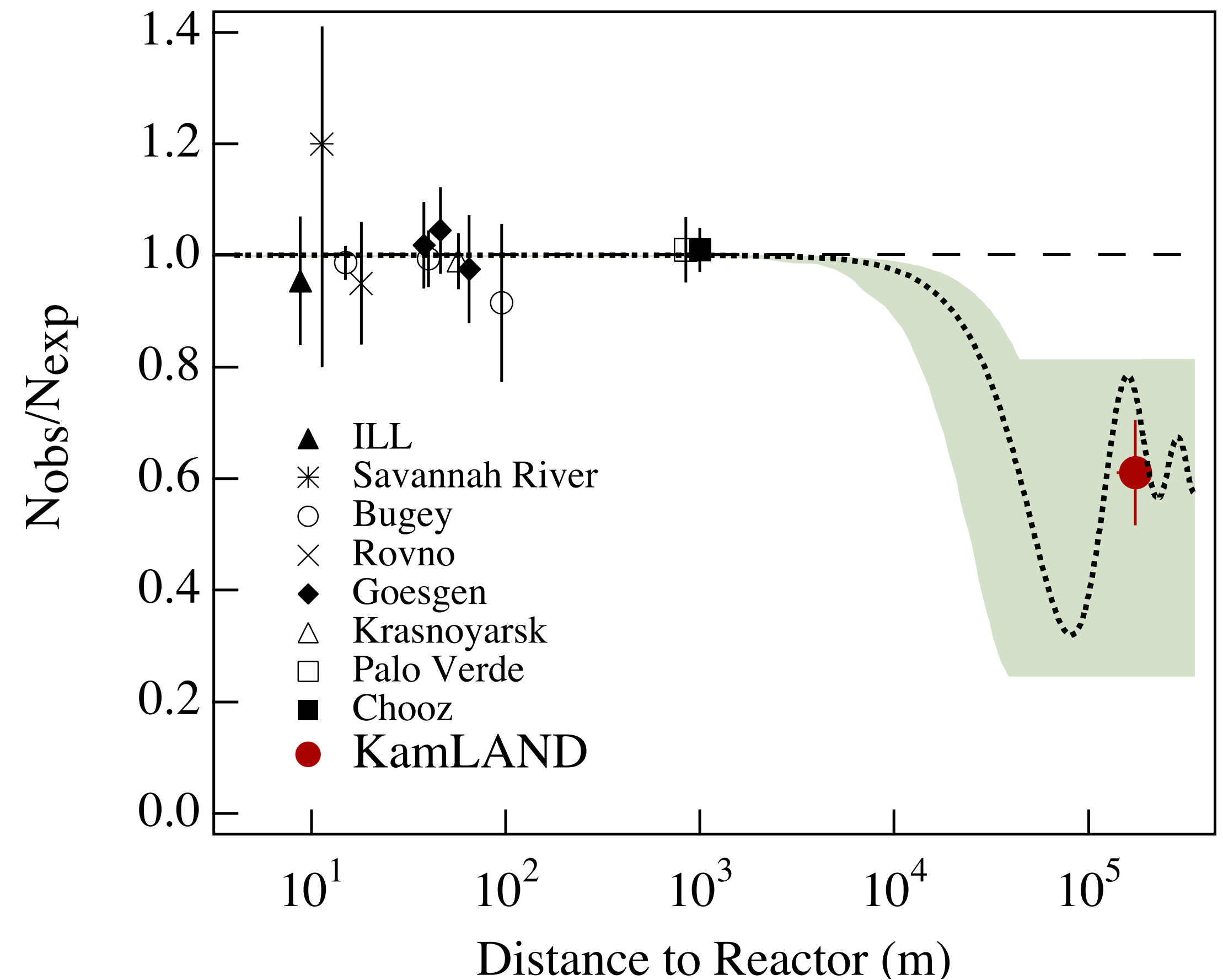
Reactor Neutrinos

Some experiments

Flux measurements compared to expectation

- ILL
 - Gosgen
 - Rovno
 - Krasnoyarsk
 - Bugey
 - Savannah River
- } Short Baseline
-
- CHOOZ
 - Palo Verde
- } Long Baseline
-
- KamLAND
- } Very Long Baseline

Electron Antineutrino disappearance!



Sources

Where neutrinos are produced

#SOMOSUA

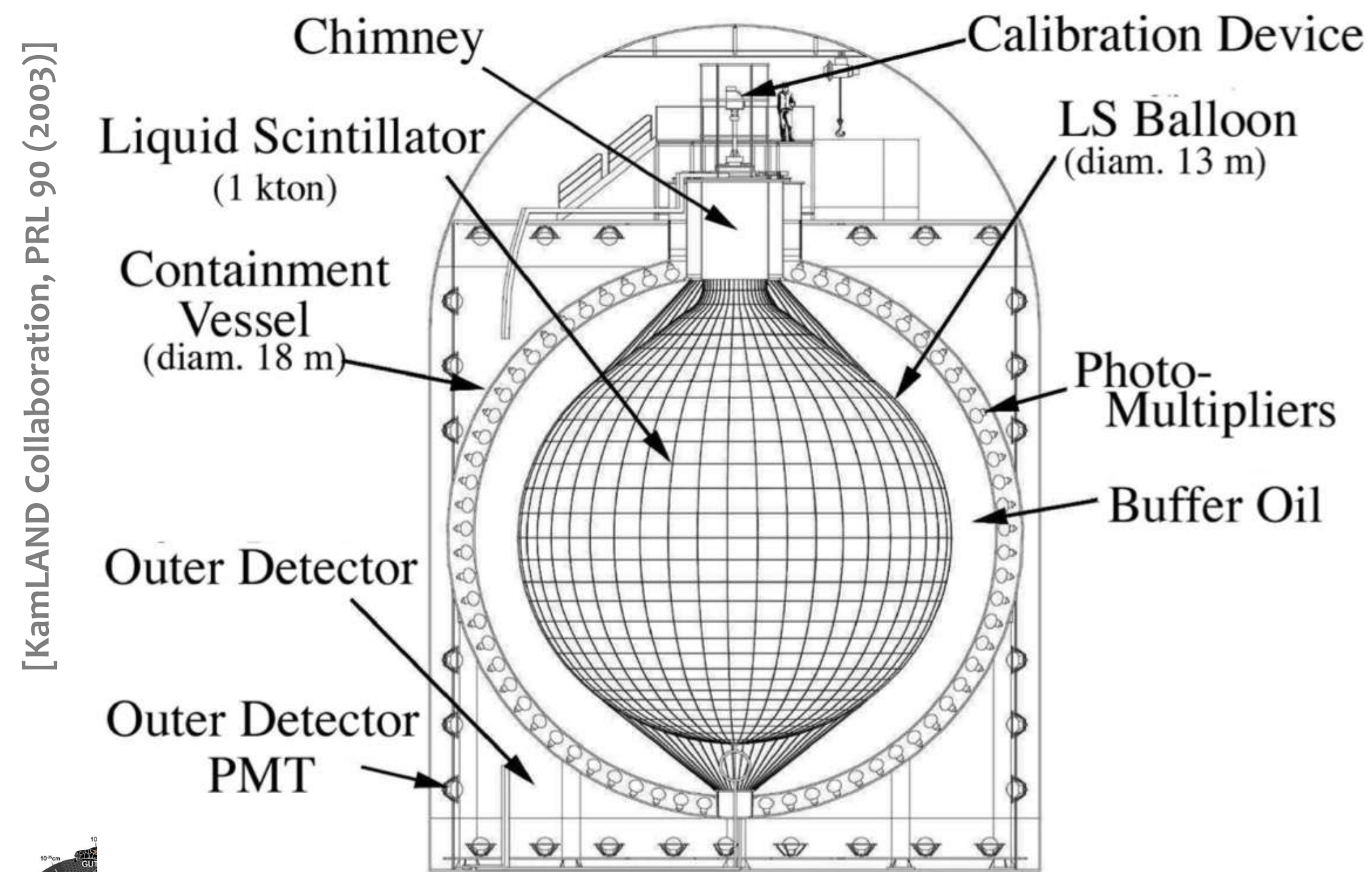
Reactor Neutrinos

Some experiments

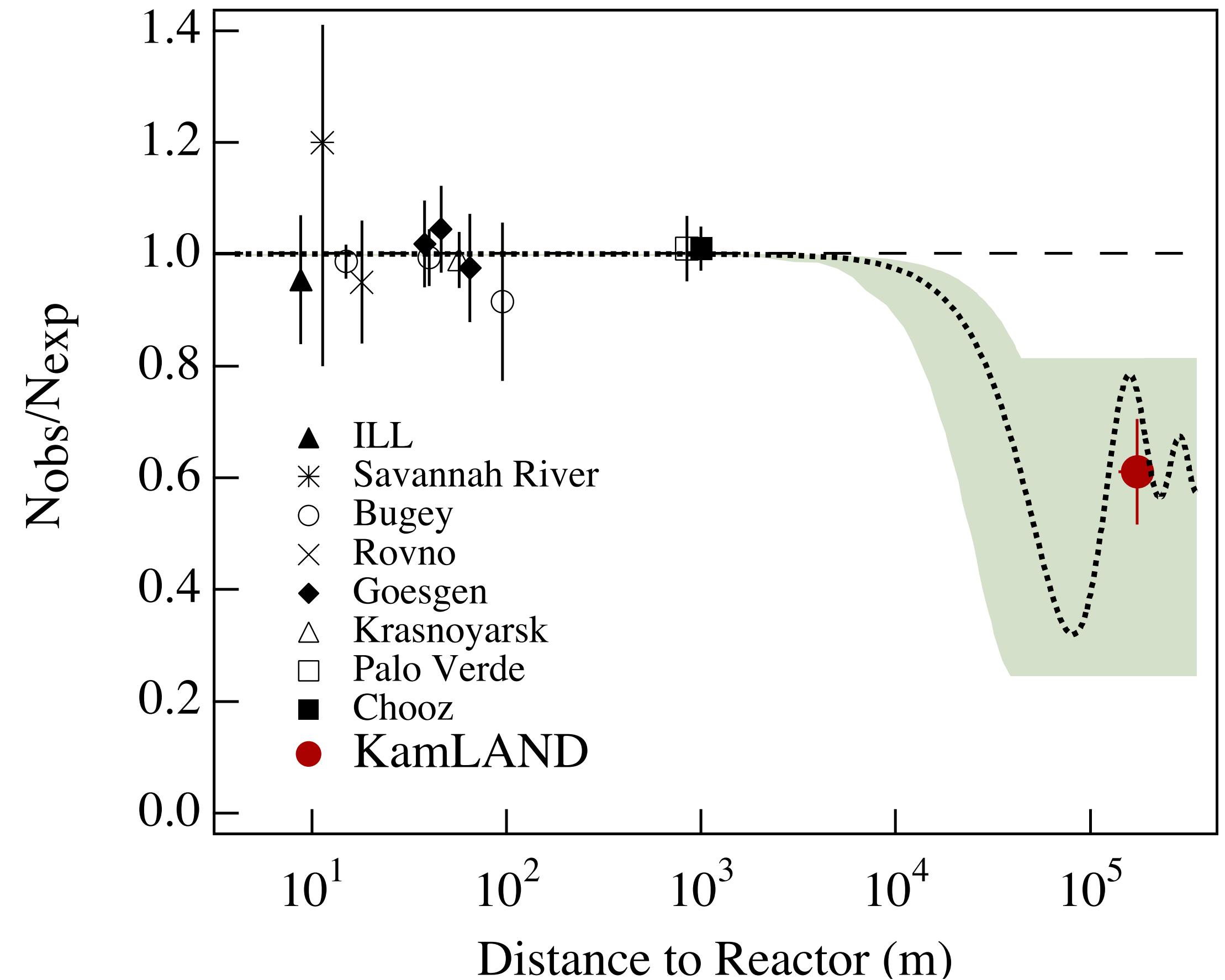
Flux measurements compared to expectation

- KamLAND

Electron Antineutrino disappearance!



[KamLAND Collaboration, PRL 90 (2003)]



[KamLAND Collaboration, PRL 90 (2003)]



Sources

Where neutrinos are produced

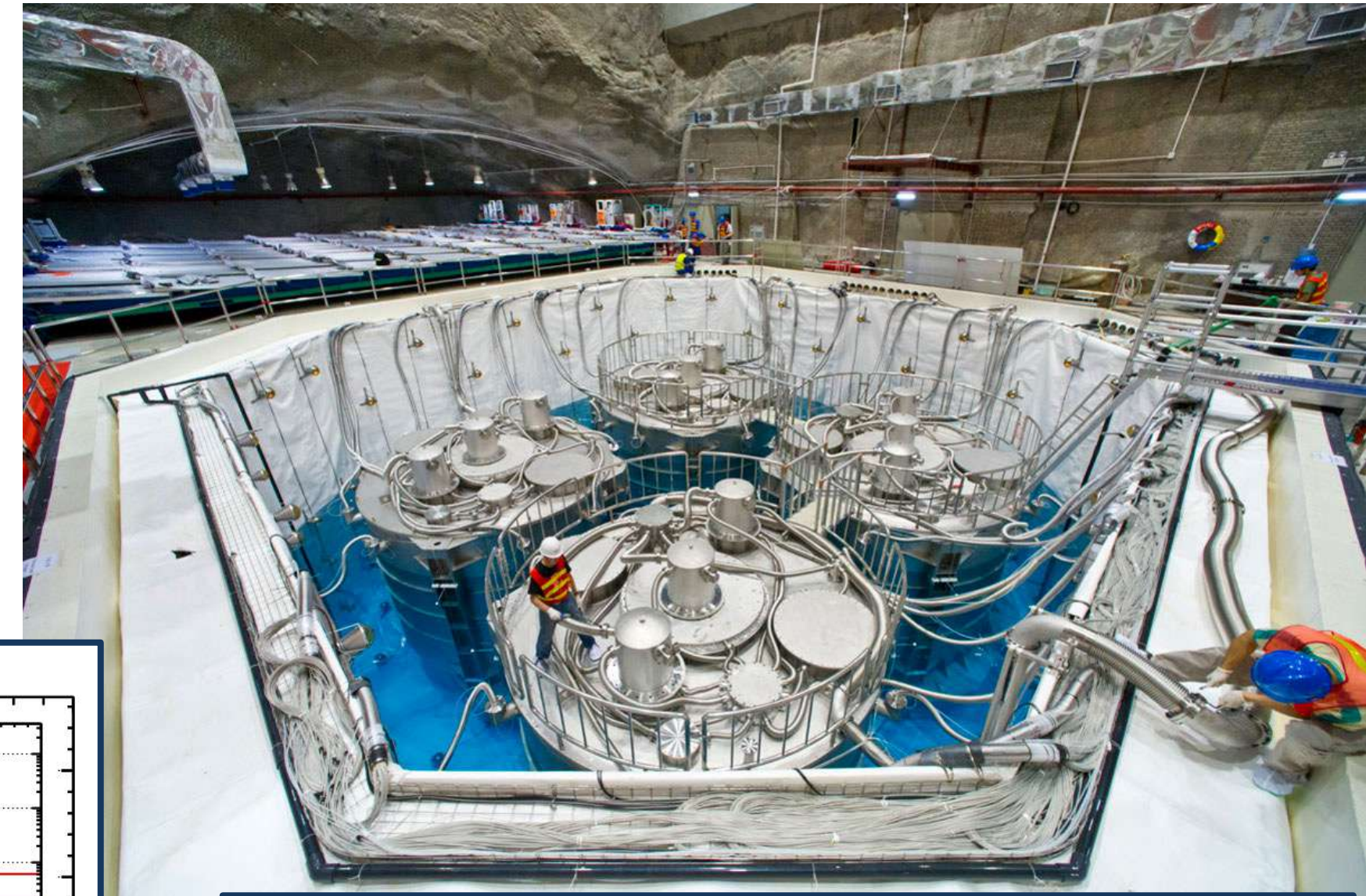
Reactor Neutrinos

Some experiments

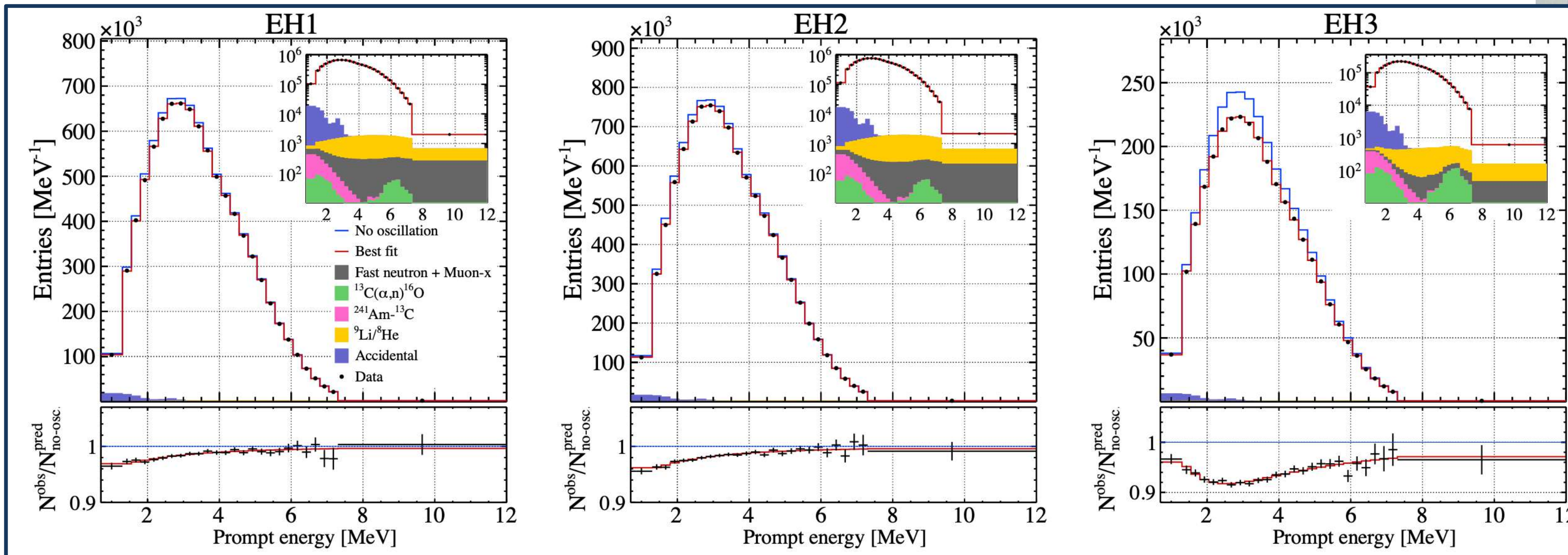
Flux measurements compared to expectation

- Daya Bay

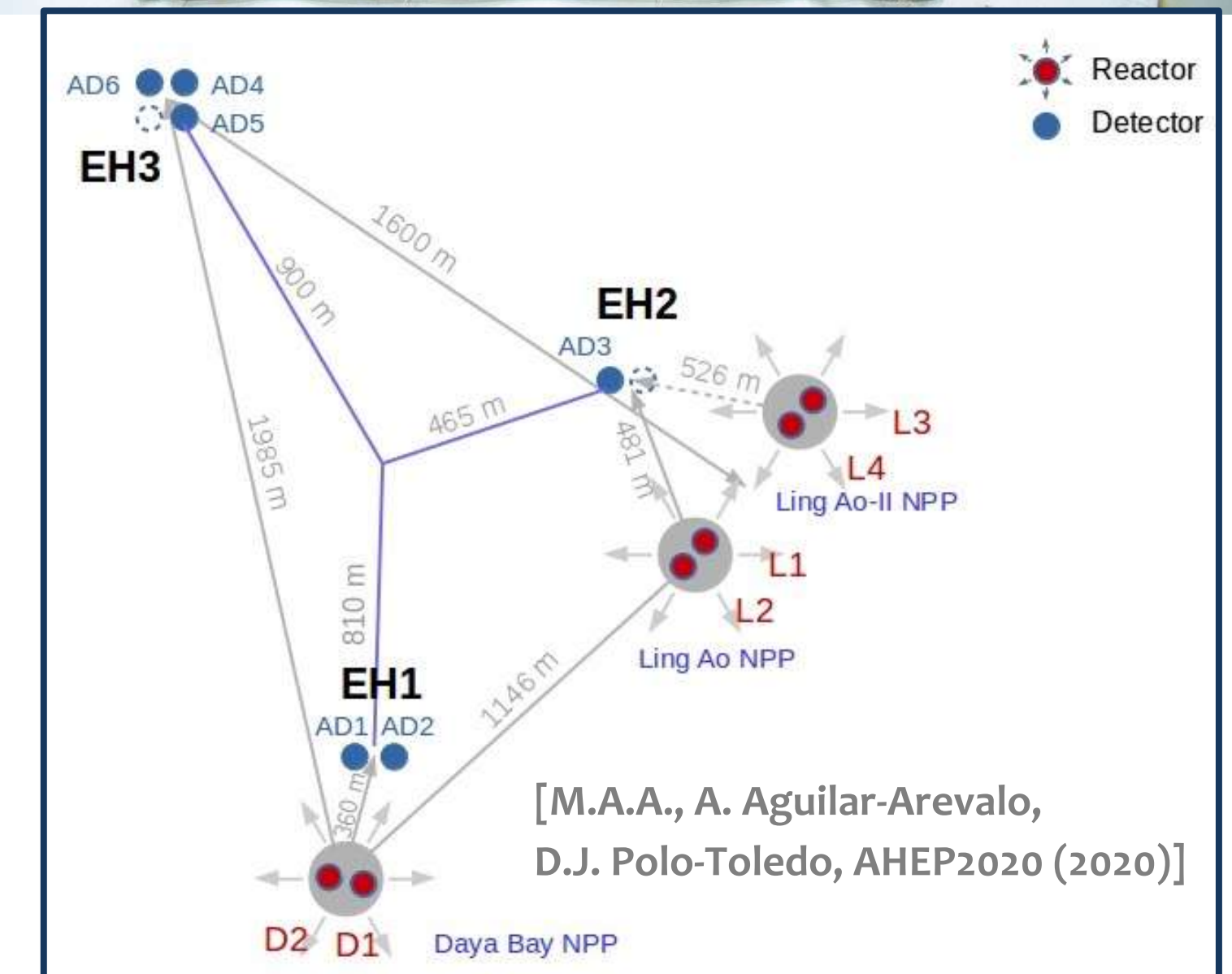
Electron Antineutrino disappearance!



[All Things Neutrino, Reactor Neutrinos]



[Daya Bay Collaboration, [arxiv:2211.14988](https://arxiv.org/abs/2211.14988) (2022)]



[M.A.A., A. Aguilar-Arevalo, D.J. Polo-Toledo, AHEP2020 (2020)]



Sources

Where neutrinos are produced

#SOMOSUA

Reactor Neutrinos

Flux around the World

An important tool for the control of Nuclear Proliferation

(Inter)National Security

Colloquium: Neutrino detectors as tools for nuclear security

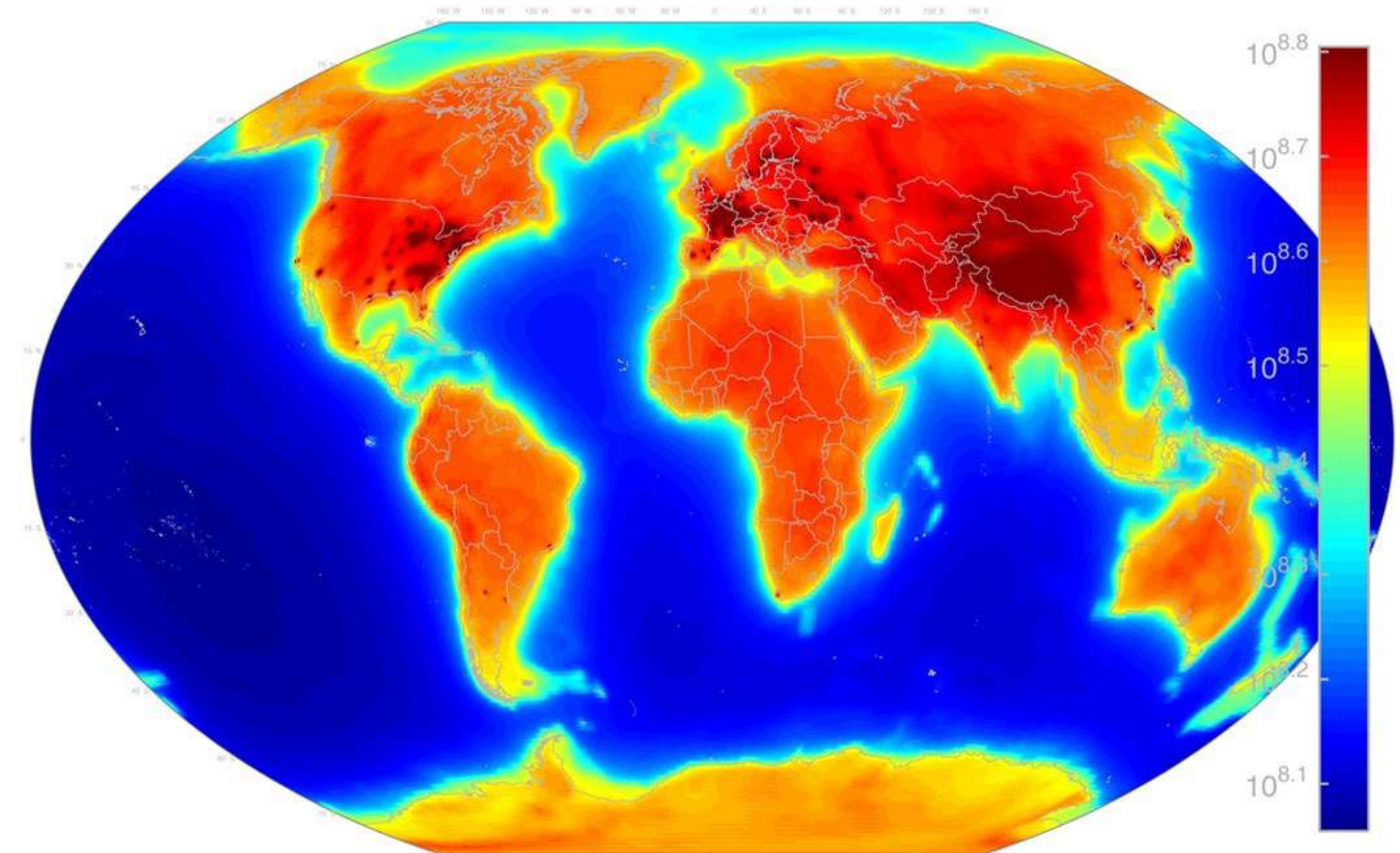
Adam Bernstein, Nathaniel Bowden, Bethany L. Goldblum, Patrick Huber, Igor Jovanovic, and John Mattingly
Rev. Mod. Phys. **92**, 011003 – Published 12 March 2020

[Review of Modern Physics (2020)]

Neutrino-Based Tools for Nuclear Verification and Diplomacy in North Korea

Rachel Carr, Jonathon Coleman, Mikhail Danilov, Giorgio Gratta, Karsten Heeger, Patrick Huber, ...show all
Pages 15-28 | Received 19 Dec 2018, Accepted 06 Mar 2019, Published online: 05 Jun 2019

[Science and Global Security (2018)]



[S.M. Usman et al., *Scientific Reports* (2015)]

Nu Tools
Exploring Practical Roles for **Neutrinos**
in **Nuclear Energy and Security**

[Nu Tools Executive Group, [2112.12593](#) (2021)]



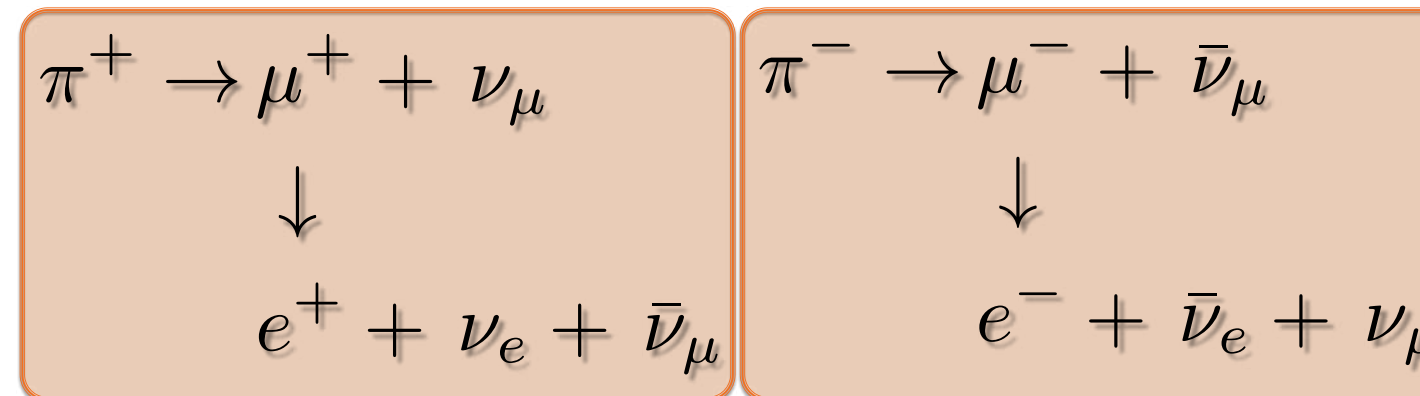
Sources

Where neutrinos are produced

Accelerator Neutrinos

Different ways to produce neutrinos

Pion Decay In Flight (DIF)
CDHSW, CHARM, LSND, NuTeV



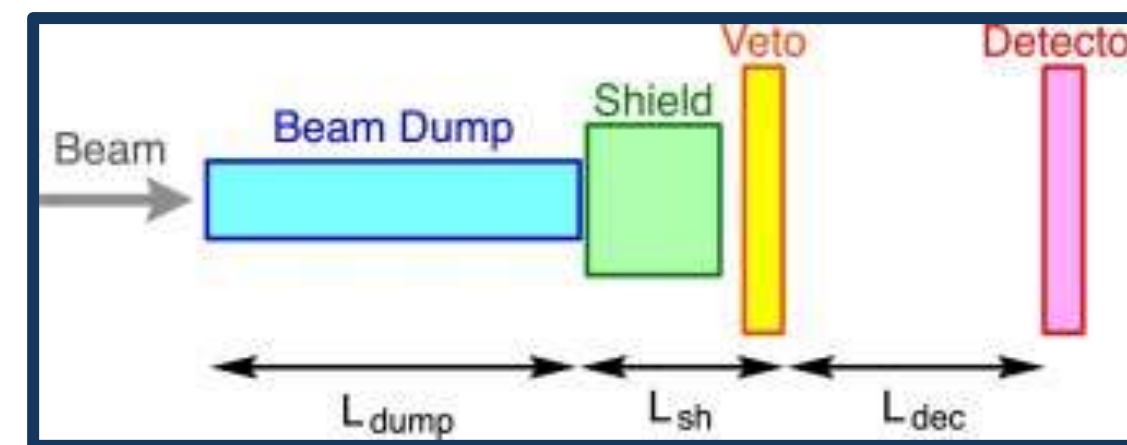
Typical Energy: ~1 GeV

Muon Decay at Rest (DAR)
LSND, KARMEN



Typical Energy: $O(10^1)$ MeV

Beam Dump
CDHSW, CHARM



Typical Energy: $O(10^2)$ GeV

Short Baseline (SBL)

$O(10^1 - 10^3)$ m



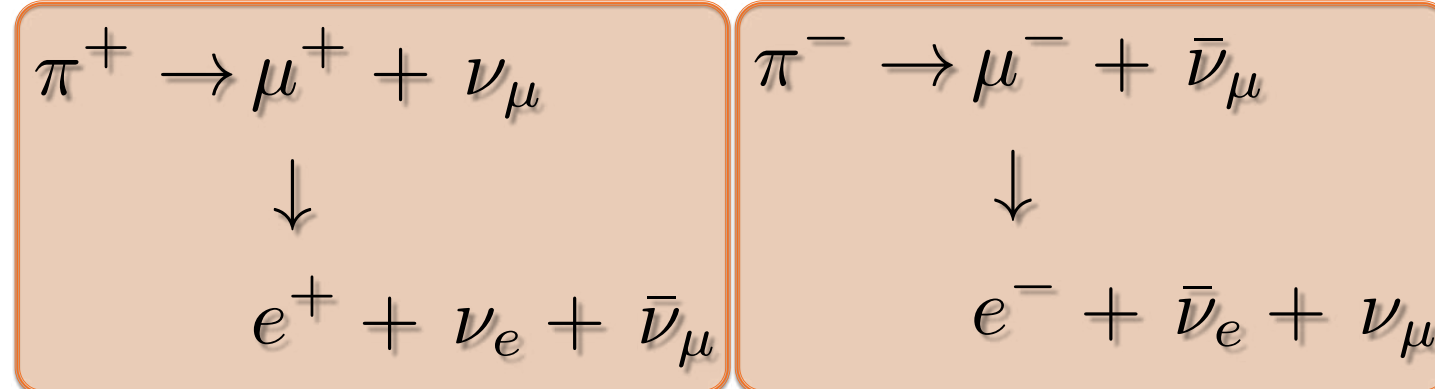
Sources

Where neutrinos are produced

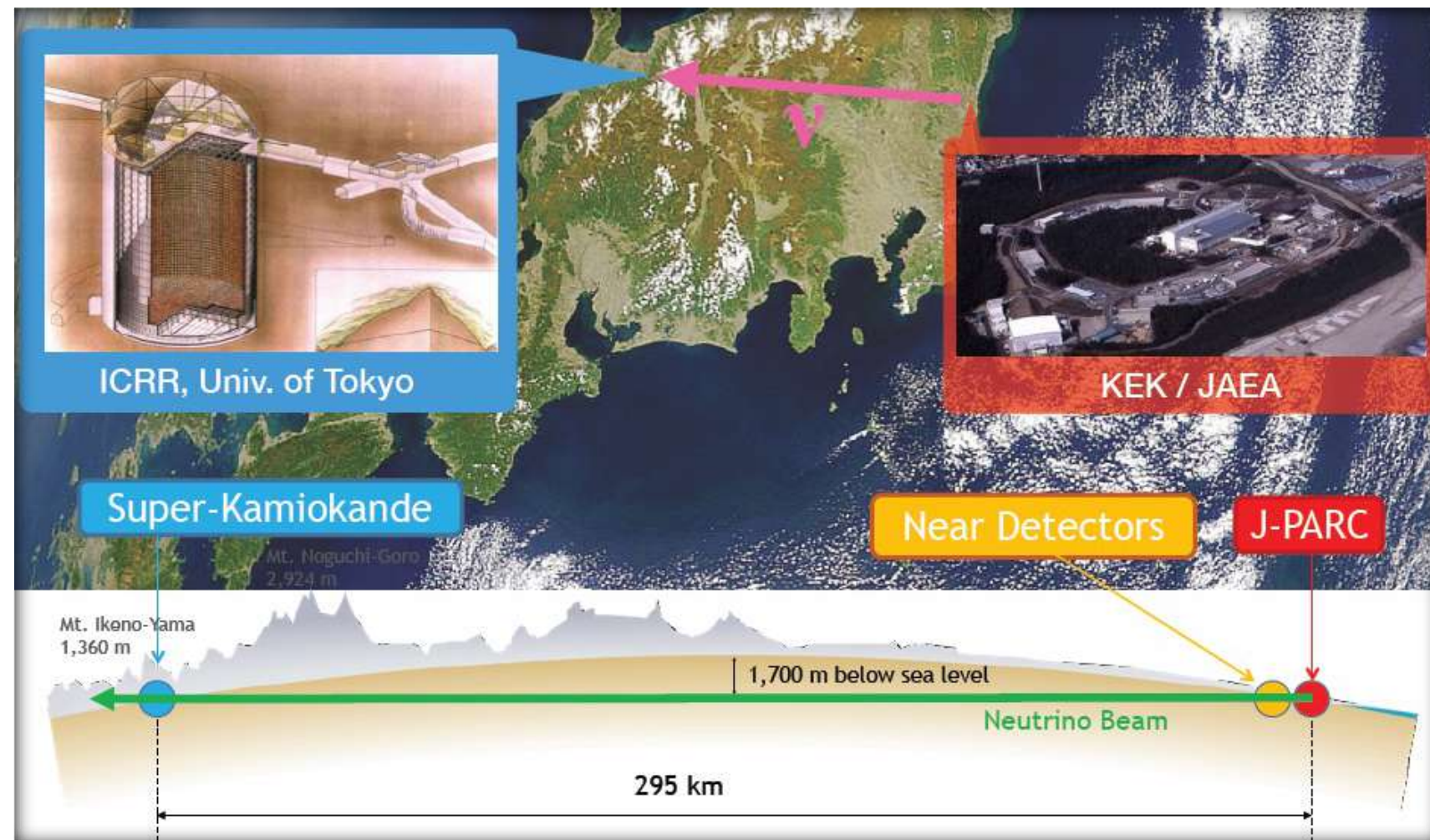
Accelerator Neutrinos

Different ways to produce neutrinos

Pion Decay In Flight (DIF)



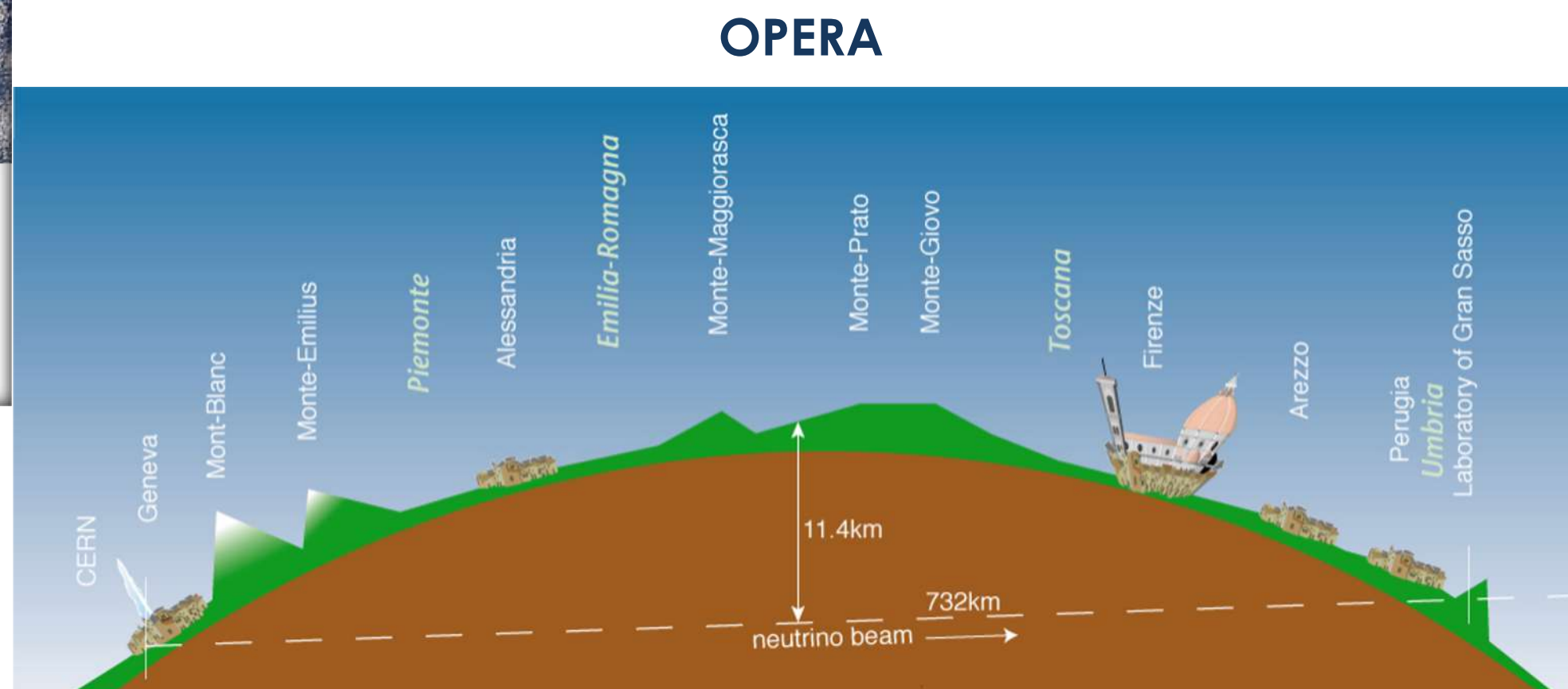
Typical Energy: ~1 GeV



T2K

Long Baseline (LBL)

O(10² – 10³) km



NOvA



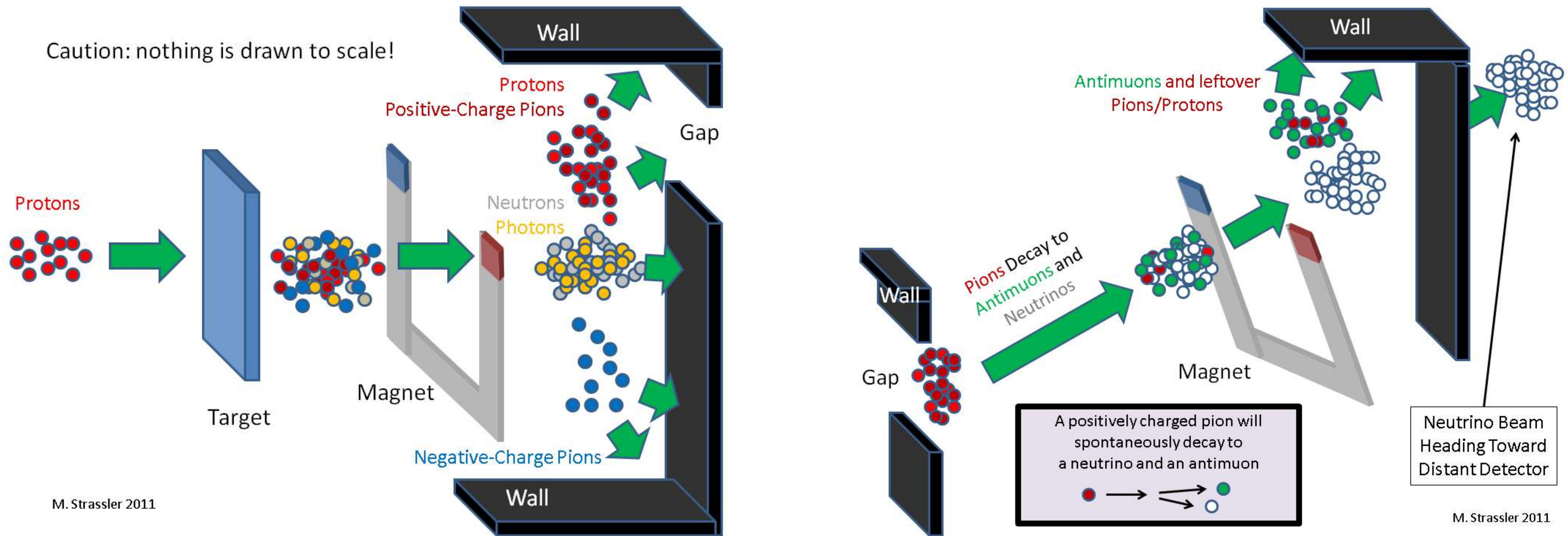
Sources

How neutrinos are produced

#SOMOSUA

Accelerator Neutrinos

Producing a neutrino Beam



[M. Strassler, *Of Particular Significance* (2011)]



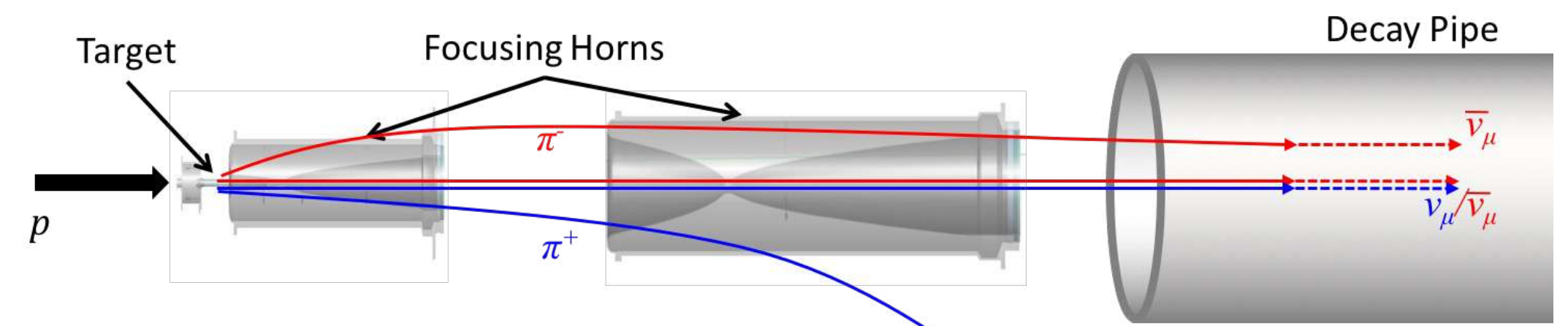
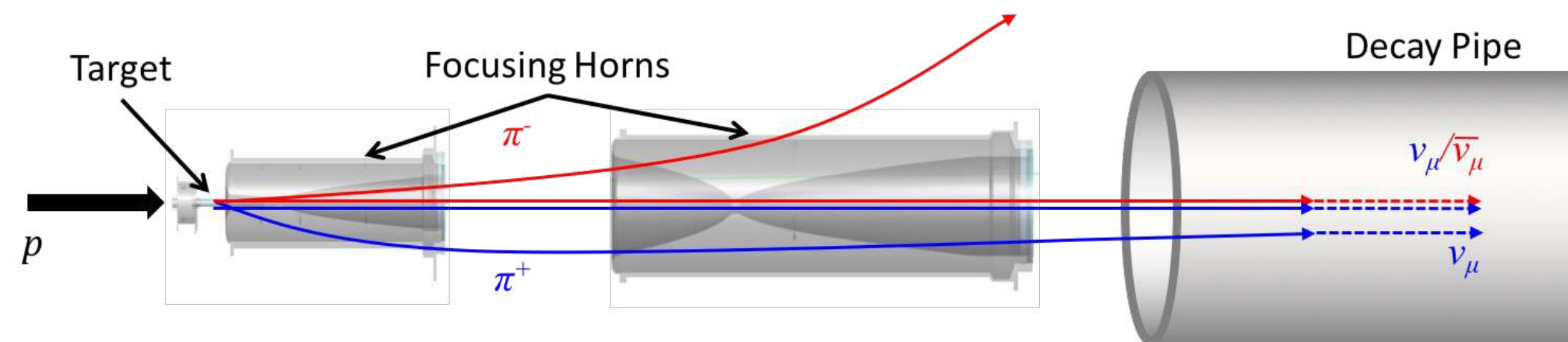
Sources

How neutrinos are produced

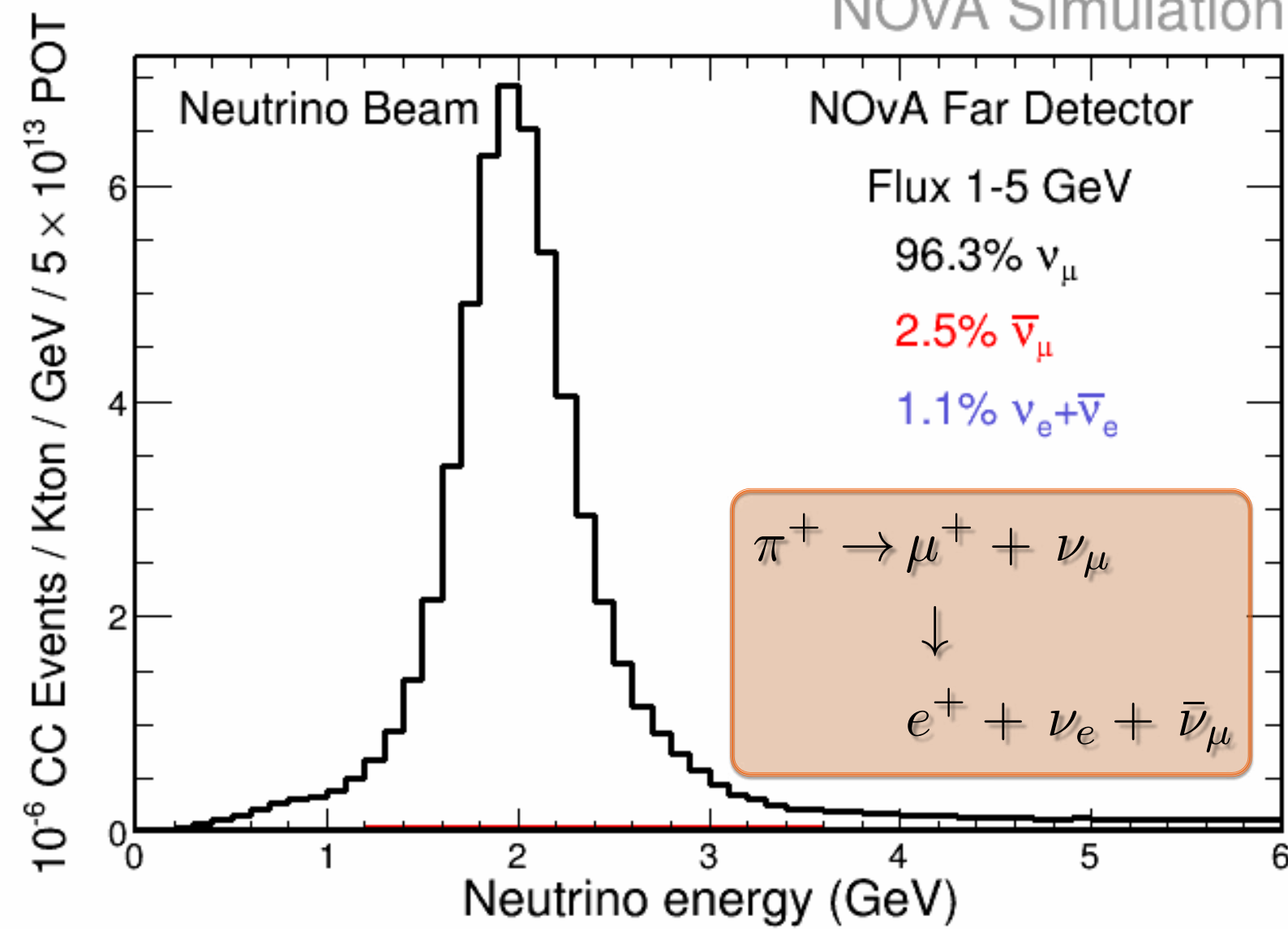
#SOMOSUA

Accelerator Neutrinos

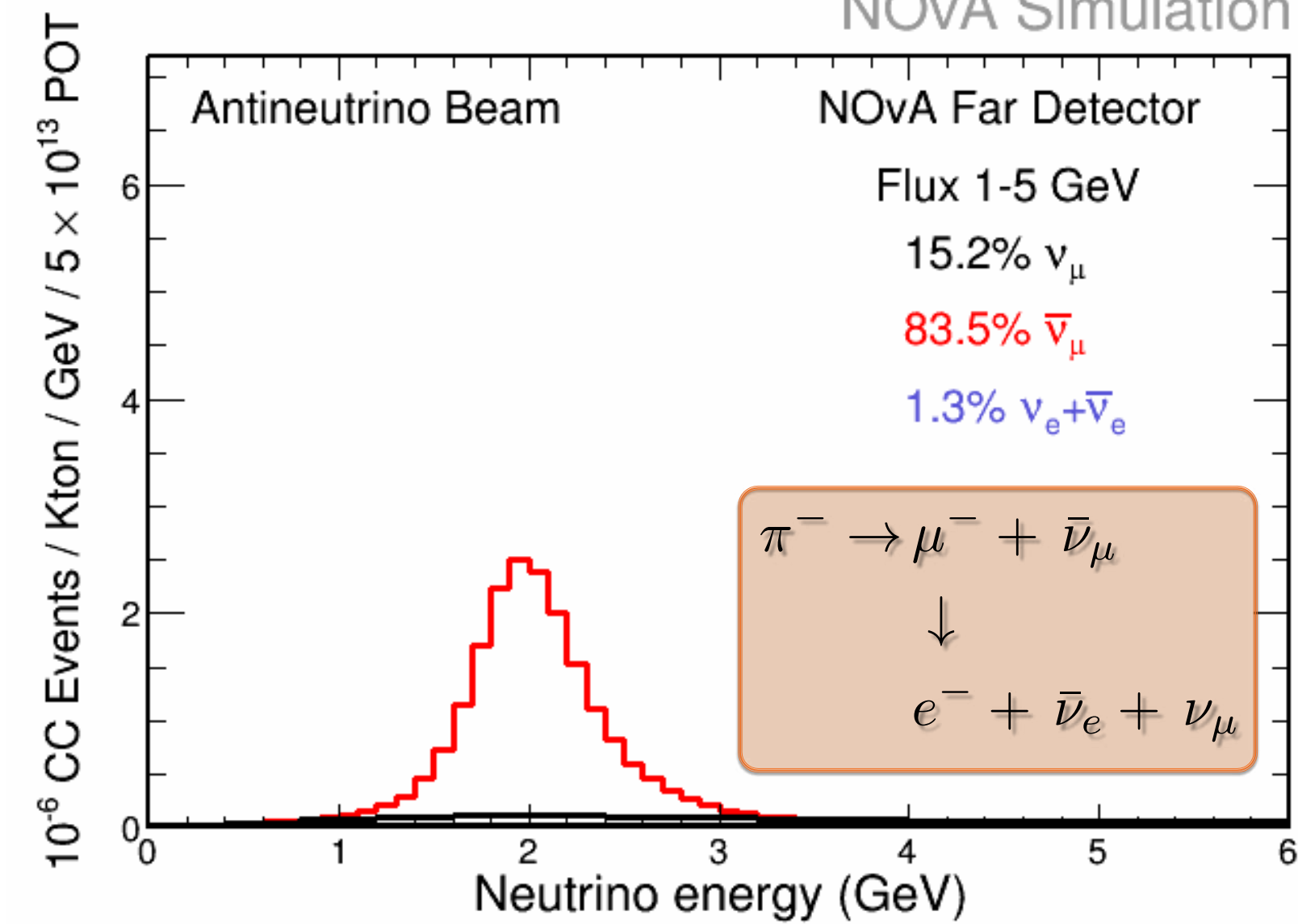
Producing a neutrino Beam (in NOvA)



NOvA Simulation



NOvA Simulation



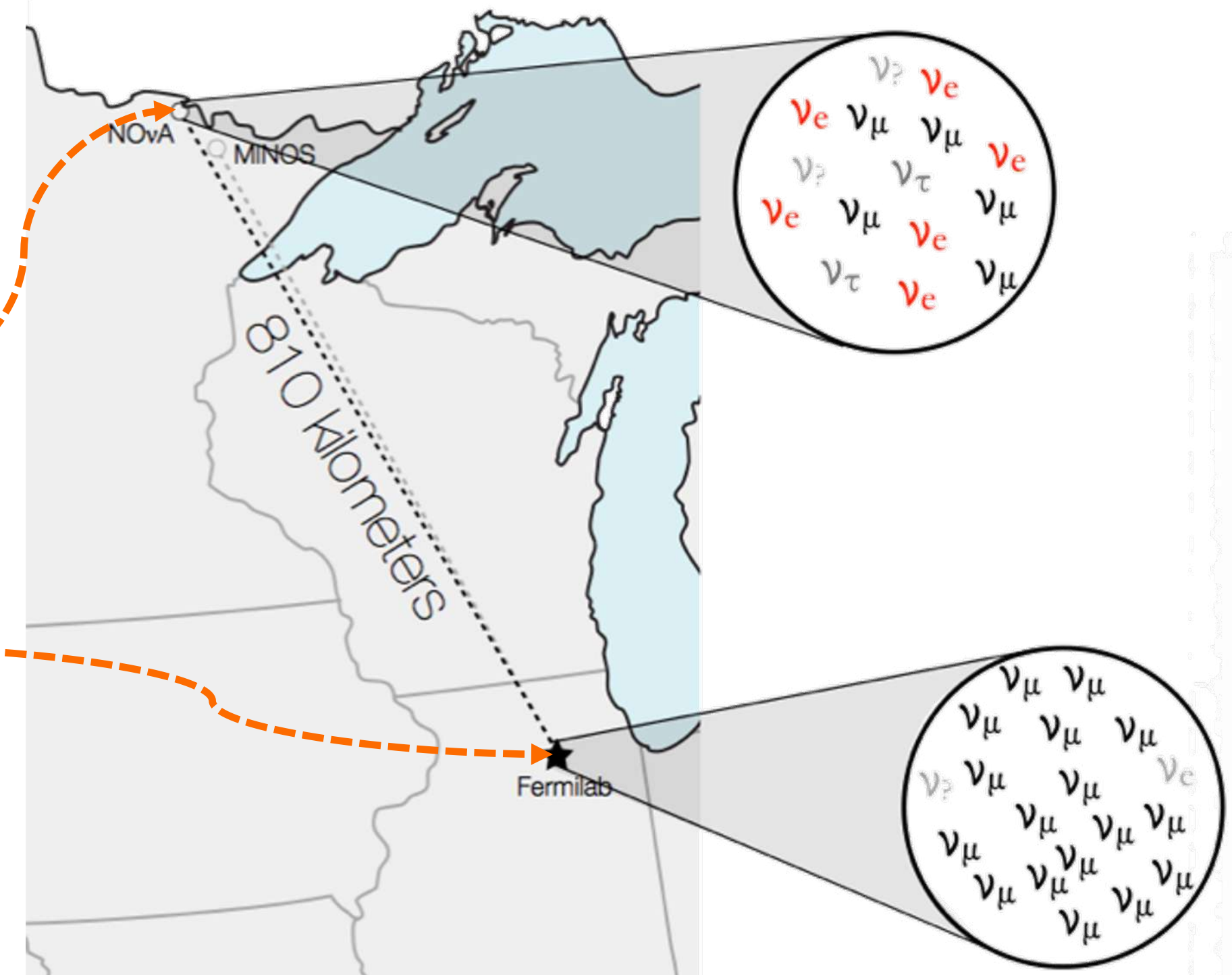
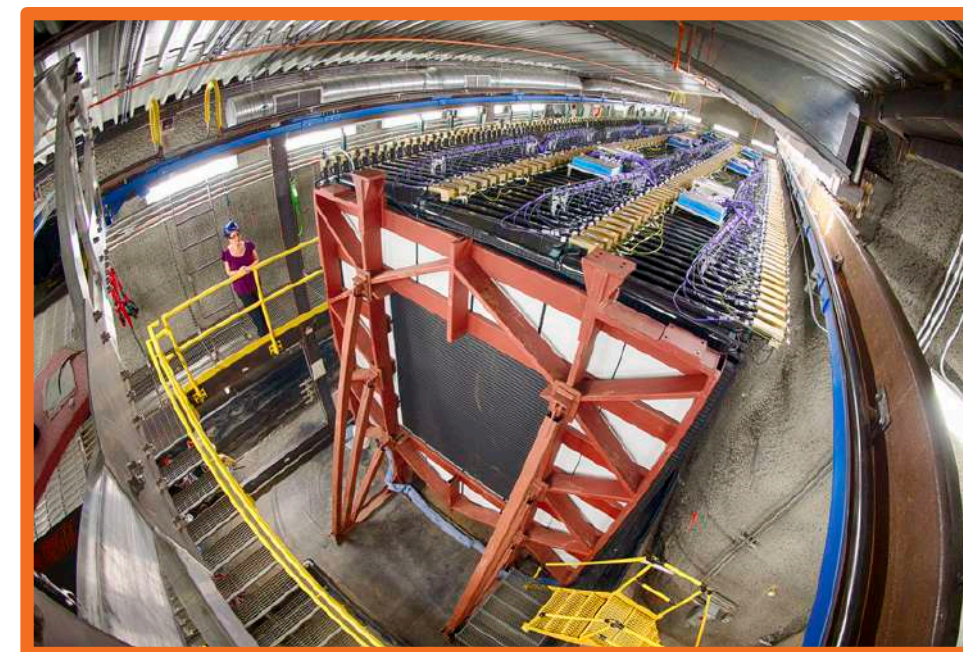
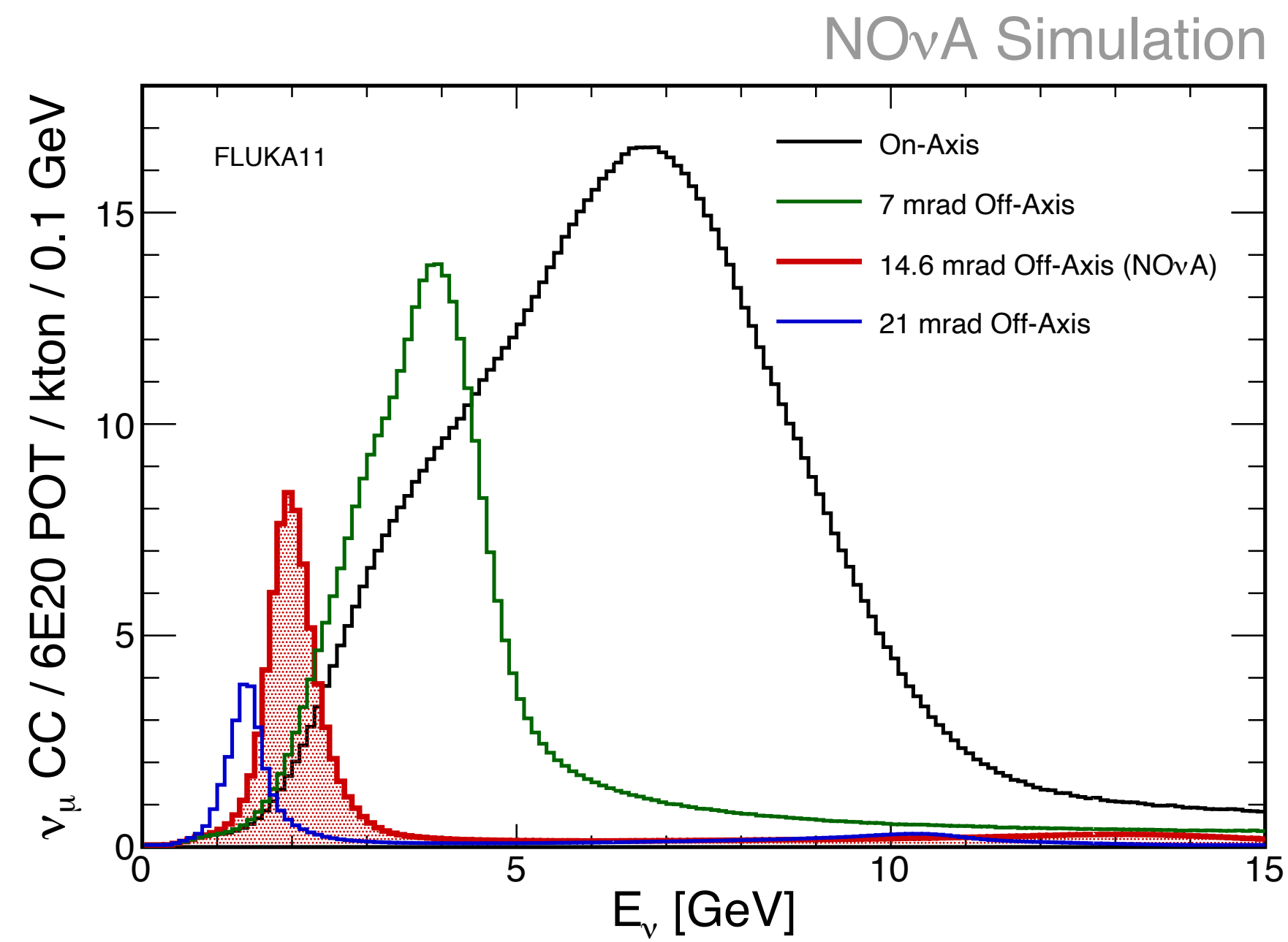
Sources

A special experiment

#SOMOSUA

Accelerator Neutrinos

NOvA



Off-axis position (beam peaks at ~2 GeV)



More Experiments

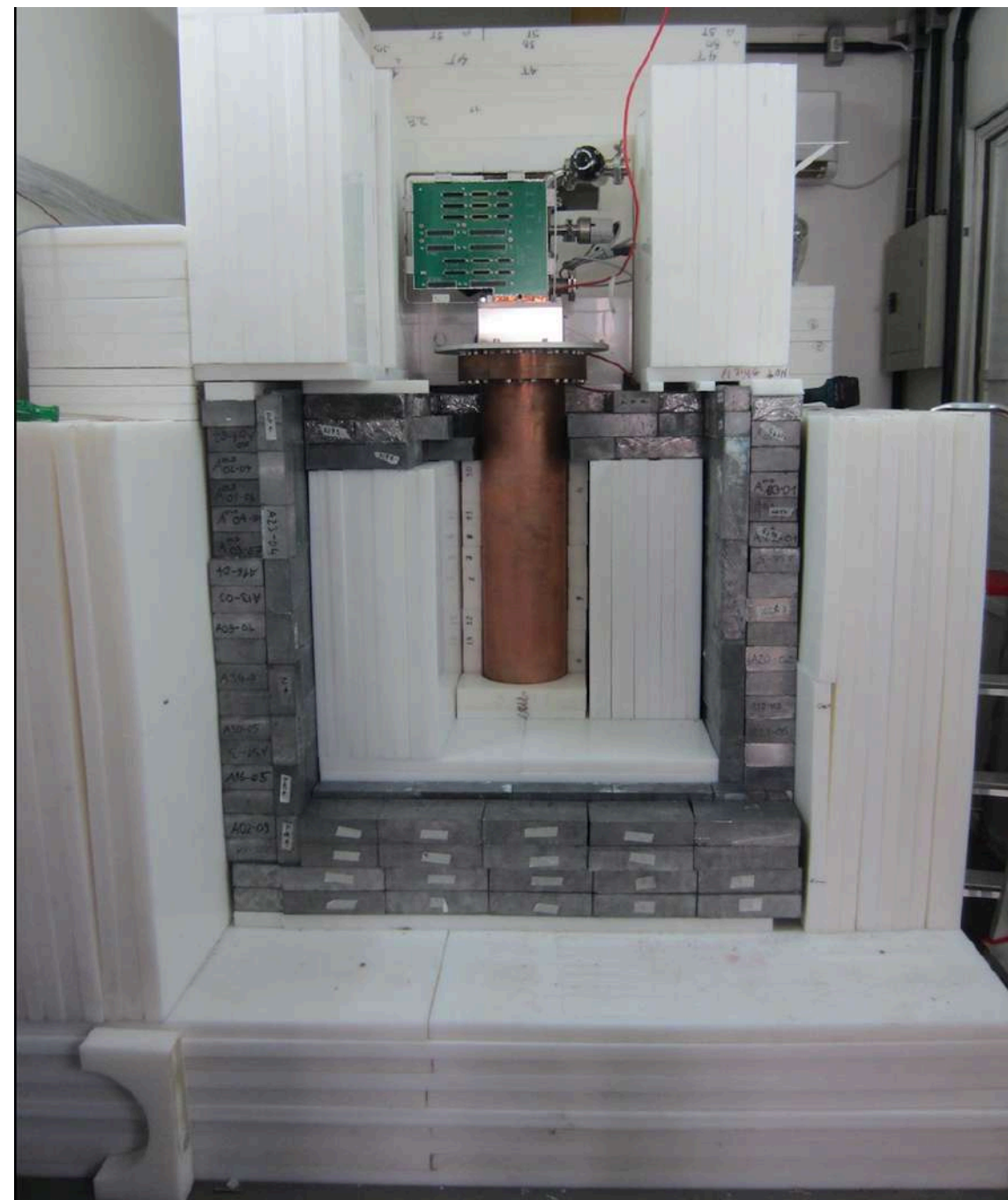
Currently taking data

#SOMOSUA

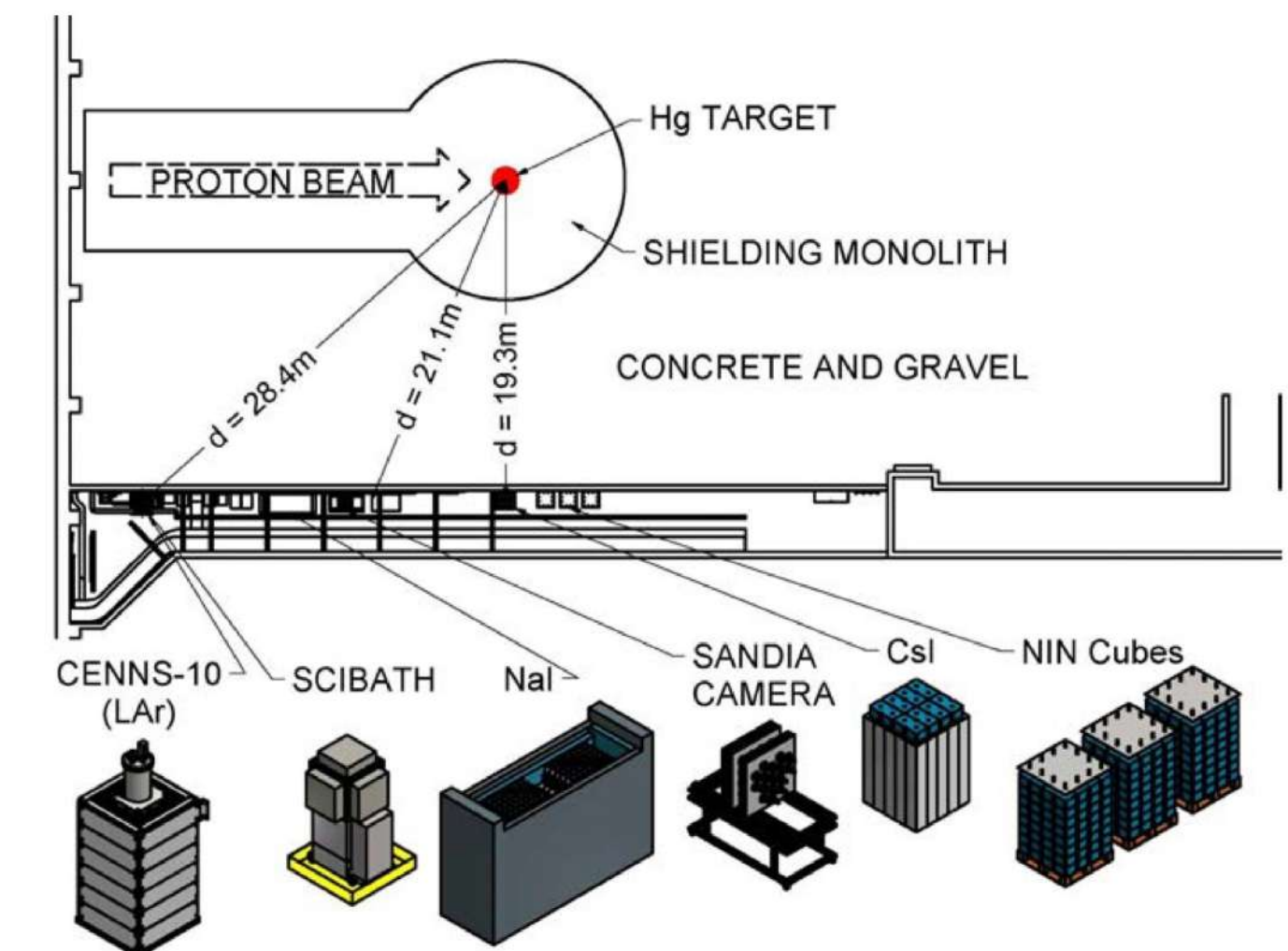
A long list of experiments studying neutrinos and related physics

- Atmospheric
- Solar
- Reactor (SBL and LBL)
- Accelerator (SBL and LBL)
- $0\nu\beta\beta$ -Decay
- Astrophysics
- Supernova

<http://www.nu.to.infn.it/exp>



CONNIE CEvNS from reactor neutrinos
Phys.Rev.D 100 (2019) 9, 092005



COHERENT CEvNS
Science 357 (2017) 6356, 1123-1126



More Experiments

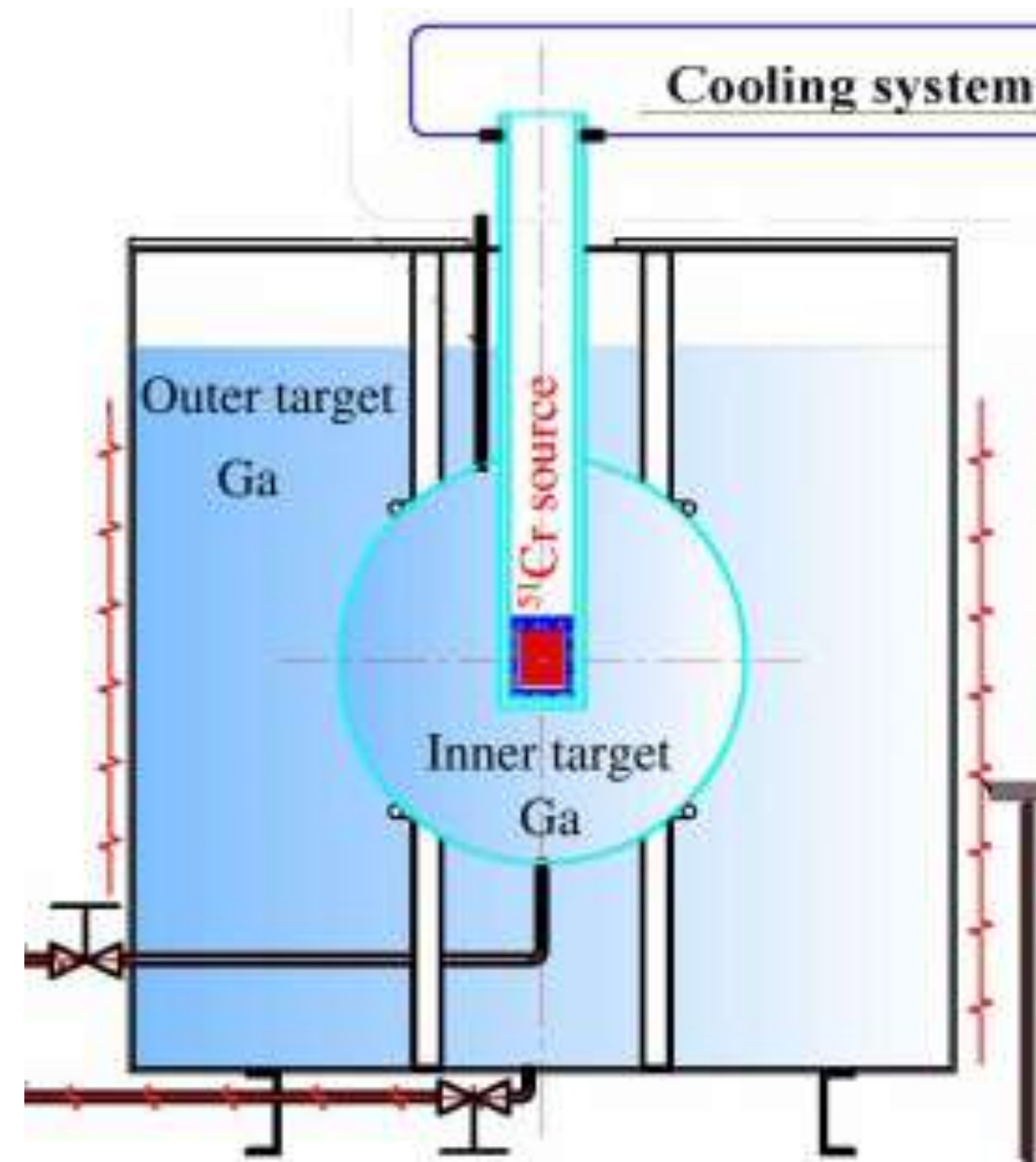
Currently taking data

#SOMOSUA

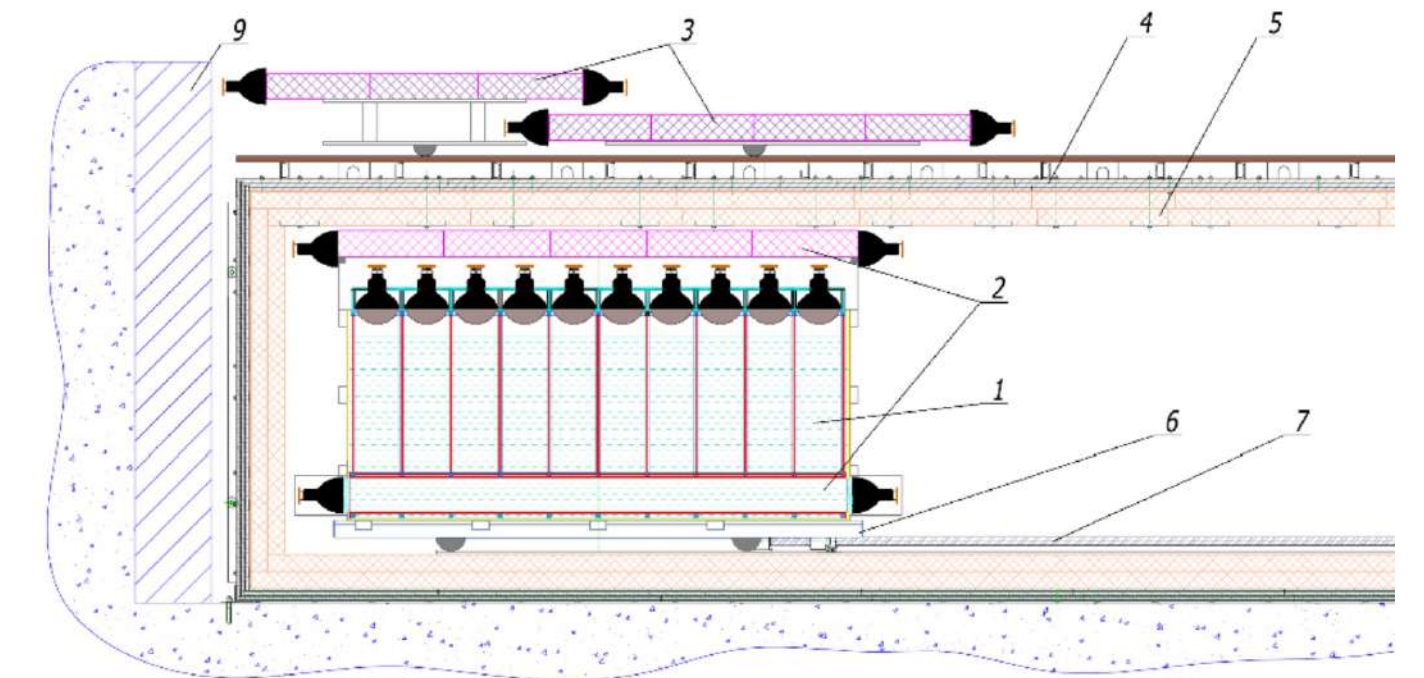
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- $0\nu\beta\beta$ -Decay
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- Supernova

<http://www.nu.to.infn.it/exp>



BEST Sterile neutrino Ga Anomaly
Phys. Rev. C 105, 065502 (2022)



Neutrino-4 Sterile neutrino RAA
A Serebrov et al 2017 J. Phys.: Conf. Ser. 934 012010



More Experiments

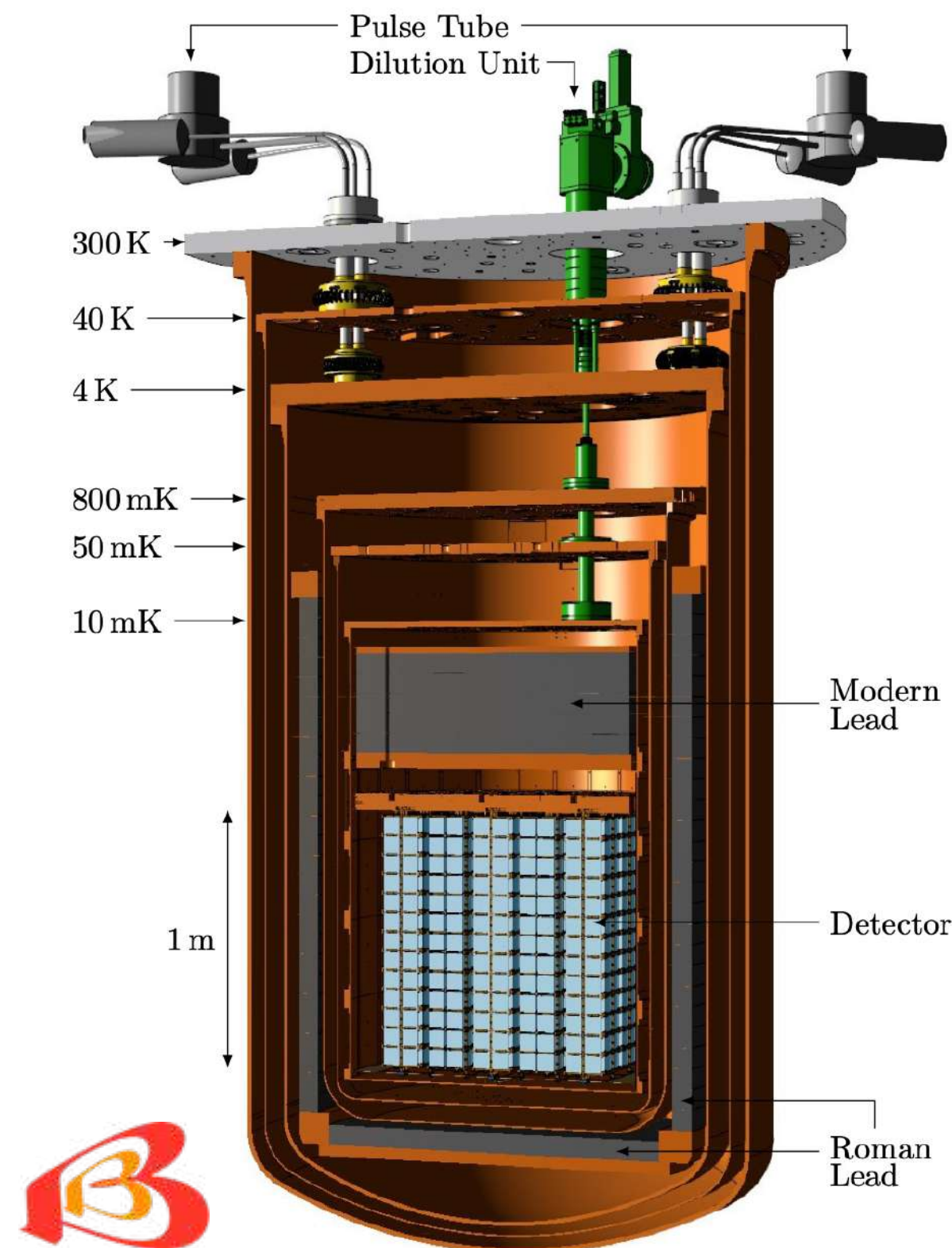
Currently taking data

#SOMOSUA

A long list of experiments studying neutrinos and related physics

- Atmospheric
- Solar
- Reactor (SBL and LBL)
- Accelerator (SBL and LBL)
- $0\nu\beta\beta$ -Decay
- Astrophysics
- Supernova

<http://www.nu.to.infn.it/exp>



CUORE $0\nu\beta\beta$ -Decay
Nature 604, 53 (2022)
<https://cuore.lngs.infn.it/en/>



KATRIN Absolute mass scale of neutrinos
<https://www.katrin.kit.edu>

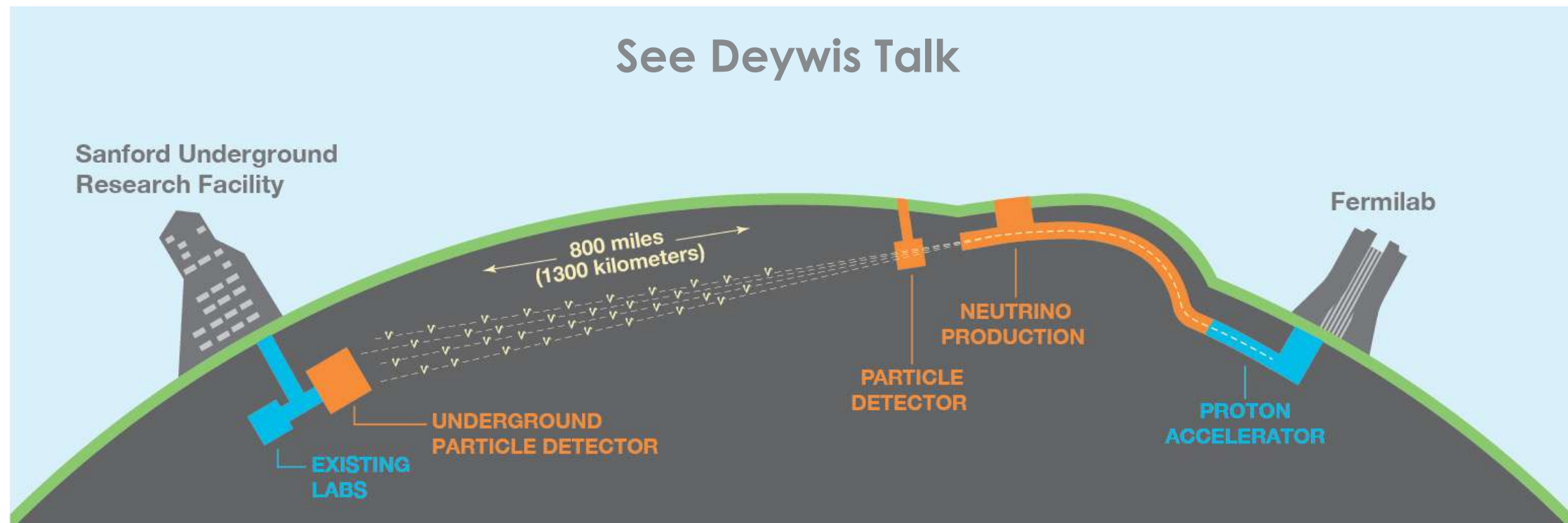


More Experiments

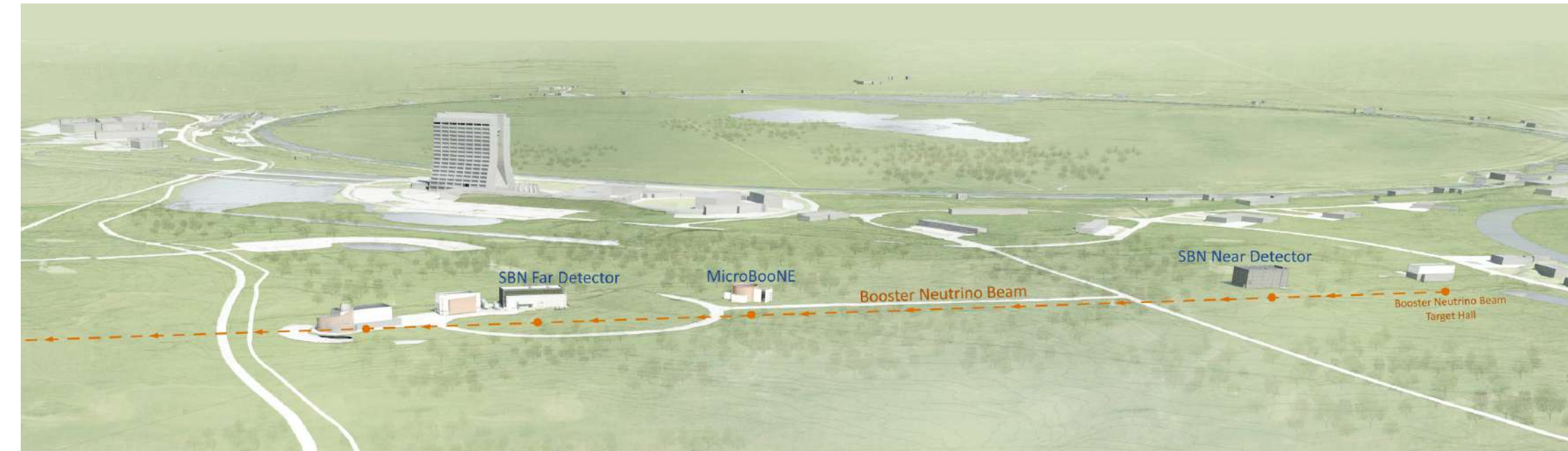
#SOMOSUA

The Future

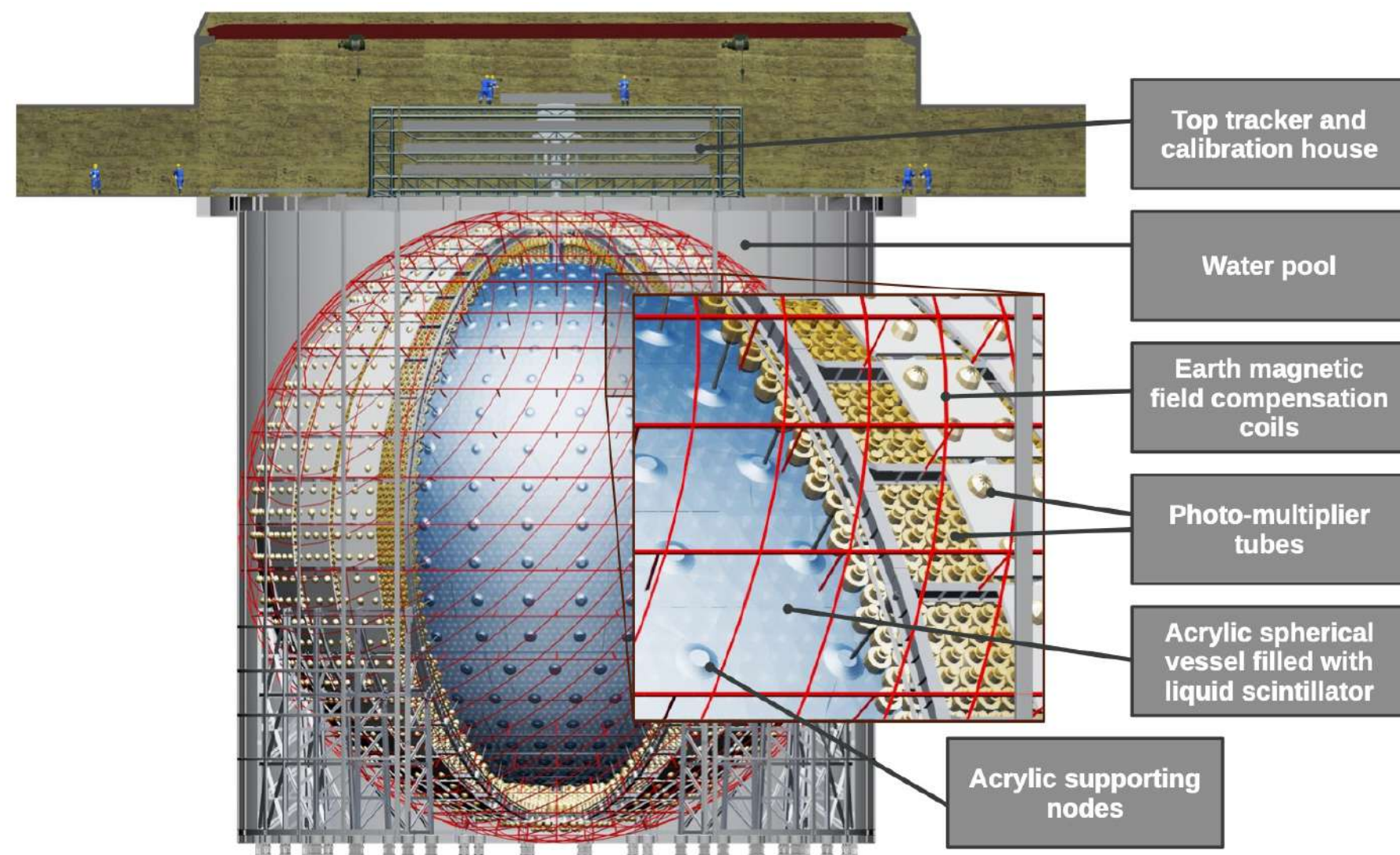
See Deywis Talk



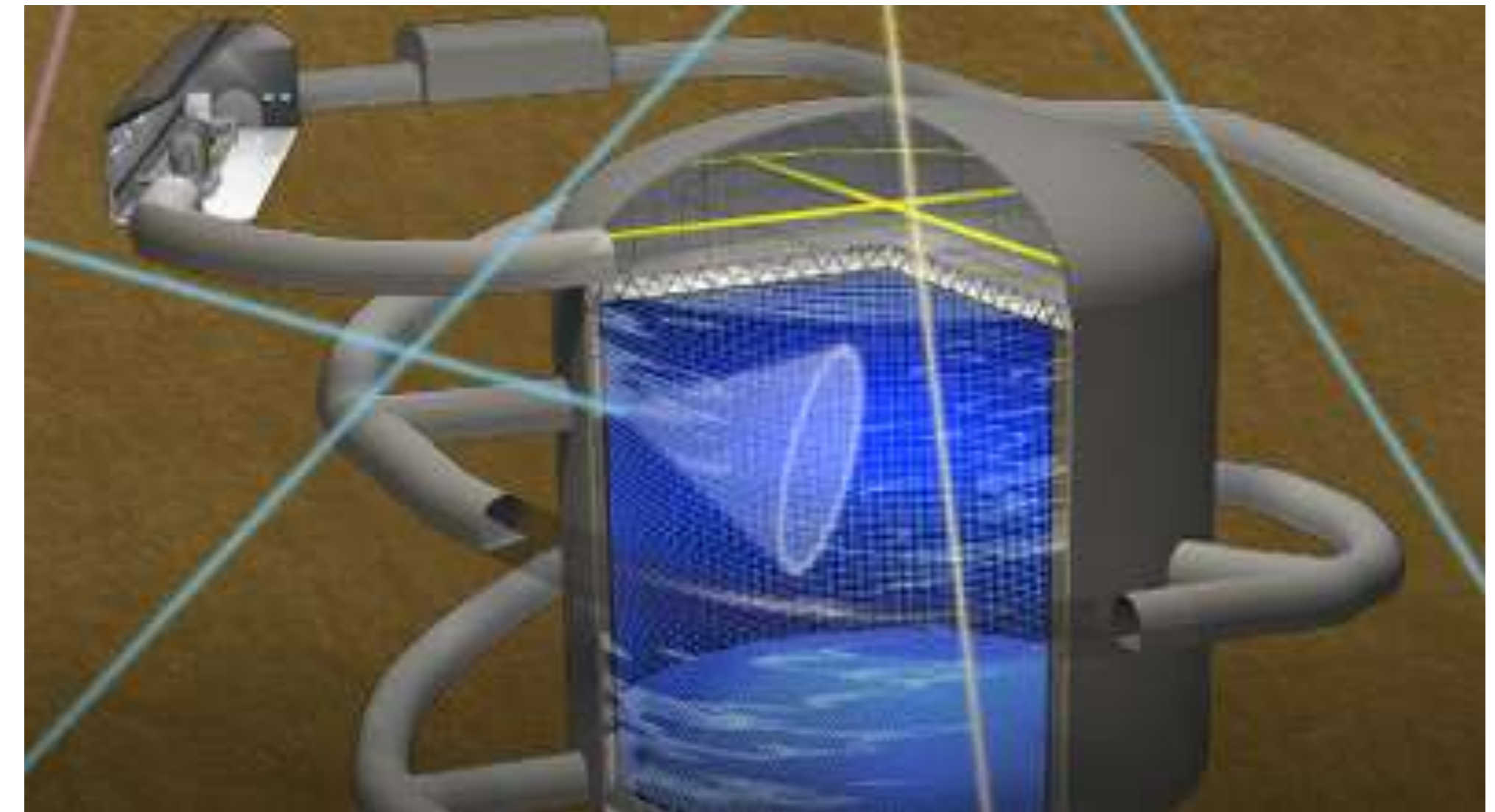
DUNE Mass hierarchy – Oscillations – Supernova – Atmospheric – Solar – Exotic searches – Proton decay studies
<https://www.dunescience.org>



SBN @ Fermilab Neutrino properties
<https://sbn.fnal.gov/sbn-about.html>



JUNO Mass hierarchy – Oscillations – Supernova – Atmospheric – Solar – Geo – Exotic searches
 PoS NuFact2021 (2022) 005



Hyper-K CP violation – Solar – Supernova
<https://www.hyperk.org>



Neutrino Phenomenology

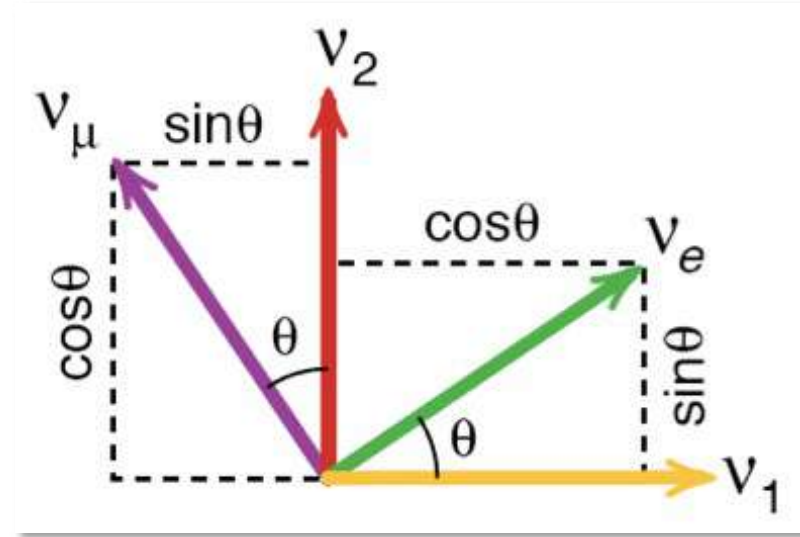
Some aspects...



Neutrino Oscillations

The 2-neutrino approximation (vacuum)

#SOMOSUA



$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} \\ -\sin \theta_{12} & \cos \theta_{12} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

Flavor states Mass states

$$P(\nu_e \rightarrow \nu_\mu) = |\langle \nu_\mu | \nu(t) \rangle|^2$$

$$= \sin^2 2\theta_{12} \sin^2 \left(\frac{\Delta m_{21}^2 L}{4E} \right) \quad \Delta m_{21}^2 \equiv m_2^2 - m_1^2$$

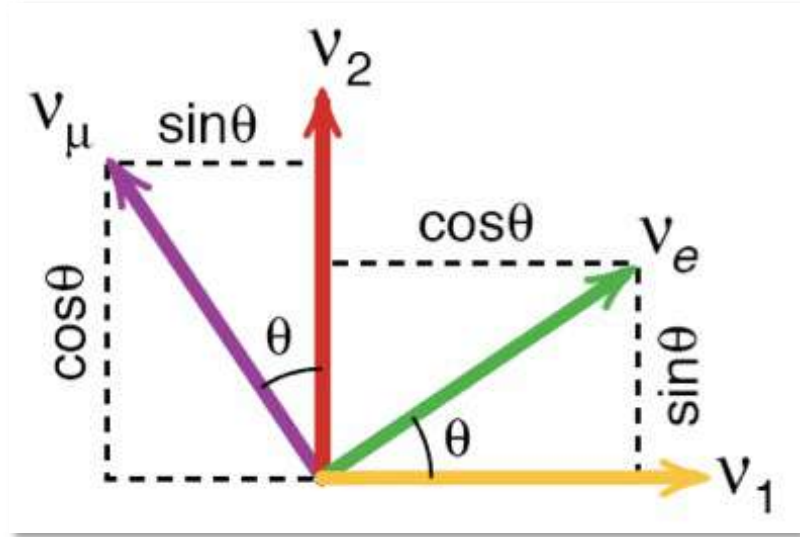
$$= \sin^2 2\theta_{12} \sin^2 \left(1.27 \frac{\Delta m_{21}^2 [\text{eV}^2] L [\text{km}]}{E [\text{GeV}]} \right)$$



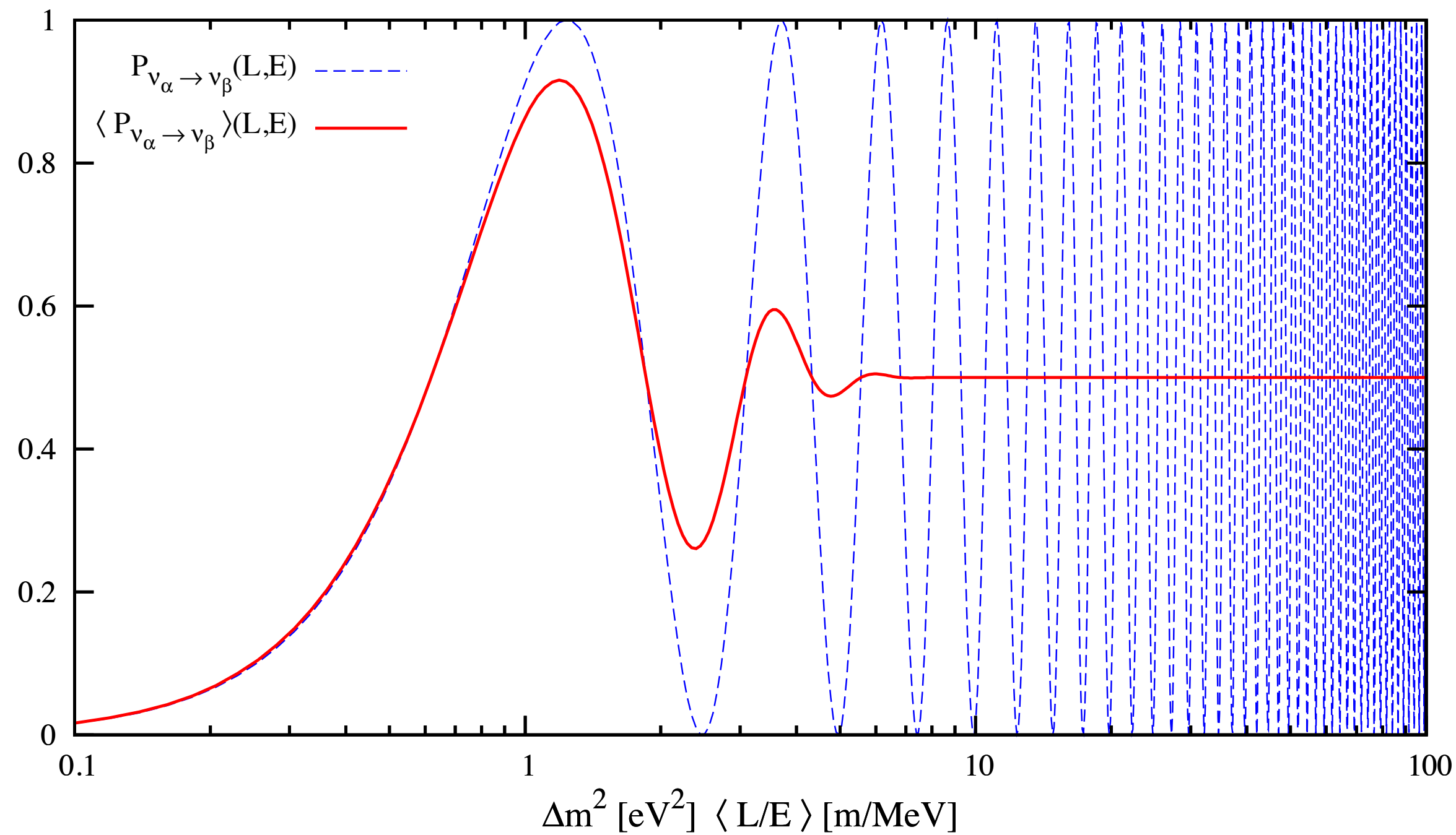
Neutrino Oscillations

#SOMOSUA

The 2-neutrino approximation (vacuum)



$$P(\nu_e \rightarrow \nu_\mu) = \sin^2 2\theta_{12} \sin^2 \left(1.27 \frac{\Delta m_{21}^2 [\text{eV}^2] L [\text{km}]}{E [\text{GeV}]} \right)$$



Type of experiment	L	E	Δm^2 sensitivity
Reactor SBL	$\sim 10 \text{ m}$	$\sim 1 \text{ MeV}$	$\sim 0.1 \text{ eV}^2$
Accelerator SBL (Pion DIF)	$\sim 1 \text{ km}$	$\gtrsim 1 \text{ GeV}$	$\gtrsim 1 \text{ eV}^2$
Accelerator SBL (Muon DAR)	$\sim 10 \text{ m}$	$\sim 10 \text{ MeV}$	$\sim 1 \text{ eV}^2$
Accelerator SBL (Beam Dump)	$\sim 1 \text{ km}$	$\sim 10^2 \text{ GeV}$	$\sim 10^2 \text{ eV}^2$
Reactor LBL	$\sim 1 \text{ km}$	$\sim 1 \text{ MeV}$	$\sim 10^{-3} \text{ eV}^2$
Accelerator LBL	$\sim 10^3 \text{ km}$	$\gtrsim 1 \text{ GeV}$	$\gtrsim 10^{-3} \text{ eV}^2$
ATM	$20\text{--}10^4 \text{ km}$	$0.5\text{--}10^2 \text{ GeV}$	$\sim 10^{-4} \text{ eV}^2$
Reactor VLB	$\sim 10^2 \text{ km}$	$\sim 1 \text{ MeV}$	$\sim 10^{-5} \text{ eV}^2$
Accelerator VLB	$\sim 10^4 \text{ km}$	$\gtrsim 1 \text{ GeV}$	$\gtrsim 10^{-4} \text{ eV}^2$
SOL	$\sim 10^{11} \text{ km}$	$0.2\text{--}15 \text{ MeV}$	$\sim 10^{-12} \text{ eV}^2$

[C. Giunti, C.W. Kim, *Fundamental of neutrino Physics and Astrophysics* (2007)]



Neutrino Oscillations

#SOMOSUA

The experimental results

The experimental results

- **Solar** (SNO, Borexino, Super-K, GALLEX/GNO, SAGE, Kamiokande)

$$\nu_e \rightarrow \nu_\mu, \nu_\tau$$

- **Reactors** (KamLAND, Daya Bay, RENO, Double Chooz)

$$\bar{\nu}_e \rightarrow \bar{\nu}_\mu, \bar{\nu}_\tau$$

- **Atmorphic** (Super-K, Kamiokande, IMB, MACRO, Soudan-2, IceCube)

$$\nu_\mu \rightarrow \nu_\tau, \bar{\nu}_\mu \rightarrow \bar{\nu}_\tau$$

- **Accelerators** (K2K, MINOS, T2K, NOvA, Opera)

$$\nu_\mu \rightarrow \nu_{e,\tau}, \bar{\nu}_\mu \rightarrow \bar{\nu}_{e,\tau}$$

$$\Delta m_{\text{Sol}}^2 \simeq 7.42 \times 10^{-5} \text{ eV}^2$$
$$\sin^2 \theta_{\text{Sol}} \simeq 0.30$$
$$\sin^2 \theta_{\text{Rea}} \simeq 0.02$$

[NuFIT 5.1 (2021)]

$$\Delta m_{\text{Atm}}^2 \simeq 2.51 \times 10^{-3} \text{ eV}^2$$
$$\sin^2 \theta_{\text{Atm}} \simeq 0.45$$



Neutrino Oscillations

The other experimental results

#SOMOSUA

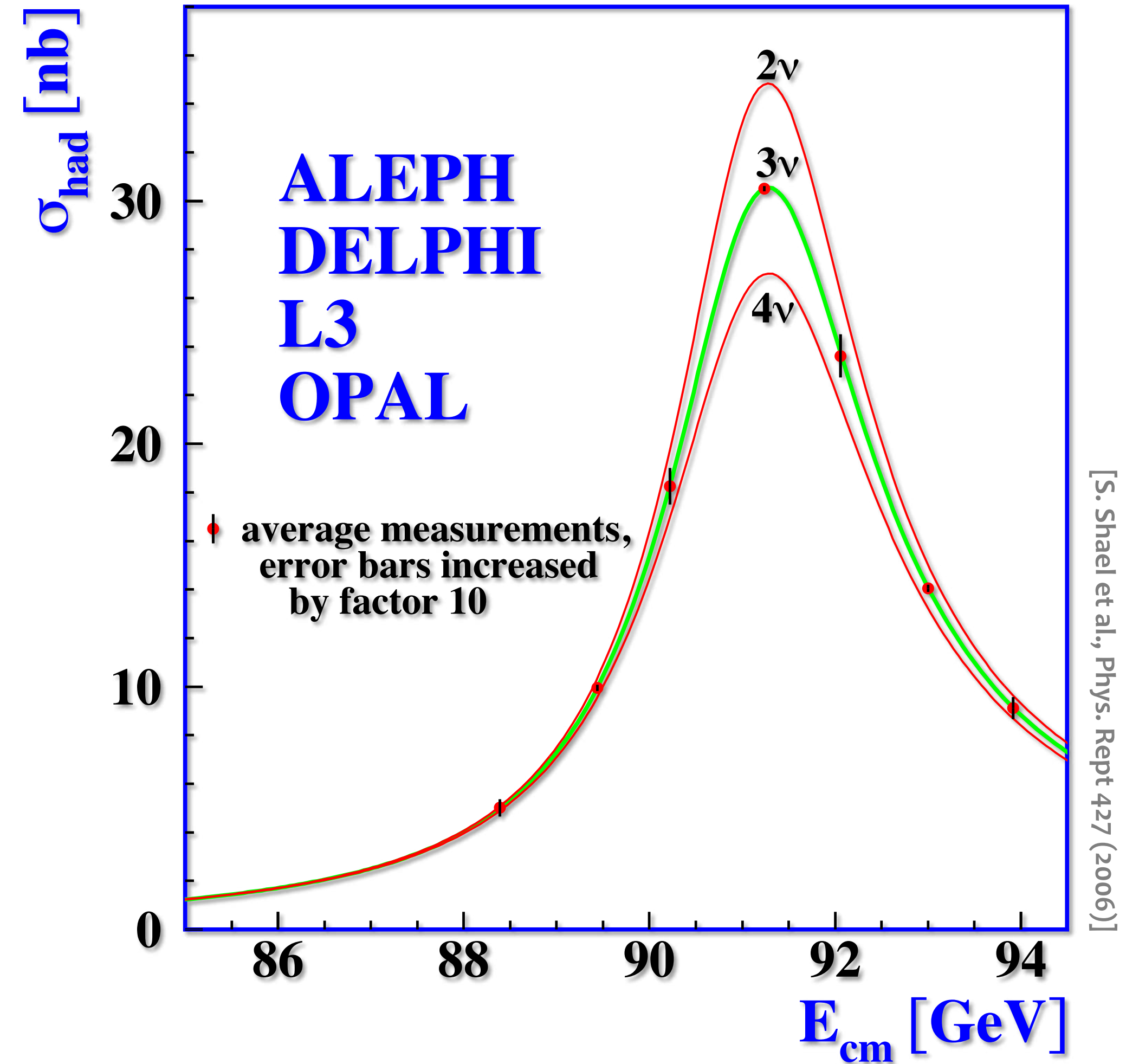
The (real-life) 3-neutrino model

Only 3 neutrinos contribute to the Z width

- 3 neutrino families are connected to the weak interaction

Number of light neutrino species:

$$N_\nu = 2.9840 \pm 0.0082$$



Neutrino Oscillations

The 3-neutrino mixing

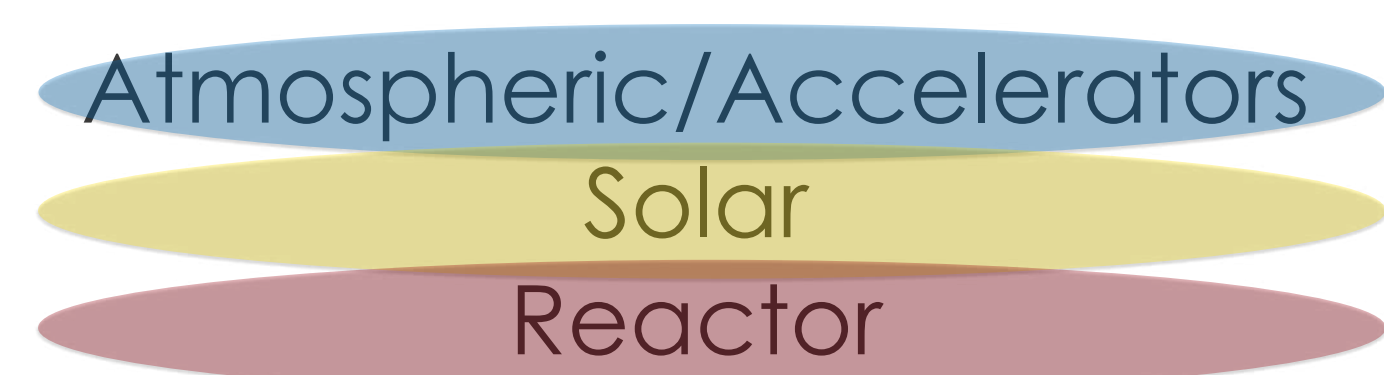
The (real-life) 3-neutrino model

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{R(\theta_{23}) \cdot R(\theta_{13}, \delta_{CP}) \cdot R(\theta_{12})}_{\text{Mixing matrix}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Interaction eigenstates (creation and detection) Mass eigenstates (propagation)

$$R(\theta_{23}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

$$R(\theta_{12}) = \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



$$R(\theta_{13}, \delta_{CP}) = \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta_{CP}} & 0 & \cos \theta_{13} \end{pmatrix}$$



Neutrino Oscillations

#SOMOSUA

The 3-neutrino mixing

The (real-life) 3-neutrino model

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U^\dagger \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \quad P_{\nu_\alpha \rightarrow \nu_\beta} = \left| \sum_k U_{\beta k}^* \exp\left(-i \frac{m_k^2 L}{2E}\right) U_{\alpha k} \right|^2$$

$$= \sum_{k,j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

$$\theta_{12} = 33.44^\circ$$

$$\theta_{13} = 8.57^\circ$$

$$\theta_{23} = 49.2^\circ$$

$$\Delta m_{31}^2 = 2.5 \times 10^{-3} \text{ eV}^2$$

$$\Delta m_{21}^2 = 7.4 \times 10^{-5} \text{ eV}^2$$

[I. Esteban et al., JHEP 09 178 (2020)]

[NuFIT 5.0 (2021), <http://www.nu-fit.org/>]



Neutrino Oscillations

The 3-neutrino mixing

#SOMOSUA

All this comes from the neutrino evolution:

$$\mathcal{H}_0 |\nu_k\rangle = E_k |\nu_k\rangle, \quad |\nu_\alpha\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle, \quad E_k = \sqrt{\mathbf{p}^2 + m_k^2}$$

Vacuum Hamiltonian

But neutrinos interact with matter

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_I, \quad \mathcal{H}_I |\nu_\alpha\rangle = V_\alpha |\nu_\alpha\rangle$$

Effective potential

$$V_\alpha = V_{CC}\delta_{\alpha e} + V_{NC} = \sqrt{2}G_F \left(N_e\delta_{\alpha e} - \frac{1}{2}N_n \right)$$



Neutrino Oscillations

The 3-neutrino oscillations in matter

#SOMOSUA

Evolution in matter is governed by an *effective* Hamiltonian

$$\mathcal{H}_F = \frac{1}{2E} (UM^2U^\dagger + A)$$

$$M = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{pmatrix} \quad A = \begin{pmatrix} A_{CC} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Leading to the well-known **Mikheev-Smirnov-Wolfenstein (MSW)** effect



Open questions

We don't know it all, yet



How relevant these questions are?

#SOMOSUA

Ask an average “hardcore” BSM folk:
Neutrino physics is done, these questions are
marginal (irrelevant), in the best case

[D. Aristizabal,
VII COMHEP
(2022)]

Ask an average “hardcore” neutrino folk
These questions are of the utmost relevance
in particle physics (physics)

Open questions

We don't know it all, yet



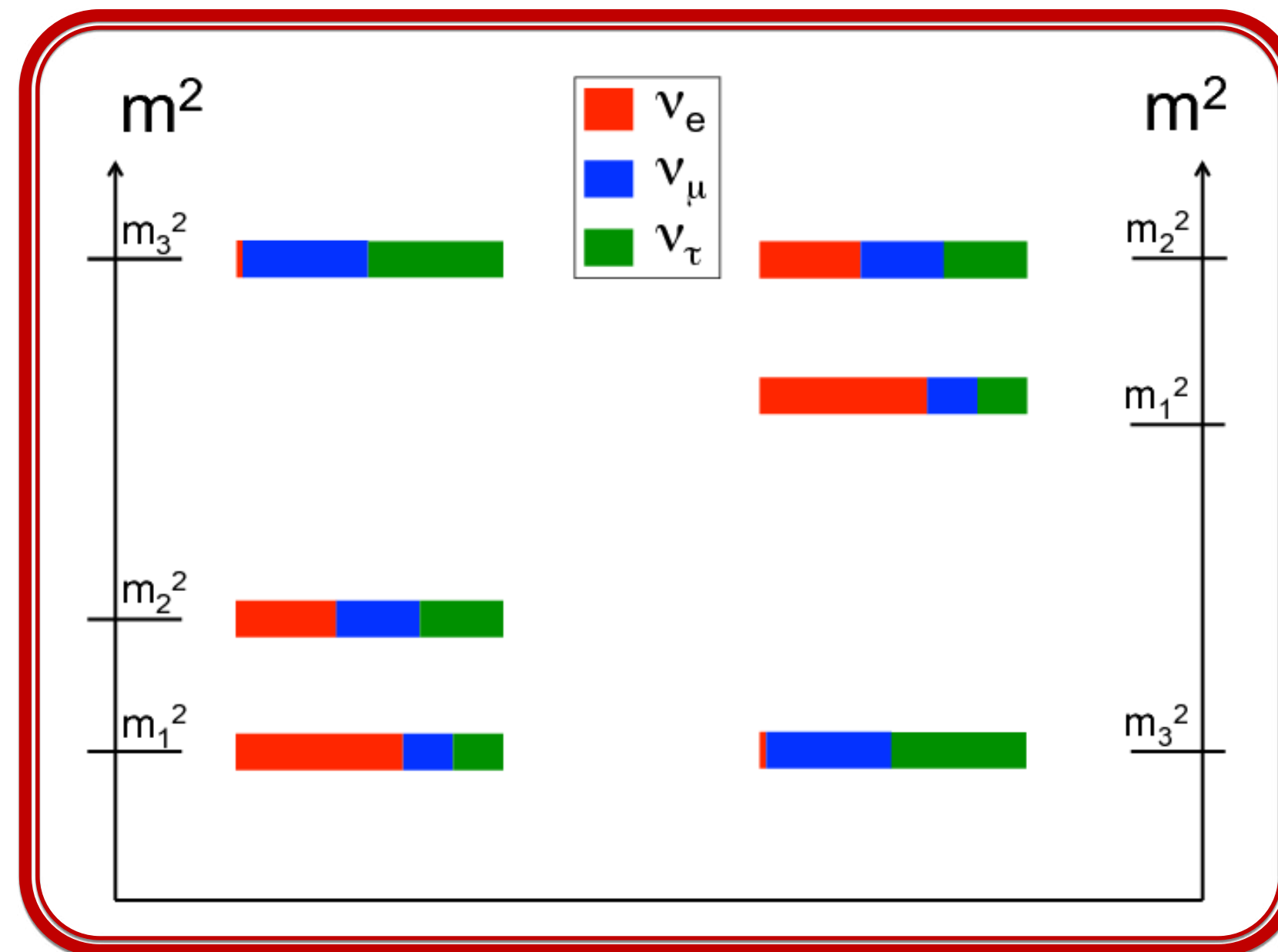
Neutrino Oscillations

Parameter values

#SOMOSUA

The (real-life) 3-neutrino model – **Not all is well known**, though.

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = R(\theta_{23}) \cdot R(\theta_{13}, \delta_{CP}) \cdot R(\theta_{12}) \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



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Maximal Mixing?

② Is there a symmetry governing the ν_μ/ν_τ mixing into the 2nd and 3rd mass states? i.e.: is θ_{23} "maximal" = 45°?

$\nu_3 =$

CP violation

③ Is δ_{CP}/π non-integral?

If it is, neutrinos — and thus leptons — violate CP symmetry.
Related to wider matter/antimatter asymmetry in universe???

[J. Wolcott, Fermilab W&C (2020)]



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Maximal Mixing? CP violation

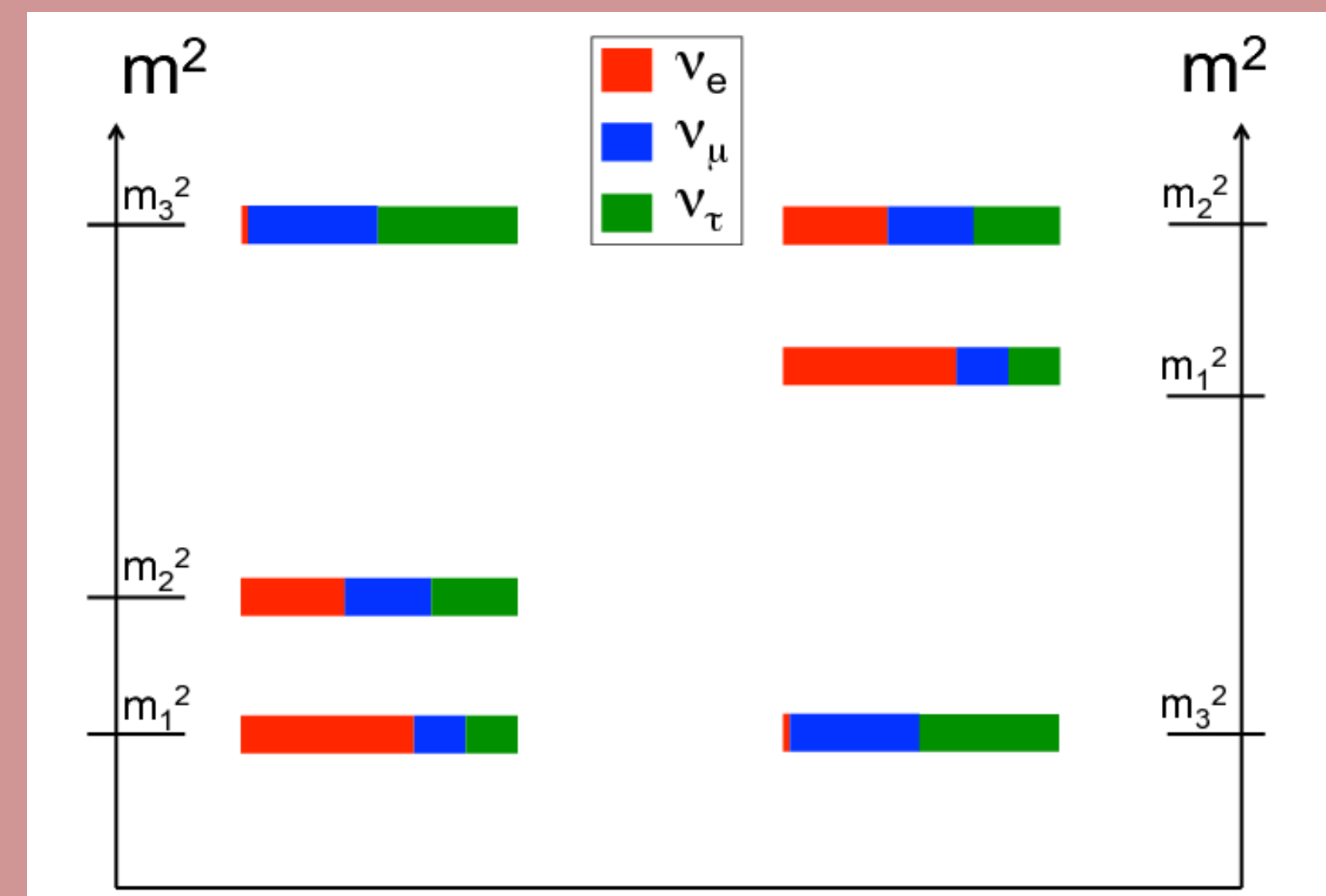
Mass ordering
(Hierarchy)

① Is there a symmetry governing the ordering of the lepton mass states?
Is the most electron-like state the lightest one, like with the charged leptons?

[J. Wolcott, Fermilab W&C (2020)]

$$\Delta m_{32}^2 > 0$$

$$\Delta m_{32}^2 < 0$$



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Neutrino Mass

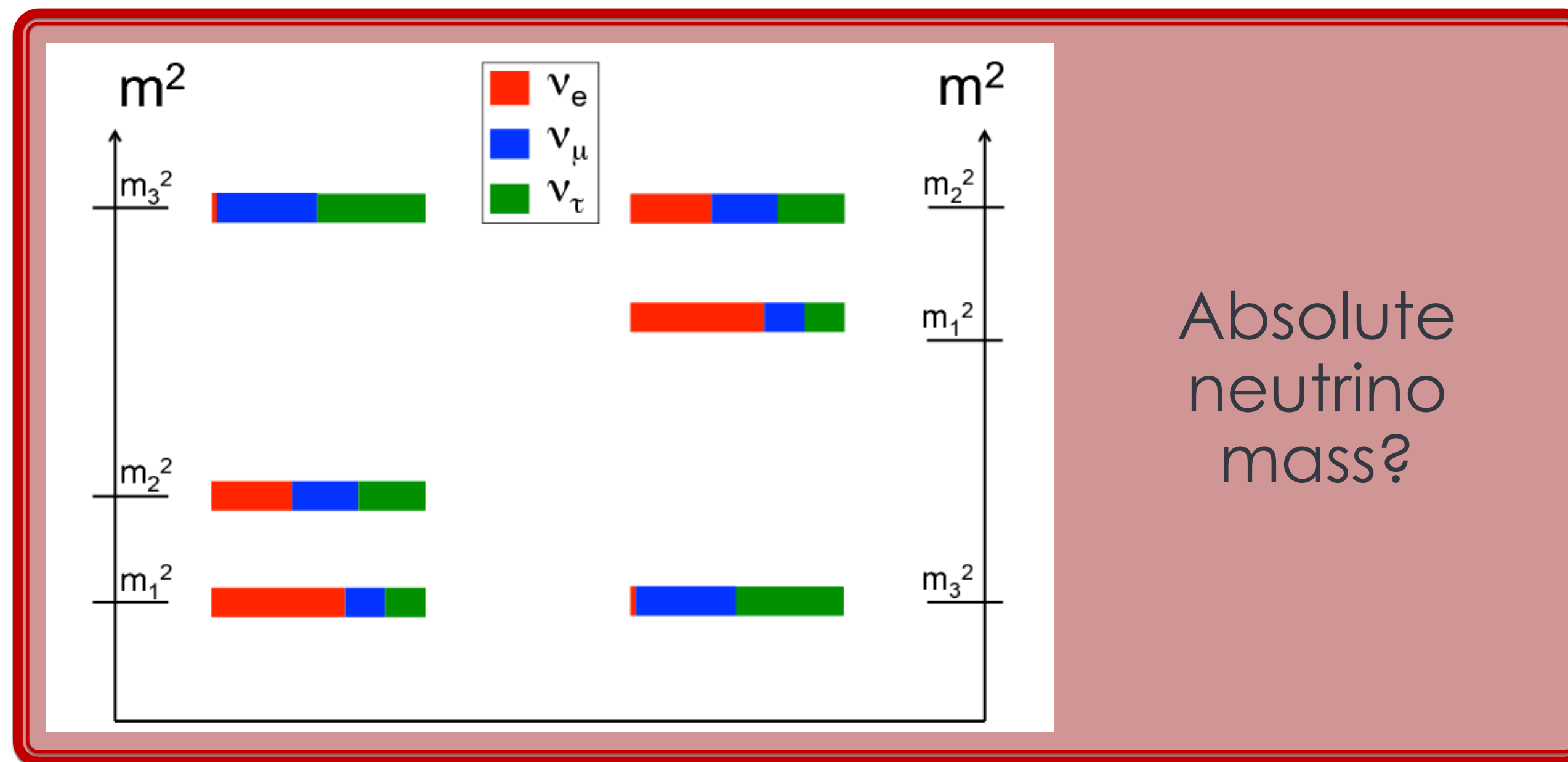
It's light but, how much?

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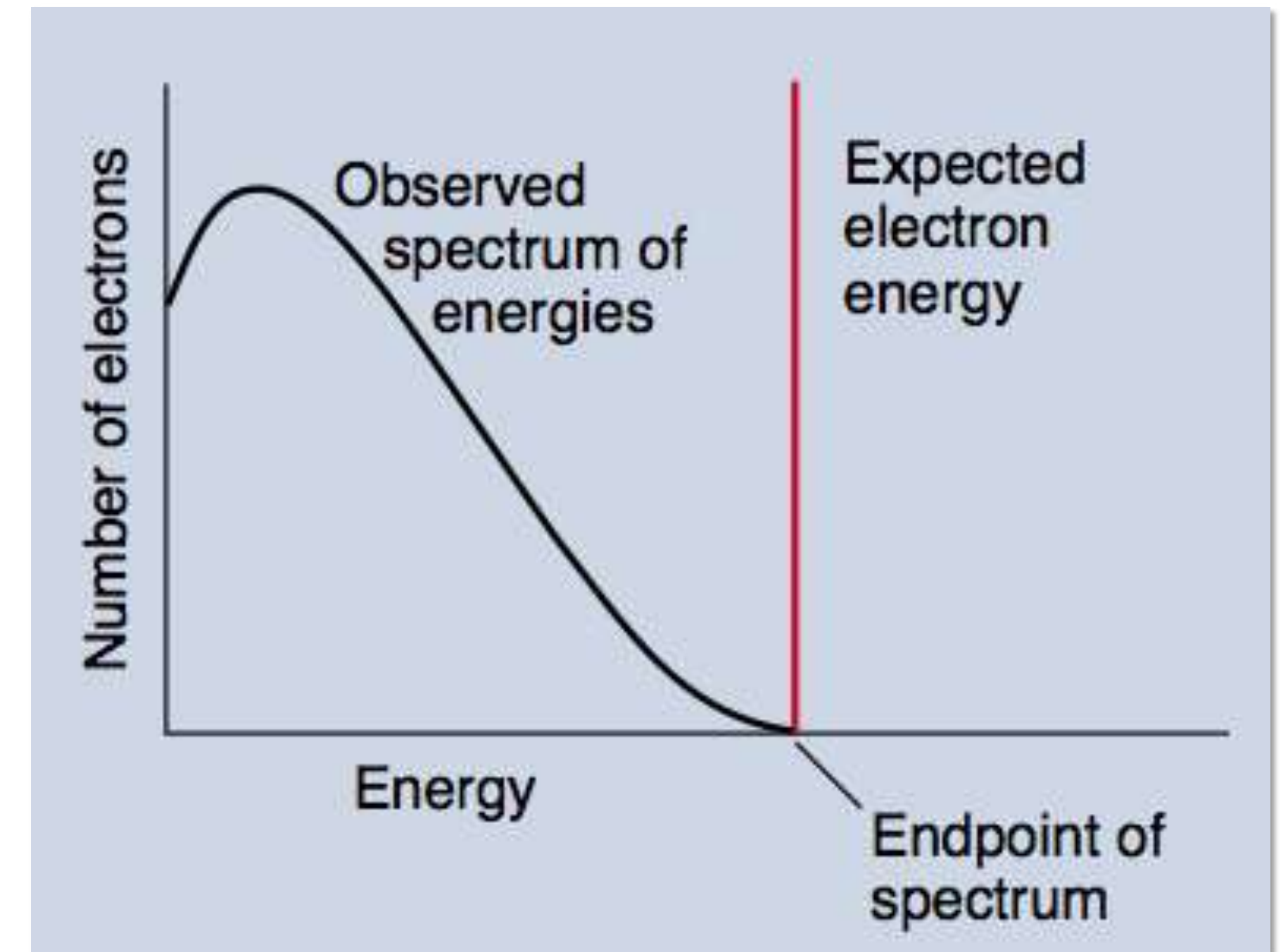
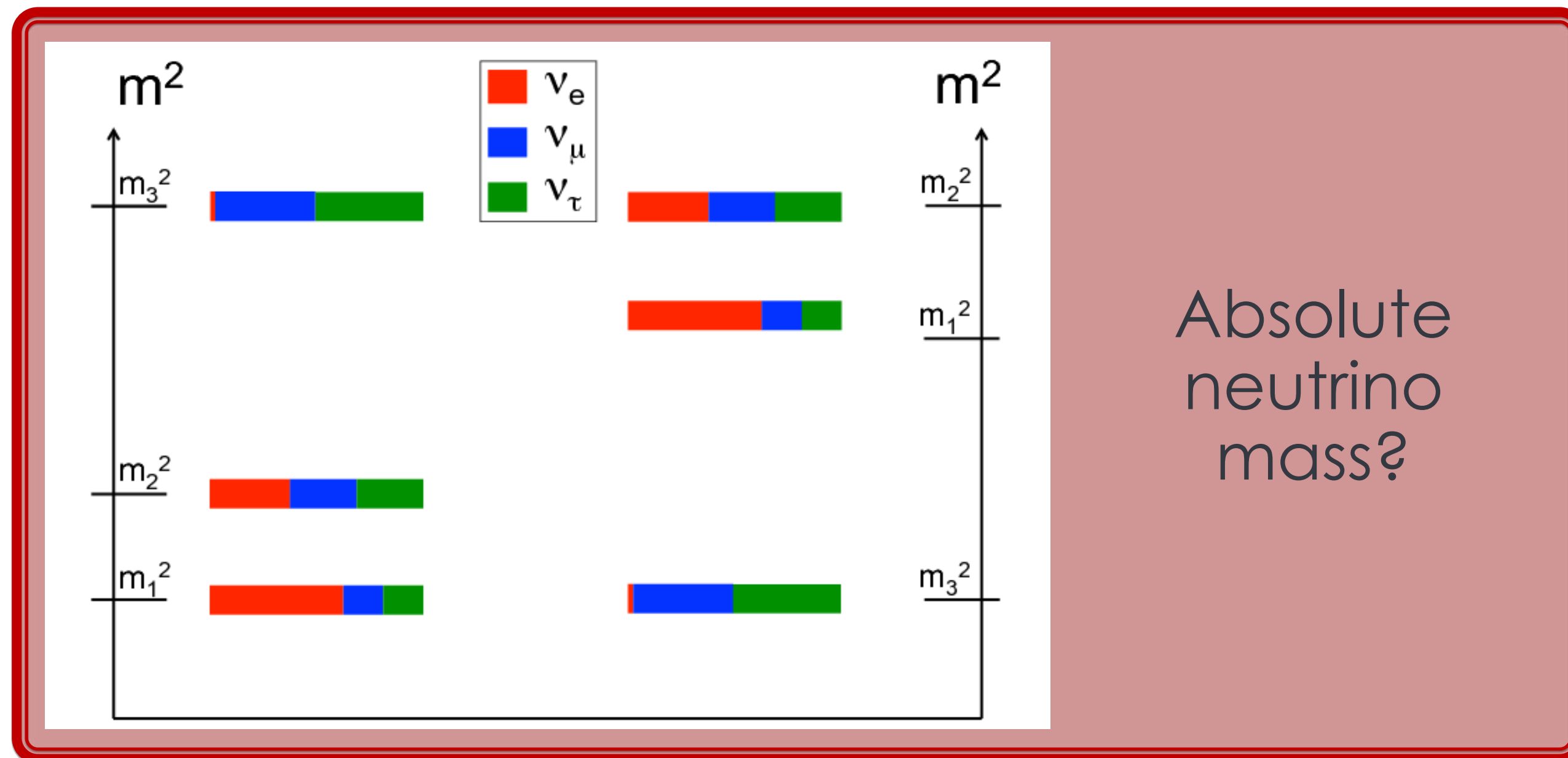
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Beta Spectrum

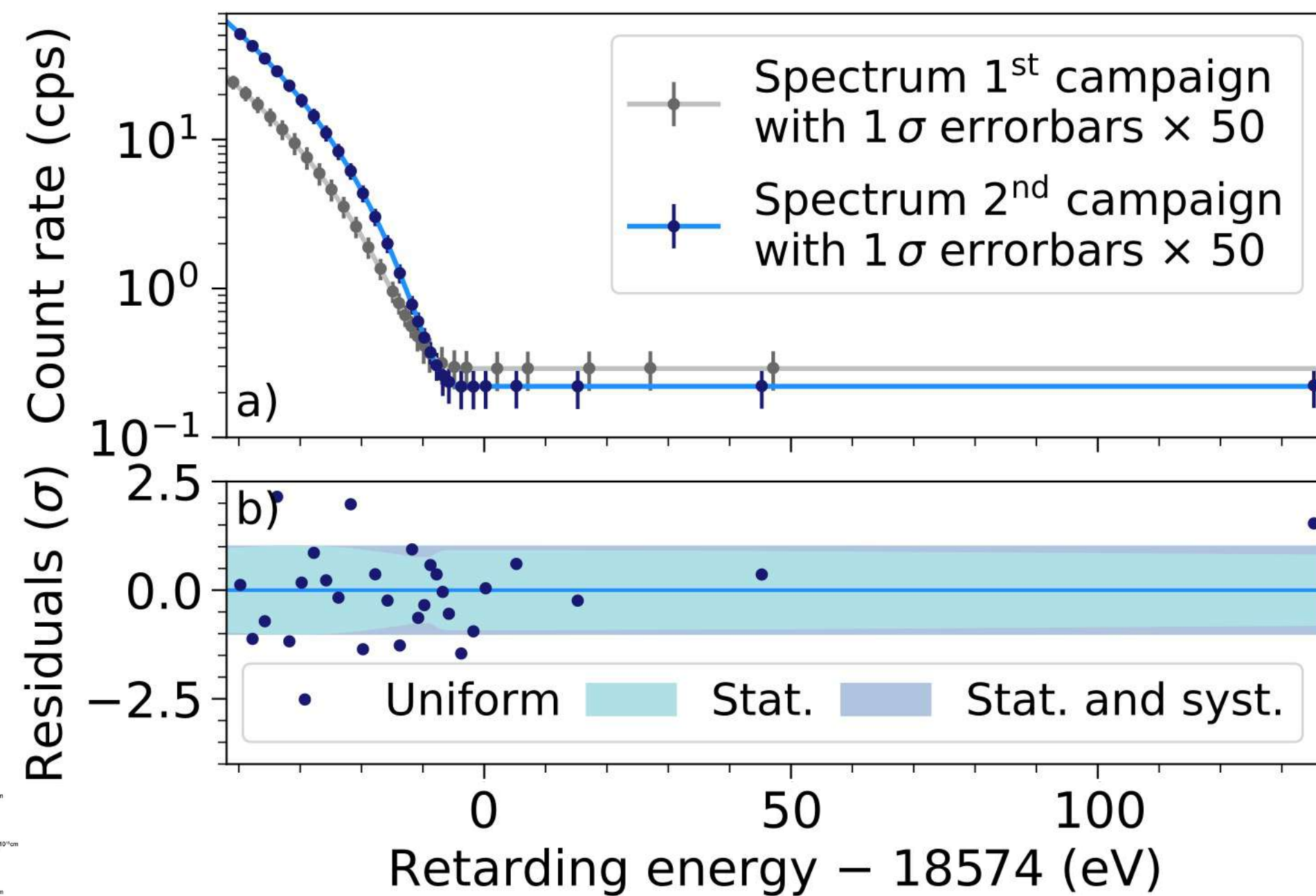
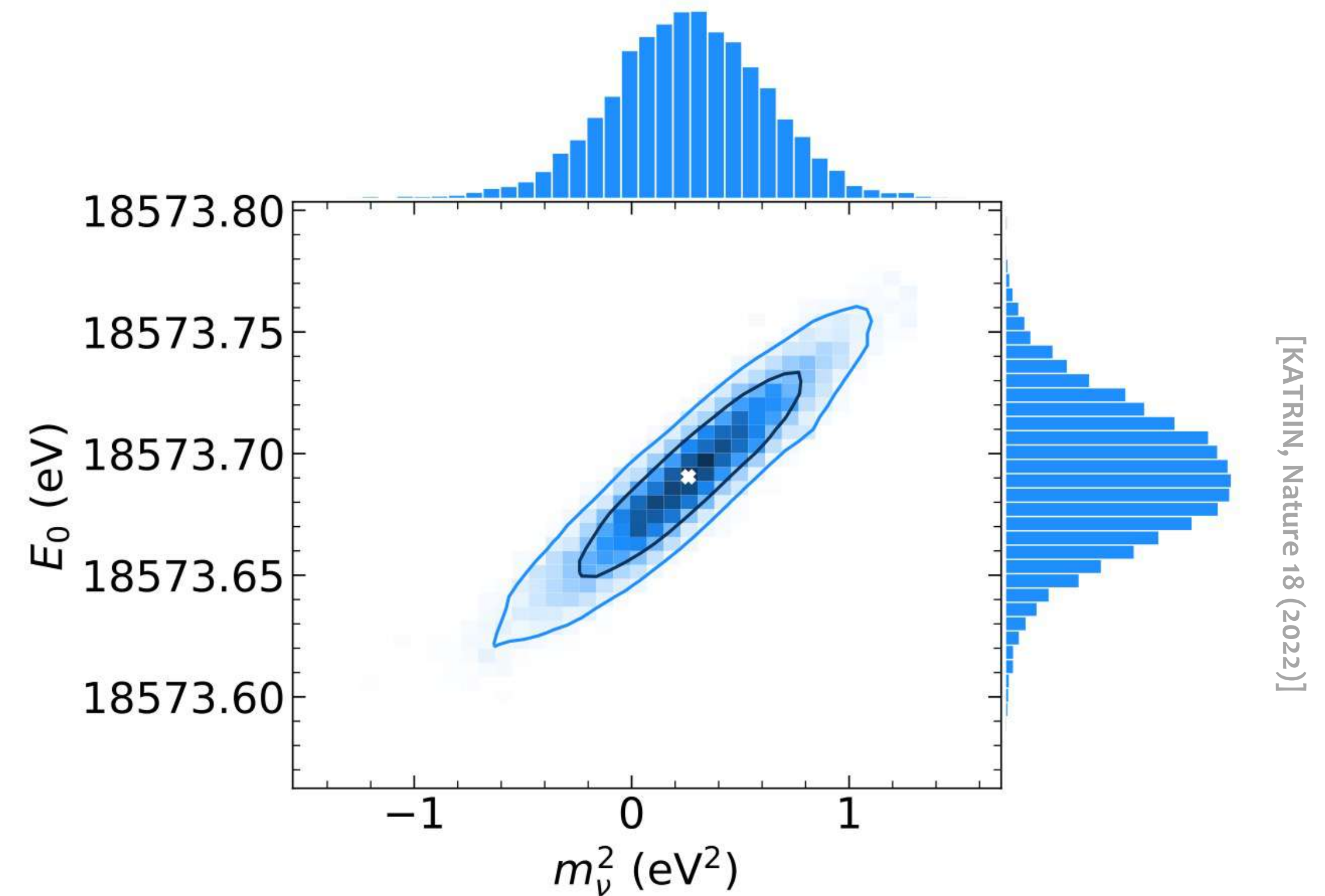
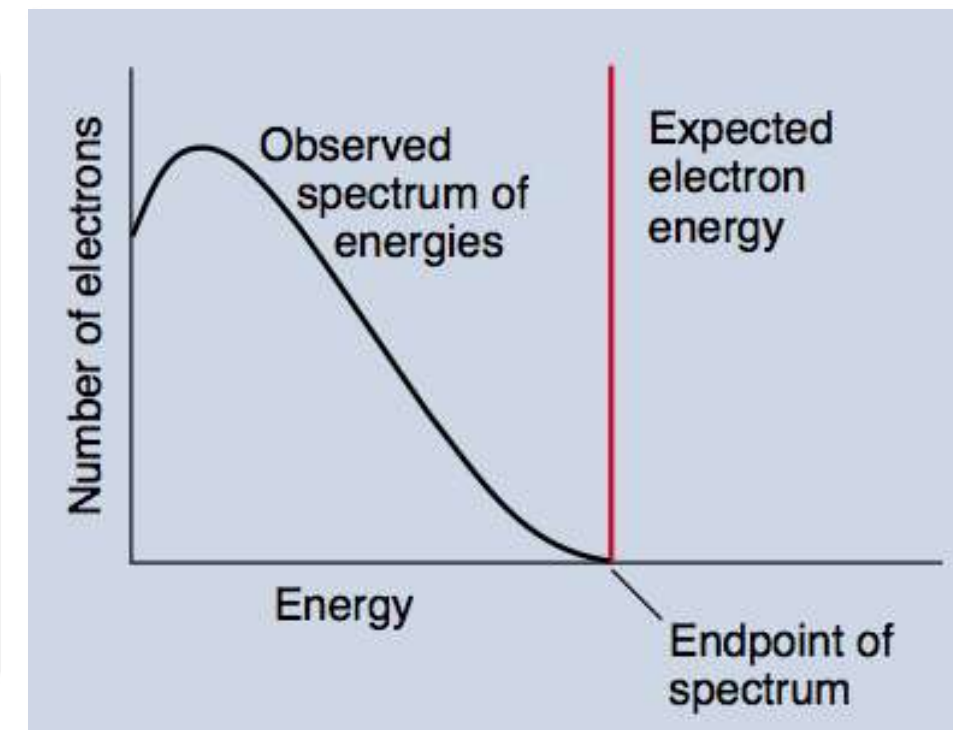
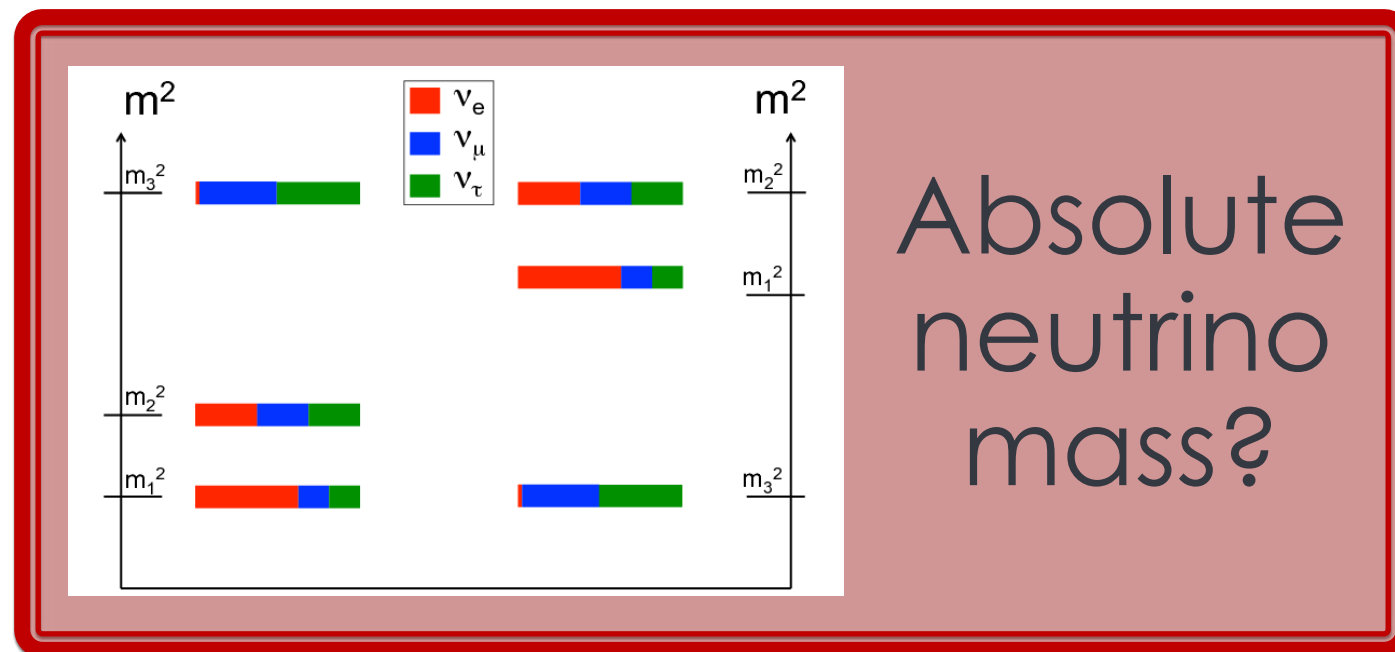


Neutrino Mass

It's light but, how much?

#SOMOSUA

Not all is well known.



$$m_\nu^2 = (-0.26 \pm 0.34) \text{ eV}^2 / c^4$$

$$m_\nu < 0.9 \text{ eV}^2 / c^2 \text{ (90\% C.L.)}$$



Number of neutrinos

Are there more flavor neutrinos?

Not all is well known

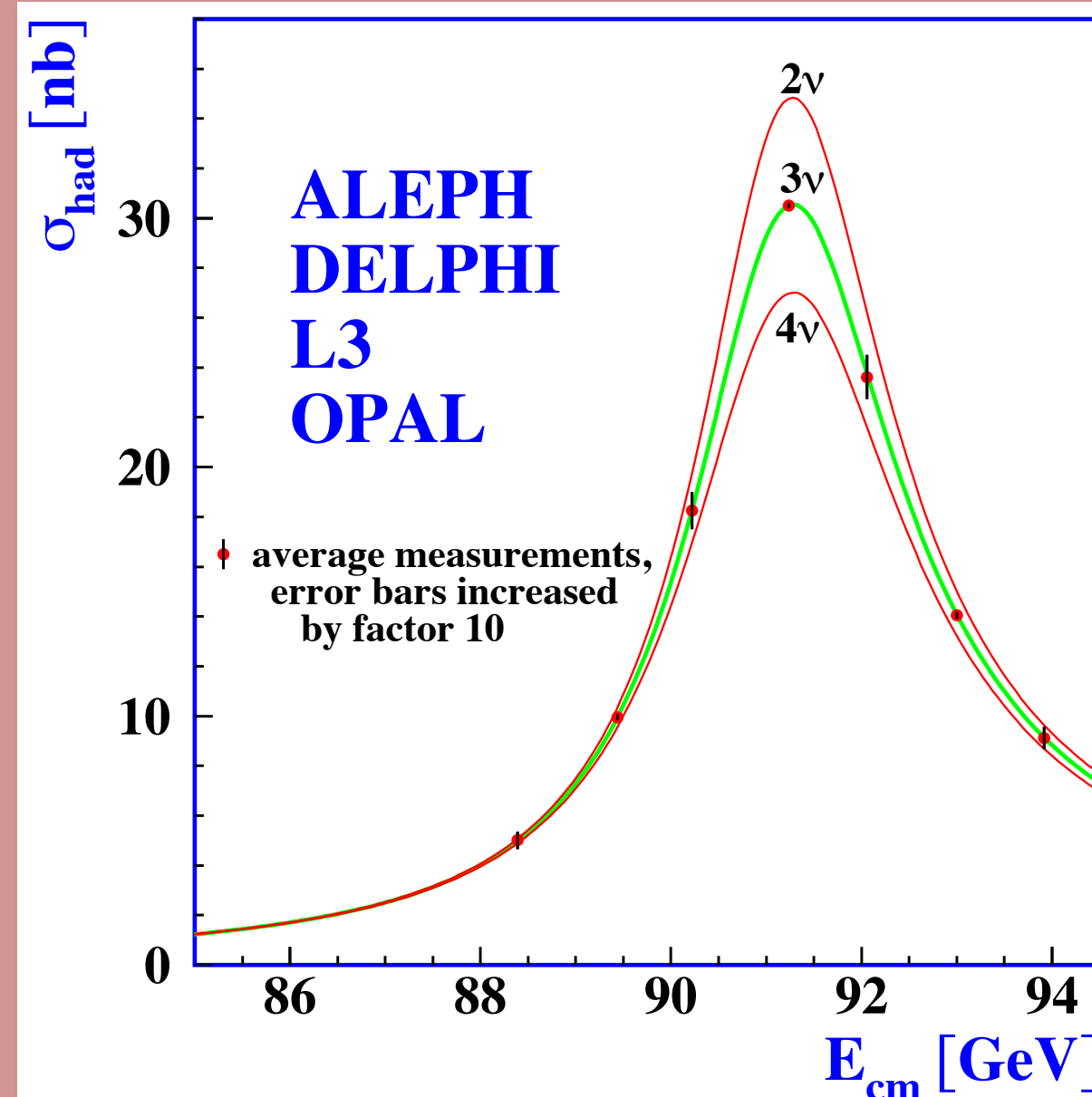
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Maximal Mixing?
CP violation

Absolute neutrino mass?

Only 3 flavors?

[S. Shael et al., Phys. Rept 427 (2006)]



These are neutrinos which feel the weak nuclear force. Couple to the Z boson.



Number of neutrinos

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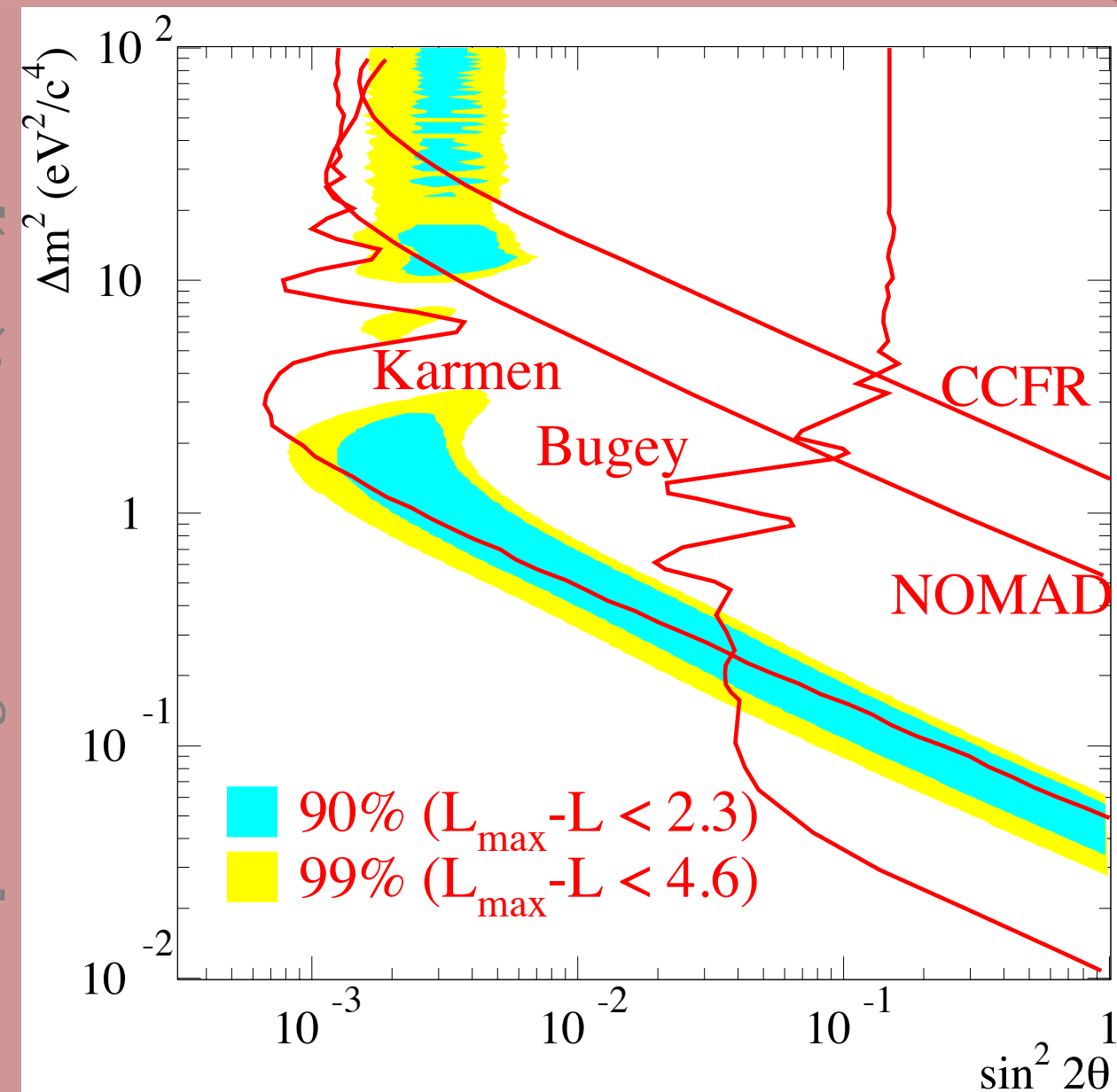
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[LSND, Aguilar et al. PRD64 (2001)]



Signals of the existence of (a) sterile neutrinos?



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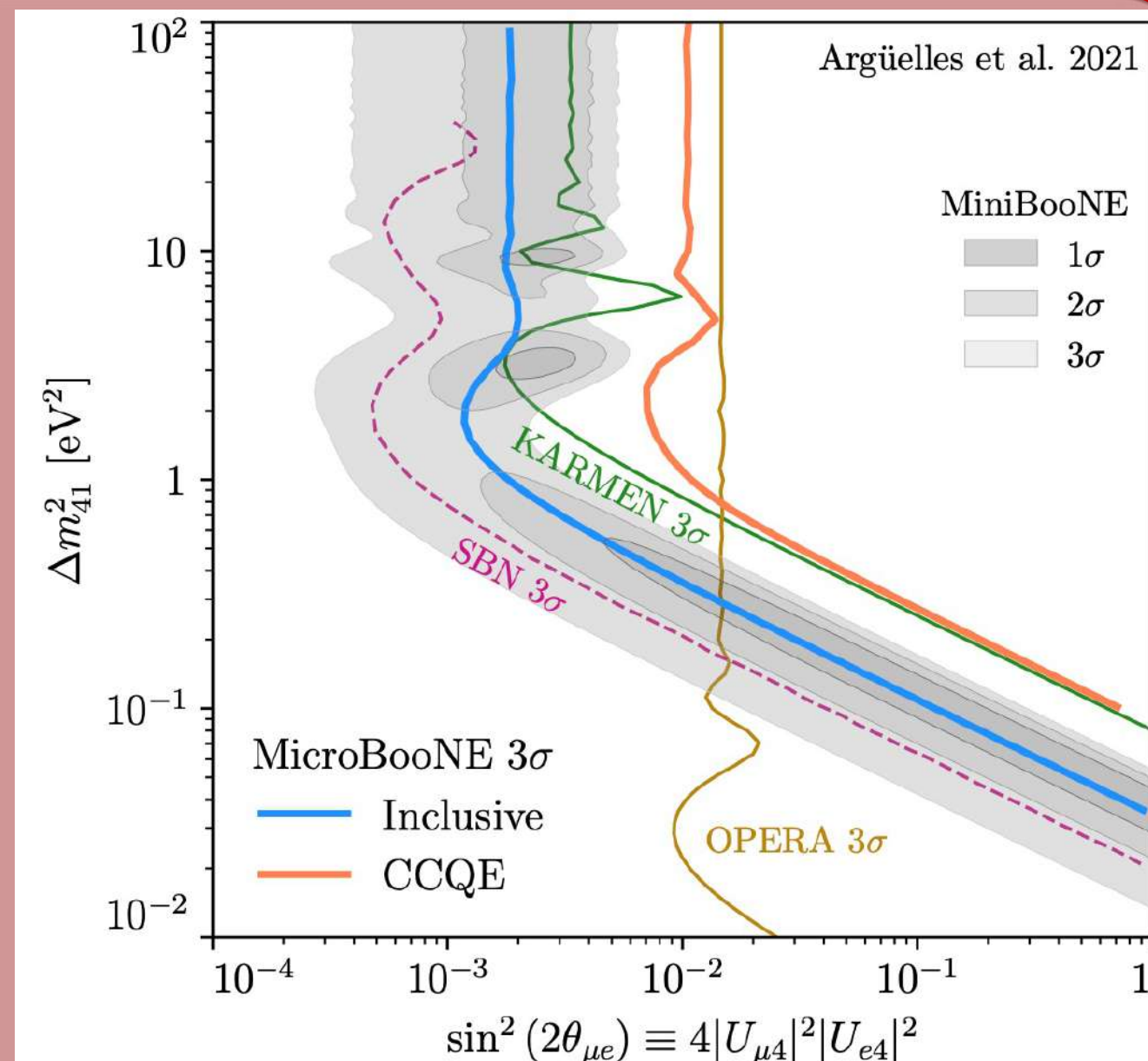
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[Argüelles et al. PRL128 (2022)]



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Maximal Mixing? CP violation Absolute neutrino mass?

Only 3 flavors?

More interesting results which don't fit in a 3ν framework:

- Reactor Antineutrino Anomaly
- Gallium Anomaly

White paper: [2203.07323](#)

Signals of the existence of (a) sterile neutrinos?



Nature of neutrinos

Dirac or Majorana?

#SOMOSUA

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Maximal Mixing?
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Absolute neutrino mass?

Are neutrinos their own antiparticle? $\bar{\nu} \neq \nu$ Dirac

$\bar{\nu} = \nu$ Majorana

How do we know?



Nature of neutrinos

Dirac or Majorana?

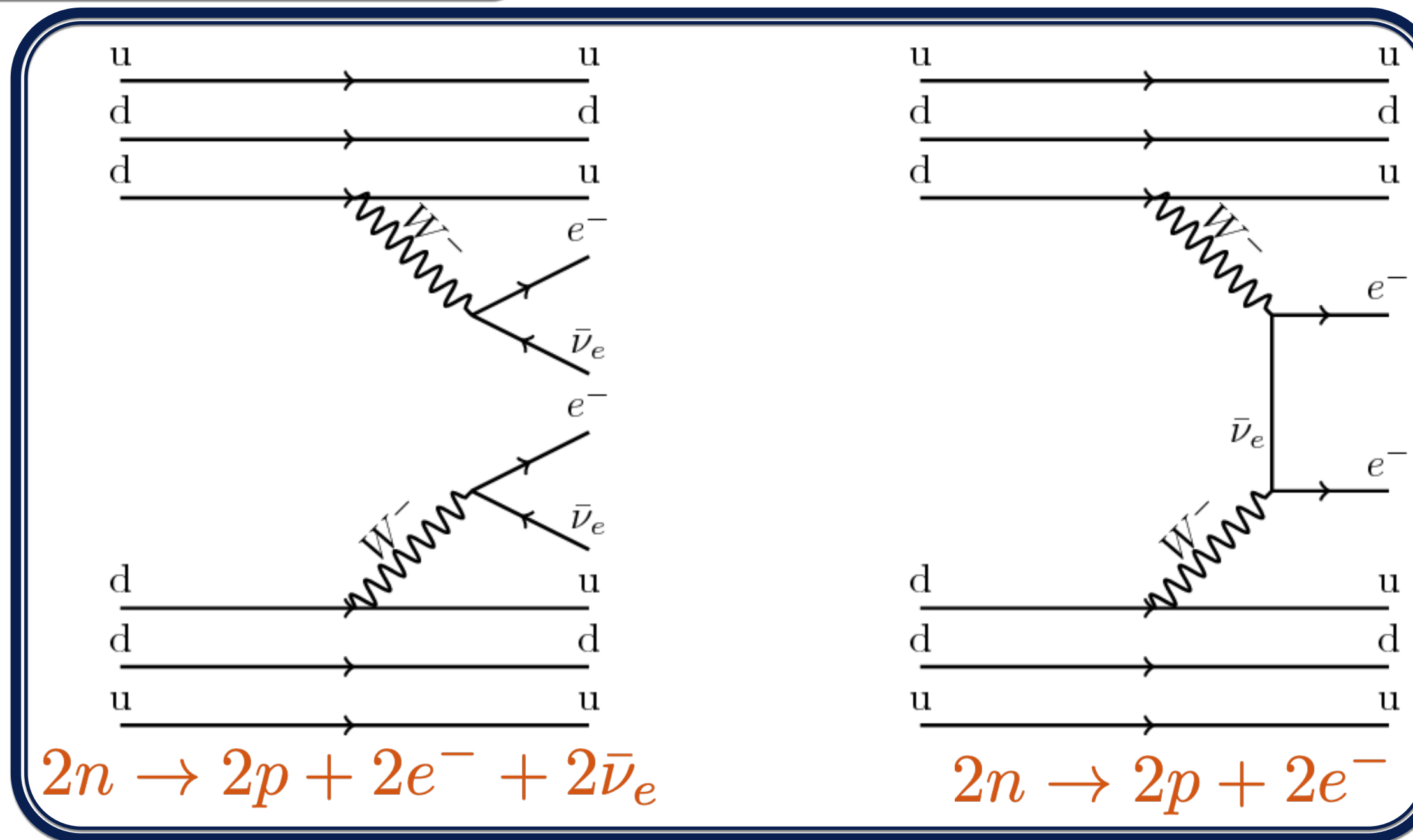
#SOMOSUA

Not all is well known

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Double beta Decay with and without neutrinos



Nature of neutrinos

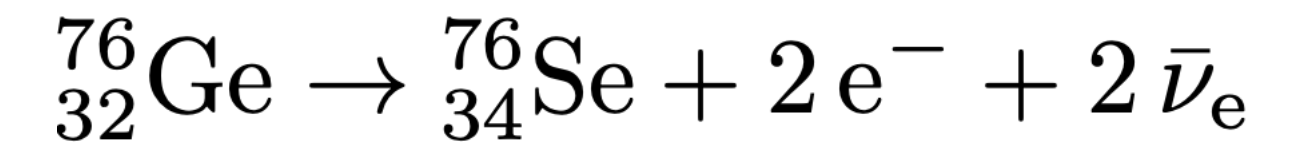
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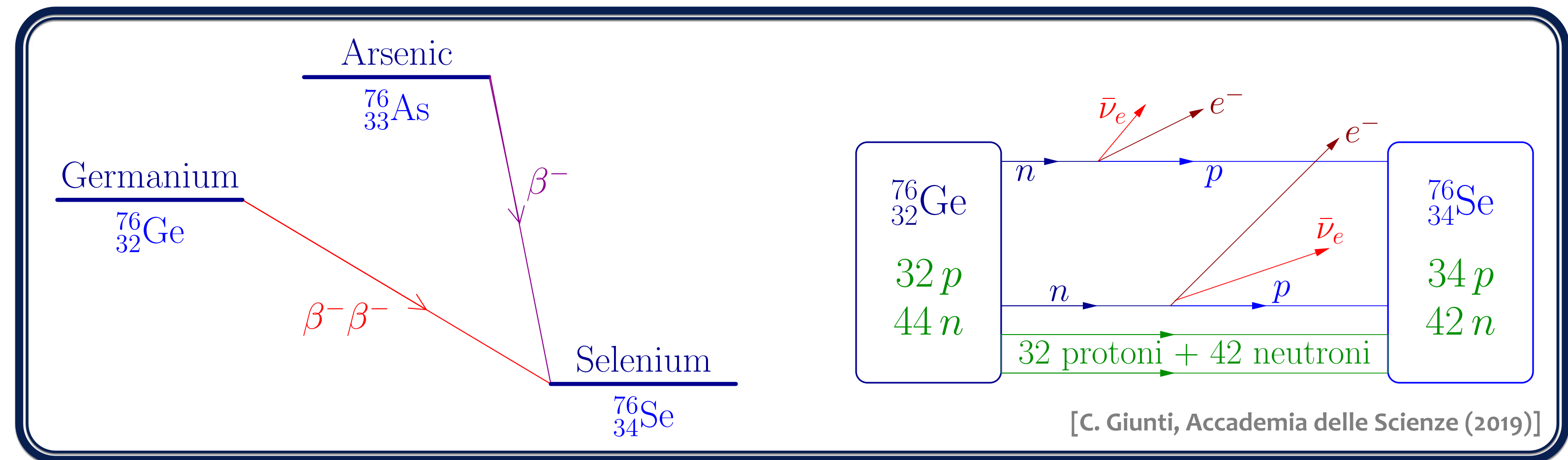
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Double beta Decay with and without neutrinos



Nature of neutrinos

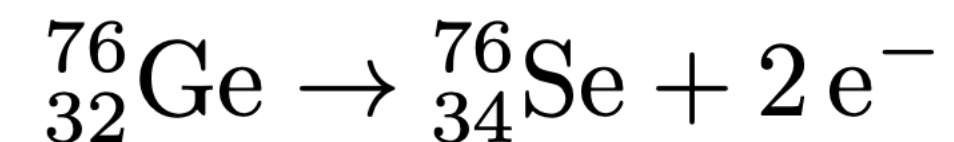
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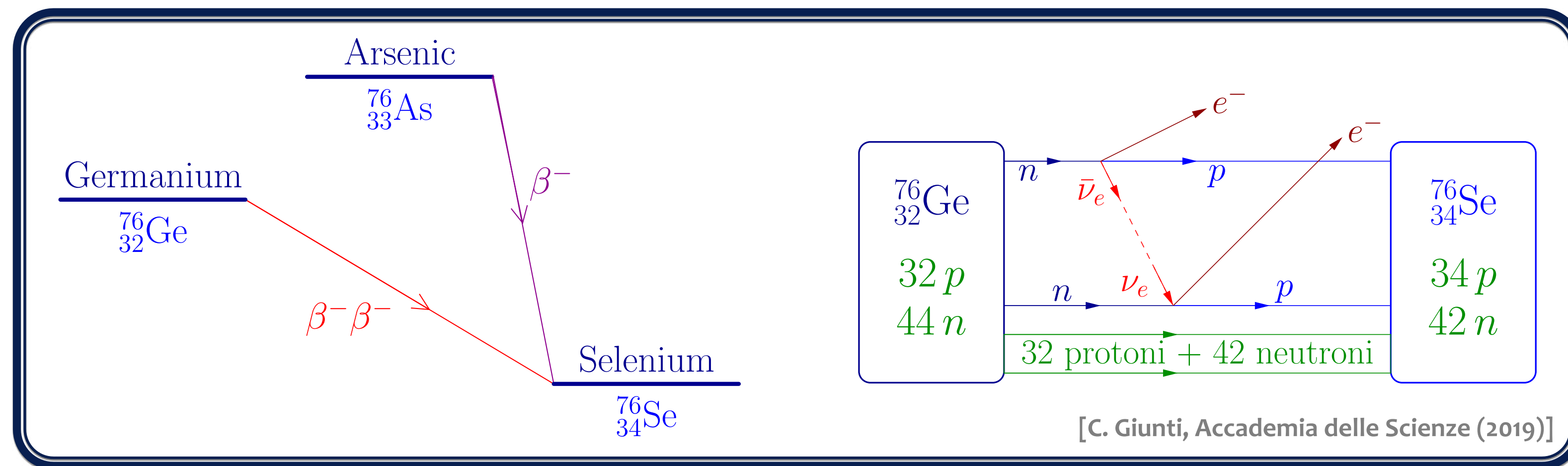
Not all is well known

Are neutrinos $\bar{\nu} \neq \nu$ Dirac
 their own
 antiparticle? $\bar{\nu} = \nu$ Majorana

How do we know?



Double beta Decay with and **without neutrinos**



$$m_{\beta\beta} = \sum_k U_{ek}^2 m_k$$



Nature of neutrinos

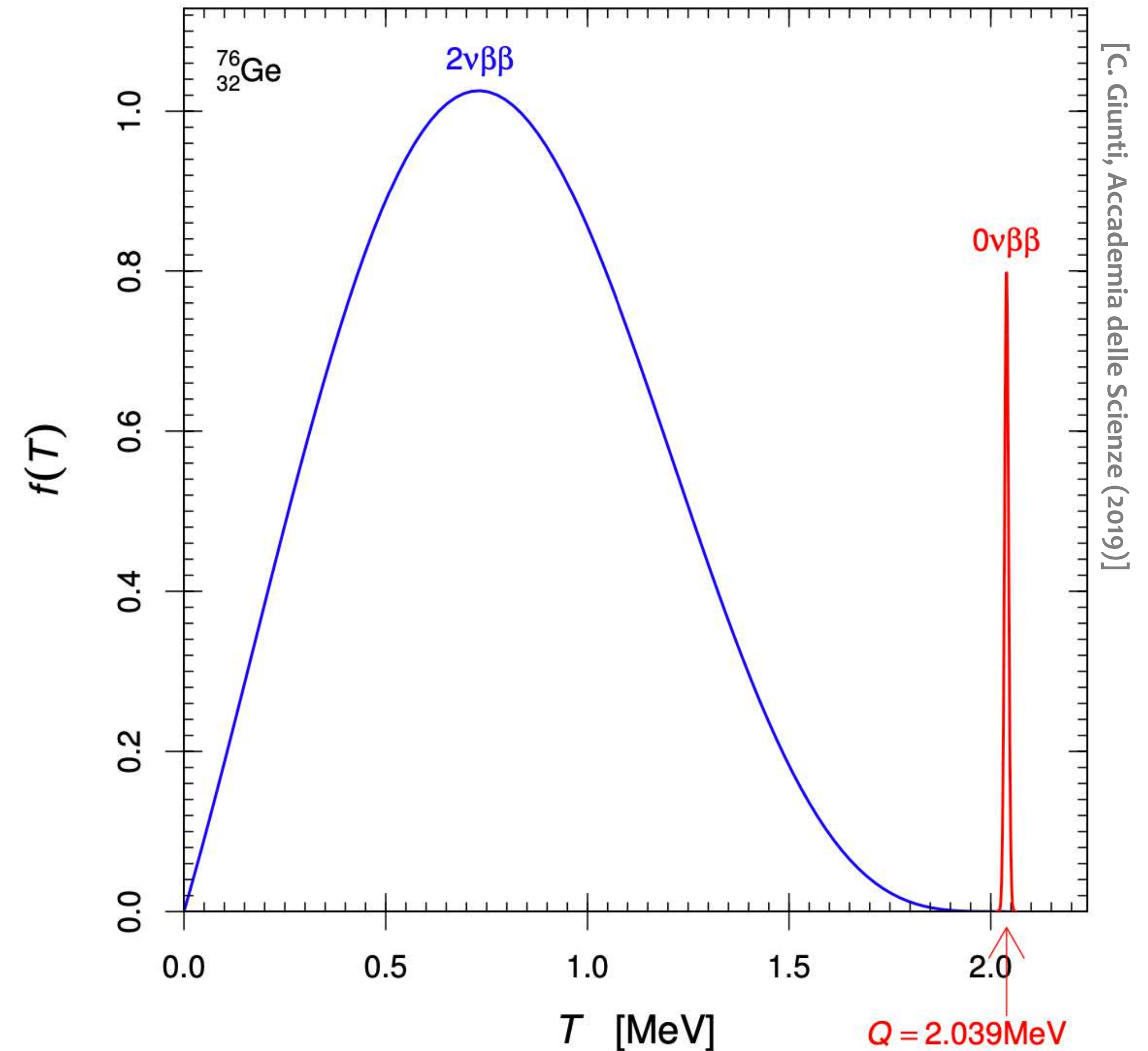
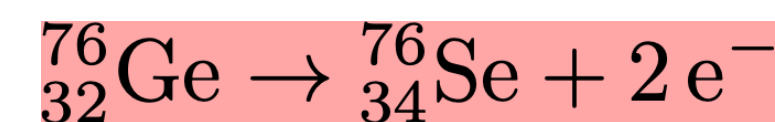
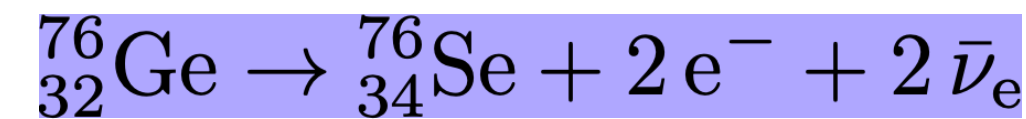
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Double beta
Decay with
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Nature of neutrinos

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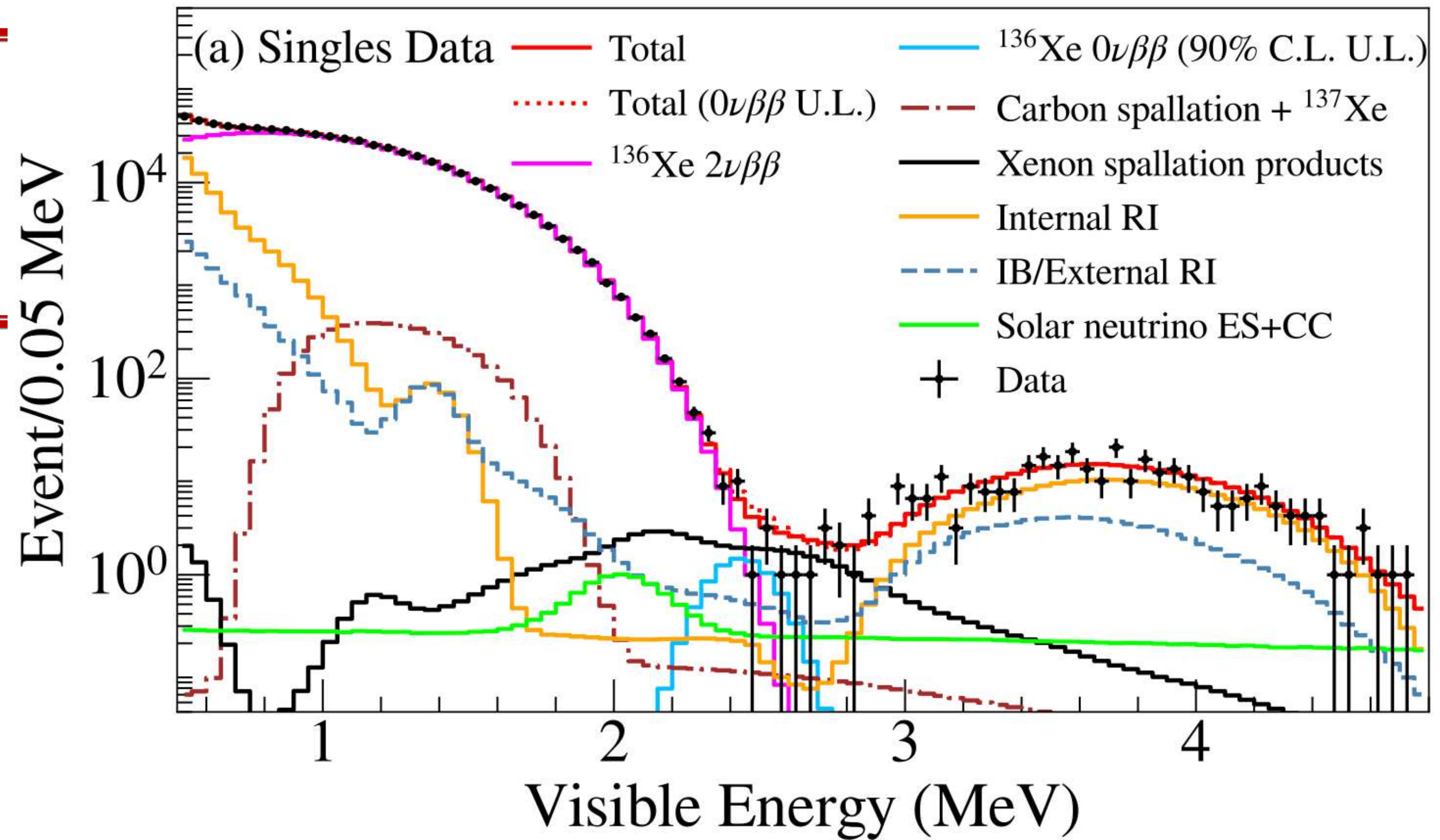
#SOMOSUA

Not all is well known

Are neutrinos their own antiparticle?
 $\bar{\nu} \neq \nu$
 $\bar{\nu} = \nu$

$$m_{\beta\beta} \in (36, 156) \text{ meV}$$

[KamLAND [2203.02139](#) (2022)]



As a summary...

FACTS:

- Neutrinos exist and are massive!
- Neutrinos oscillate (and we understand the phenomenon rather well).
- There are many neutrinos and sources: we can study them with several beautiful experiments!

Exciting questions! Important implications!

- Are there symmetries?
- How many flavors?
- What is the mass, and which is the lightest?
- Dirac or Majorana?



THANKS!



¡GRACIAS!

Backup



Sources

Where neutrinos are produced

#SOMOSUA

Reactor Neutrinos

Electron antineutrinos detection: inverse neutron decay

Visible Energy $E_e + m_e$, and the positron annihilates with a surrounding electron.

Events vs. Background: Coincidence

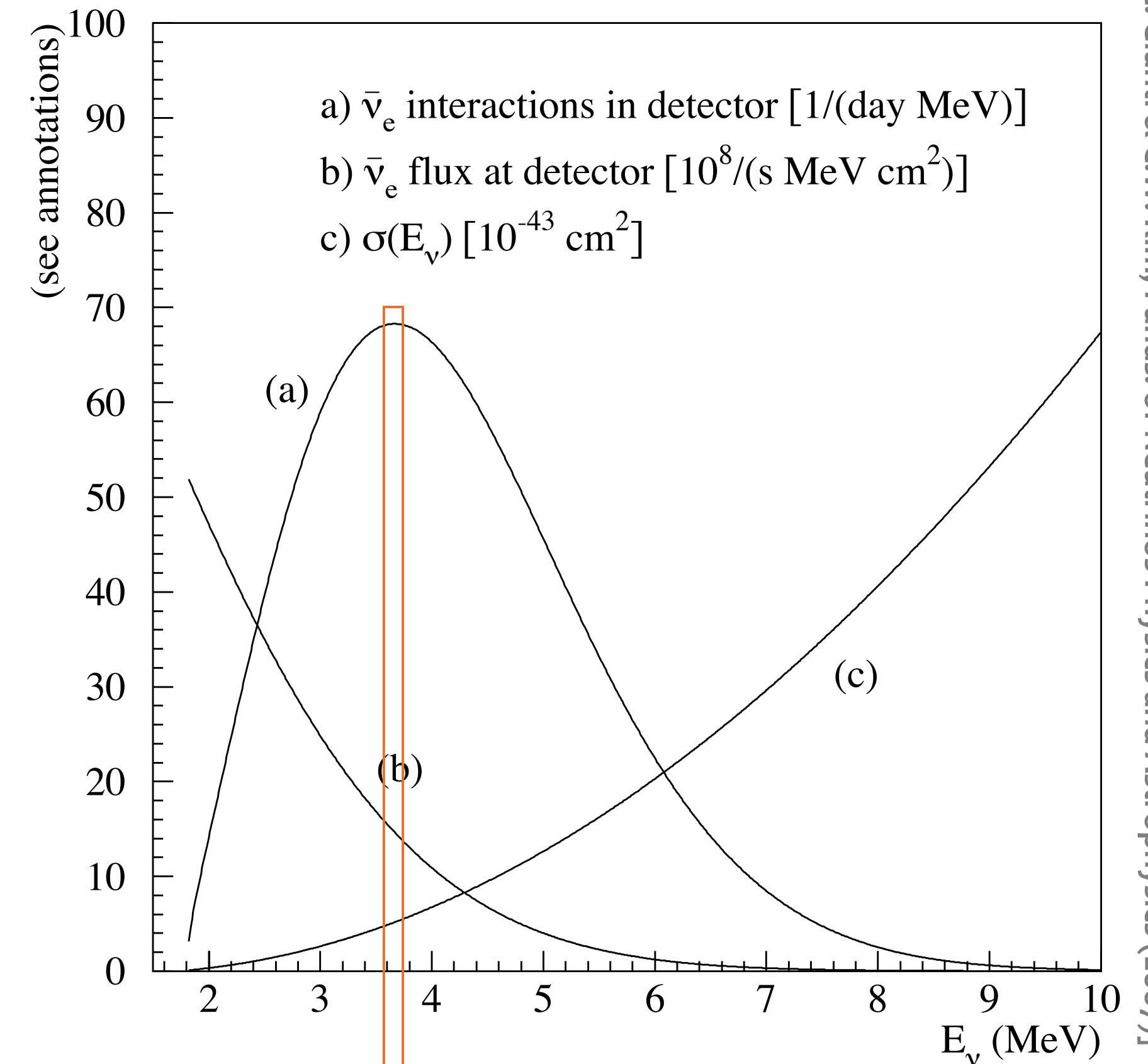
- Prompt positron signal
- Neutron nuclear capture (delayed)

Neutrino – Positron energy relation

$$E_\nu = E_e + T_n + m_n - m_p \simeq E_e + 1.293 \text{ MeV}$$

Threshold energy

$$E_\nu^{th} = \frac{(m_n + m_e)^2 - m_p^2}{2 m_p} \simeq 1.806 \text{ MeV}$$



[C. Giunti & C.W. Kim, Funds. of Neutrinos Physics and Astrophysics (2007)]

~3.6 MeV



Neutrino Oscillations

Phenomenology

#SOMOSUA



[Adapted from D. Schmitz, CTEQ Summer School 2011]

The neutrino of **flavor α** is the one created in W boson decay together with the charged lepton of flavor α .

And creates a charged lepton of **flavor α** when it undergoes a charged-current interaction.



Neutrino Oscillations

Phenomenology

#SOMOSUA



Flavor may change...



... if neutrinos have **mass and mix**

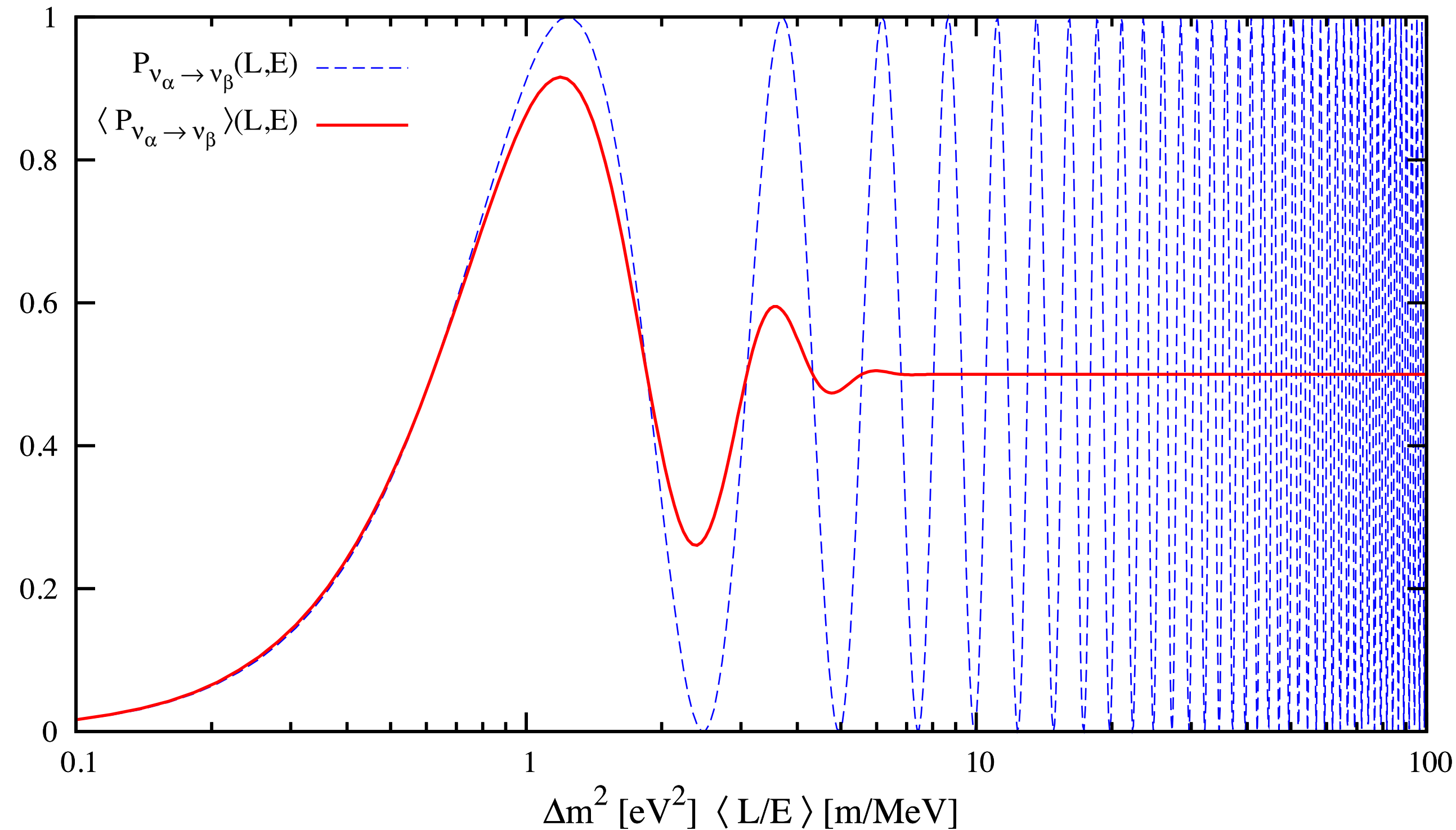
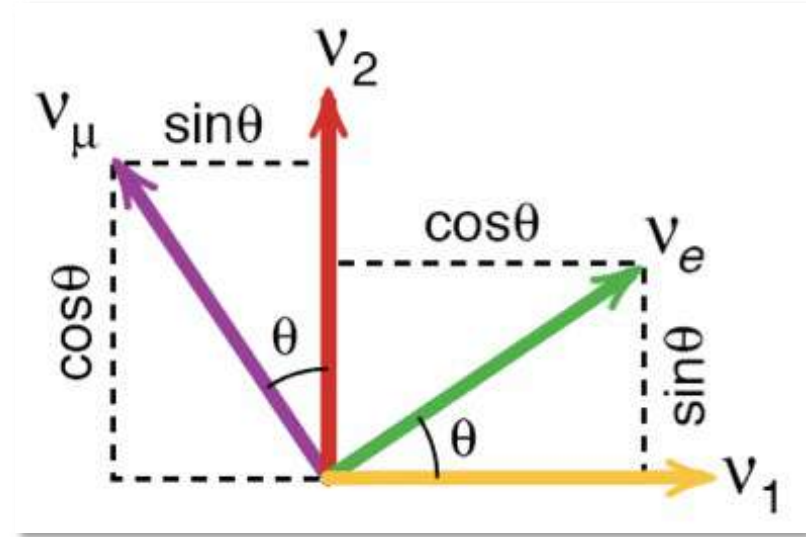
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Neutrino Oscillations

The 2-neutrino approximation (vacuum)

#SOMOSUA



$$P(\nu_e \rightarrow \nu_\mu) = \sin^2 2\theta_{12} \sin^2 \left(1.27 \frac{\Delta m_{21}^2 [\text{eV}^2] L [\text{km}]}{E [\text{GeV}]} \right)$$

